

# A3-24 WASTEWATER WINEP – PHOSPHORUS

# NES13

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# **1. INTRODUCTION**

We are committed to improving the health of our rivers, lakes, and water bodies. This includes maintaining a balance of nutrients, such as phosphorus, so that the environment can thrive. One of the Government's key priorities in its strategic policy statement is to reduce nutrient pollution from sewage treatment works (STWs). Under the Environment Act's long-term environment targets (WISER guidance) we are required to reduce phosphorus loading from treated wastewater in our region to meet national targets.

The investment proposed in this business case will help our region's environment to thrive and make sure we play our part in delivering the Government's objectives. This business case sets out the enhancement investment required for us to meet our environmental obligations under the *Water Environment (Water Framework Directive) (England and Wales) Regulations* 2017 (Water Framework Directive) and *Urban Waste Water Treatment Directive*, as captured against the following WINEP drivers:

- Investigations WFD investigations (WFD\_INV)
- No deterioration from current status WFD no deterioration (WFD\_ND)
- Improvements including:
  - WFD improvements (WFD\_IMP)
  - Environment Act improvements (EnvAct\_IMP)
  - Urban Waste Water Treatment Directive improvements (U\_IMP1 and U\_IMP2)

The specific requirements for these drivers were initially agreed through discussions held in March and April 2022 between Northumbrian Water (NW), the Environment Agency (EA), and Natural England which were used for our January WINEP submission, then refined in May and June 2023 ahead of our final WINEP submission in July 2023. Where possible we have been efficient by combining needs, drivers and approaches to create the most streamlined plan (see Section 3.1).

The investments we are planning in AMP8, set out in this business case, will achieve the following benefits:

- Phosphorus removal load reduction against a 2020 baseline by the end of AMP8 of 62% towards meeting the Environment Act targets for the region - measured using the Environment Agency's methodology.<sup>1</sup>
- Further significant river phosphorus load reductions to meet good ecological status in partnership through catchment and nature-based solutions which are separate to these targets, and which will also drive integrated catchment management and help achieve wider environmental outcomes including biodiversity net gain, nature recovery, nutrient neutrality and climate mitigation in the region.

<sup>&</sup>lt;sup>1</sup> The River Water Quality ODI phosphorus reductions calculated for NW by the end of AMP8 are much lower as these use measured regulatory sample data where the EA uses models and permits – the company had already made reductions by 2020 through overperformance against permits



Improve 128km of rivers<sup>2</sup> within AMP8 through our phosphorus improvement plan, with an additional 426km to be improved following implementation of catchment nutrient balancing schemes by the end of the AMP – our biggest scale of water quality improvements for phosphorus delivered for the region as a result of a 5-year investment programme.

In addition to the programme of improvements summarised above, we have agreed a significant investigations programme under WFD, covering investigations at 27 STWs and the waterbodies they impact, to collect evidence and undertake modelling to identify where further improvements may be required in AMP9 and determine appropriate cost-effective schemes.

We recognise that catchment and nature-based solutions provide opportunities for us to use non-traditional, sustainable, multi-benefit approaches to deliver our PR24 obligations for nutrients including phosphorus. Consideration of these alternatives has featured heavily in our optioneering process. The comprehensive programme of improvements we have developed draws on our experience of using nature and catchment partnerships in AMP7 to deliver environmental improvements and was developed collaboratively through our work with the North East Catchments Hub. It includes:

- end-of-pipe phosphorus improvements at 19 STWs of which nine stand-alone where catchment and nature-based approaches were not found to be suitable options, and ten are key investments to support hybrid catchment solutions.
- a catchment permitting approach where this is appropriate for grouped STWs this will apply to four of the nine end-ofpipe STW improvements for phosphorus and will also be used for two of the same STWs for ammonia, and will also play a key role in our catchment solutions.
- catchment solutions using a catchment nutrient balancing approach supported by in-river catchment permitting to address 'fair share' (each sector playing their part in addressing pollution under the Polluter Pays Principle), as an alternative to, or complimentary to, end-of-pipe investment across seven catchment areas (South Low, Embleton, Belford, Middle & Lower Wear, Clow Beck, Skerne, and Leven), covering 35 waterbodies.

To support the development of our PR24 Plan, including catchment and nature-based solutions (C&NBS), we created a strategic partnership with The Rivers Trust in April 2022. The Rivers Trust is the national organisation supporting coordination of Defra's Catchment Based Approach (CaBA) and providing support for local rivers trust organisations, which host the Catchment Partnerships in the North East. The strategic partnership was used to establish the North East Catchments Hub, a new (currently informal) organisation which has brought catchment resources, expertise and partner engagement capacity into the region. The North East Catchments Hub has already helped to enhance and integrate the CaBA approach across the North East, through engaging all five local Catchment Partnerships in water company planning. The activities of the North East Catchments Hub have allowed us to fully explore C&NBS opportunities and support

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<sup>&</sup>lt;sup>2</sup> This measure of river improvements is the Environment Agency's measure, and is separate to the river water quality PC which will be used for phosphorus load removals in AMP8

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partners to form a PR24 Catchment Planning Team to develop WINEP schemes.

options development for the PR24 WINEP, by bringing the hub together with our internal teams and technical framework

Through this approach, we have also worked closely with the Environment Agency, bringing Area team members into planning meetings collaborating on identifying needs, options and solutions.

Our approach to developing our WFD nutrients plan for PR24 reflects the guidance in the Government's Strategic Policy Statement for Ofwat which states that 'water companies should significantly increase their use of nature and catchmentbased solutions to achieve multiple benefits for the environment and the public' as well as the Environment Agency's PR24 WISER document which states that water companies should 'use new and innovative approaches wherever possible to achieve wider environmental outcomes and provide best value to customers.'

Meeting our Water Framework Directive and related nutrient obligations through the WINEP drivers included in this enhancement case will require investment of c.£129 million capex over AMP8 for the IMP driver and c.£7.5 million in capex for the INV driver, in 2022/23 prices. This cost includes £40 million avoided investment in capex through including catchment solutions in our plan (see Section 3.4). The costs are summarised in Table 1 below. A translation of these costs by drivers to the Ofwat data table lines is included in Table 2.

### TABLE 1: SUMMARY OF COSTS TO ACHIEVE OUR REGULATORY OBLIGATIONS FOR WFD NUTRIENTS OVER AMP8

Driver	Capex (£m) <sup>3</sup>	Opex (£m)	Totex (£m)
Investigations - WFD_INV	7.454	-	7.454
Improvements - WFD_IMP, WFD_ND, EnvAct_IMP1 including UWWTD <sup>4</sup> (Total)	129.672	13.089	142.761
Improvements – Phosphorus	121.457	12.898	134.355
Improvements – Sanitary determinands (Ammonia & BOD)	8.215	0.191	8.406
TOTAL	137.4	13.8	150.215

<sup>&</sup>lt;sup>4</sup> Urban Waste Water Treatment Directive (UWWTD)



<sup>&</sup>lt;sup>3</sup> Numbers may not add due to rounding.

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#### TABLE 2: CWW3 AMP8 EXPENDITURE

Line Reference	Line description	Detail	Capex[1] (£m)	Opex (£m)	Totex (£m)	Transition Capex (£m)	Transition Opex (£m)	Transition Totex (£m)
CWW3.111	Investigations, other (WINEP/NEP) - multiple surveys, and/or monitoring locations, and/or complex modelling	Investigations	7.454	0.000	7.454	0.000	0.000	0.000
CWW3.81	Catchment management – nutrient balancing	7 Catchment solutions including 4 hybrid solutions including 10 end of pipe schemes	73.792	9.809	83.602	8.726	1.821	10.547
CWW3.72	Treatment for nutrients (N or P) and / or sanitary determinands, nature based solution	1 scheme for Percy Beck	4.989	0.175	5.164	1.200	0.000	1.200
CWW3.84	Catchment management - catchment permitting	6 end of pipe schemes for Team (P and Ammonia) and Wansbeck (P)	19.375	1.256	20.631	0.900	0.000	0.900
CWW3.66	Treatment for phosphorus removal (chemical)	4 end of pipe schemes where catchment and nature-based solutions are not appropriate	23.230	1.658	24.888	1.300	0.000	1.300
CWW3.75	Treatment for tightening of sanitary parameters	3 ammonia schemes (1 BOD no cost scheme)	8.215	0.191	8.406	0.500	0.000	0.500
CWW3.117	Contribution to third party schemes	1 scheme for Pallins Burn	0.071	0.000	0.071	0.071	0.000	0.071
Total			137.126	13.089	150.215	12.697	1.821	14.518

We request transition funding of £12.7m capex for Year 4 and Year 5 of AMP7 to allow us to start our phosphorus removal programme early and deliver best value catchment solutions for customers.

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The following sections explain in greater detail the needs we must address in AMP8, how we have arrived at the best value solutions, and demonstrate our continued focus on maximising the role of nature and partnership opportunities to deliver more for customers and the environment in the long term.



# 2. NEED FOR ENHANCEMENT INVESTMENT

# 2.1. ALIGNMENT WITH STATUTORY PLANNING FRAMEWORKS

The Environment Agency and Natural England translate legislation and UK government priorities set out in the Water Industry Strategic Environmental Requirement (WISER). WISER describes the legal obligations, government targets and statutory (S or S+) requirements water companies must achieve during each five yearly price review. It also sets out the non-statutory (NS) (with or without government support) requirements a water company should consider provided there is customer support for this action. WISER therefore underpins the government's Strategic Policy Statement which specifies the government's priorities for the water industry and the framework and policy priorities within which Ofwat should operate.

The WINEP methodology enables water companies to develop, fund and implement sustainable solutions to address the problems. It does this by setting out the overarching process to design, develop, and deliver water company actions to protect and improve the environment.

Two main statutory drivers underpin the need for this enhancement case:

- the Water Framework Directive ensuring 'no deterioration' to waterbodies as a consequence of our activities and achieving 'good ecological status'; and
- the Urban Waste Water Treatment Directive (UWWTD) protecting our environment through potentially harmful wastewater discharges through designating rivers with high nutrient levels as 'sensitive' where any significant discharges require the implementation of more stringent discharge permits.

Implementation of both directives is managed by the Environment Agency (EA) on behalf of Defra. Delivery of all WINEP obligations is monitored by the EA and forms part of their annual Environmental Performance Assessment. Since PR19 there have been new nitrogen standards for ecological status of lakes and reservoirs and links to conservation drivers, the Environment Act 2021 target to reduce phosphorus loading from treated wastewater by 80% by 2037 against a 2020 baseline, and explicit inclusion of catchment and nature-based solutions (C&NBS) as options for achieving water body and catchment objectives.

All elements of this business case have been developed in accordance with the WINEP Framework. There is separate guidance for:

- nutrients and sanitary determinands (surface waters)<sup>5</sup>;
- prevent deterioration<sup>6</sup>; and
- Urban Waste Water Treatment Regulations<sup>7</sup>.

We examine each statutory driver in greater detail below.

# **2.2. WATER FRAMEWORK DIRECTIVE**

The Water Framework Directive (WFD) includes drivers to reduce the levels of nutrients and sanitary determinands entering surface water, and to prevent deterioration of water quality elements within receiving water bodies due to STWs effluent discharges.

This enhancement case sets out the investment required for actions to be carried out in AMP8 to improve water quality to meet good WFD Regulations status, and to inform PR29 option development through the following:

- Catchment investigations into sources of nutrients (phosphorus) to river waterbodies.
- Investigations into the impacts of urban pollution on river water quality.
- Investigations into the water quality impacts from Washington STW and growth to the Wear Estuary.
- Actions to reduce loadings to surface waters of phosphorus, nitrogen, ammonia, or biochemical oxygen demand (BOD) through treatment at STWs or through catchment/nature-based alternatives to sewage treatment.
- Actions to prevent deterioration in water quality elements within receiving waterbodies in three catchments the Derwent, the River Skerne and the River Wear.
- Actions to improve discharges and reduce total phosphorus under UWWTD.

In addition to the WFD, we must also keep to the requirements presented in UWWTD to reduce phosphorus loads for STs that have crossed population thresholds.

The drivers and solutions to reduce nutrient and sanitary determinants in wastewater through improvements and prevent deterioration are included in our PR24 Plan through the WINEP. To justify action under the WINEP, PR24 guidance states that there must be a confirmed link between a water company asset and the observed effect for actions to improve biology, and sufficient robust evidence that there is a clear link between STW discharges and deterioration.

<sup>&</sup>lt;sup>5</sup> Environment Agency 2022, PR24 WINEP driver guidance – Nutrients and sanitary determinands (surface waters) version 0.3

<sup>&</sup>lt;sup>6</sup> Environment Agency 2022, PR24 WINEP driver guidance – Prevent deterioration version 0.3

<sup>&</sup>lt;sup>7</sup> Environment Agency 2022, PR24 WINEP driver guidance – Urban Waste Water Treatment Regulations version 0.3

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WINEP driver guidance sets the four statutory obligations as shown in Table 3. The guidance states there is a 'need to identify any extra actions (beyond those in PR19) required to meet WFD waterbody objectives and to account as far as possible for potential future changes to those objectives.' It also stipulates that there must be sufficient evidence and technical justification to confirm that the action is needed to achieve, or contribute to the achievement of, the relevant waterbody objective in the 2021 river basin management plans or improving the waterbody status towards good as part of the overarching goal of achieving good status.

### TABLE 3: NUTRIENT AND SANITARY DETERMINANDS STATUTORY DRIVERS<sup>8</sup>

Driver Code	Description	Legal Obligation	Tier 1 outcome	Required by dates	PR24 data tables enhancement category	
WFD_INV	Investigations of actions to improve water quality in terms of relevant WFD status objectives.	Statutory	Water company contribution to achieve			
WFD_IMP	Implementation of actions to improve water quality in Statutory terms of relevant WFD plus status objectives		improvement objectives for water quality or prevent deterioration	By 31 March 2030, to be delivered in AMP8.	Treatment for nutrients (nitrogen or phosphorus) and / or sanitary determinands, nature- based solution	
EnvAct_IMP1	Actions to reduce phosphorus loading from treated wastewater by 80% by 2037 against a 2020 baseline	Statutory	Water company contribution to achieve improved water quality.	Identified as secondary driver delivered through WFD_IMP. By 31 December 2038.		
WFD_ND <sup>9</sup>	Actions to meet requirements to prevent deterioration	Statutory	Water company contribution to achieve improvement objectives for water quality or prevent deterioration	By 31 March 2030, to be delivered in AMP8.	Treatment for tightening of sanitary parameters	

These drivers contribute to the following 25 Year Environment Plan goals/policies:

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- improving at least three quarters of our waters to be close to their natural state as soon as is practicable.
- reaching or exceeding objectives for rivers, lakes, coastal waters and ground waters that are specially protected, whether for biodiversity or drinking water as per our river basin management plans.
- restoring 75% of our one million hectares of terrestrial and freshwater protected sites to favourable condition, securing their wildlife value for the long term.
- reducing the impact of wastewater.

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<sup>&</sup>lt;sup>8</sup> Source: Environment Agency

<sup>&</sup>lt;sup>9</sup> This driver is a primary driver for one need and has been considered as a secondary driver for other needs being delivered under WFD\_IMP.



• improving how we manage and incentivise land management.

There are two statutory plus WFD drivers in the WINEP framework guidance for Water Body Ecological Status<sup>10</sup> which have been identified as secondary drivers for our Needs, where applicable. These drivers contribute towards the Environment Agency Tier 1 outcome - Water company actions contributing to poor or bad ecological status.

# 2.3. URBAN WASTE WATER TREATMENT DIRECTIVE

There are two UWWTD statutory drivers as shown in Table 4. We've used these drivers to help prioritise our investment to upgrade the same sites for WFD. The WFD standards are based on 'river needs' quality status and so are more stringent than UWWTD, and therefore it is more efficient to select the same sites for upgrades where the UWWTD already applies as no catchment options are feasible for the UWWTD.

Driver Code	Description	Legal obligation	Required by date	PR24 data tables enhancement category
U_IMP 1	Actions to improve discharges from agglomerations that, through population growth, have crossed the population thresholds in the UWWTR and therefore must achieve more stringent UWWTR requirements. This includes newly qualifying discharges (from agglomerations >10,000 PE) within existing Sensitive Areas. This includes discharges of >2,000 PE to fresh waters and estuaries and discharges >10,000 PE to coastal waters, as well as discharges >10,000 PE and 100,000 PE to Sensitive Areas.	Statutory	By 13 May 2030	Treatment for phosphorus removal (chemical)
U_IMP 2	Actions to reduce total phosphorus and/or total nitrogen levels in qualifying discharges (from agglomerations >10,000 PE) associated with the next review of Sensitive Areas (Eutrophic).	Statutory	By 13 May 2030	

#### TABLE 4: URBAN WASTEWATER TREATMENT REGULATIONS STATUTORY DRIVERS

These drivers contribute towards the Environment Agency Tier 1 outcome - Water company actions to protect the environment from the effects of urban wastewater collection and discharges. There were no needs identified for U\_IMP3 which cover actions to introduce more stringent treatment than UWWTD secondary treatment to optimise reduction of nitrogen in qualifying discharges (from agglomerations >10,000 PE) associated with the next review of freshwater Sensitive Areas (nitrate).

<sup>&</sup>lt;sup>10</sup> Environment Agency 2022, PR24 WINEP driver guidance – Water Body Ecological Status (Poor and Bad Ecological Status Waterbodies) version 0.3



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The WINEP drivers in this business case have links to other planning frameworks including:

- River Basin Management Plan (2019) which defines the waterbody status with the objective to meet Good Chemical status linking to these Drivers.
- Water Resources Management Plan from which growth scenarios are used for the ND assessment by the Environment Agency.
- DWMP which supports in defining the catchment characteristics discharging into the STW. Schemes shall contribute to the improved water quality (Total Phosphorus) in downstream surface waterbodies.
- Flood Risk Management Plan which sets out how organisations, stakeholders and communities will work together to manage flood risk.
- Drinking water quality standards that can impact domestic sewage chemical concentrations.

# 2.4. OUR PROGRESS DURING AMP 7

We are on-track to deliver our WINEP programme for AMP7. The WINEP is a key part of the overall programme of measures to meet the requirements of the Environment Agency (EA)'s Water Industry Strategic Environmental Requirements (WISER) document. This includes objectives to meet Water Framework Directive (WFD) 'Good' status in our rivers by 2027 and prevent deterioration in status, together with other international regulatory drivers, including the Urban Wastewater Treatment (UWWT) and Habitats Directives.

The EA sets an expectation in its WISER guidance that companies will deliver 100% of the environmental improvement schemes listed in WINEP. To date (by 31 March 2023) we have delivered over 70% (439 schemes) of our WINEP programme. We are confident that we will deliver 100% of the schemes by the end of the five-year period.

The EA monitor and report on our WINEP delivery performance as part of the Environmental Performance Assessment (EPA). Successful delivery of our WINEP programme has underpinned our achievement of a 4-star performance, the highest possible, in the Environment Agency's Environmental Performance Assessment (EPA) in two out of the last three years with last year seeing us achieve a 3-star rating. We have consistently delivered 100% of schemes since its introduction in 2011.

A significant part of our WINEP programme is focused on the impact of our STWs on the environment, which includes the level of nutrients discharged. Through previous AMPs and in AMP7 we have been part of the Chemical Investigations Programme (CIP) investigations and trials. The AMP6 CIP2 programme was a collaborative approach to trialling alternative technologies for phosphorus removal to understand the lowest technically achievable limit (TAL), and to understand the costs required to meet TAL which helps to inform permitting decisions to ensure the options are not cost prohibitive. These investigations and trials have informed the permit development for nutrient and chemicals in wastewater and the need to invest in removal of these as necessary. The CIP2 trials informed our programme for phosphorus removal in AMP7, which





has been delivered through schemes included in our AMP7 WINEP programme.

In AMP7, we have expanded our range of solutions to meet the demands of tighter permit requirements for phosphorus towards TAL. We have also undertaken feasibility and development work for catchment solutions for phosphorus using catchment nutrient balancing approaches, and agreed with the EA that trials for catchment-based solutions will start in two catchments in 2027. These projects for the Browney and Skerne are in development as the foundation for our programme of catchment solutions in AMP8 and beyond and have allowed us to think differently about how we can deliver phosphorus improvements in PR24.

We have an <u>EPA 3 star rating</u> and are endorsed by the EA to use catchment based permitting approaches, which means we can be confident in exploring greener more sustainable and more innovative options which can be more cost-effective and deliver wider benefits for customers and the environment, alongside optioneering hard engineered end-of-pipe solutions.

We are confident that the skills and capabilities we have developed over the past AMPs, our technical understanding of the requirements for our region, our ability to work collaboratively and in partnership with others, and our continued focus on the environment provide a strong foundation from which to deliver our more stretching AMP8 programme.

# 2.5. OUR ASSUMPTIONS FOR BASE SPEND IN AMP8

The need for investment to reduce phosphorus loading to waterbodies in AMP8 is based on the performance improvement required and timescale in which it must be delivered, defined by the statutory drivers. All investment is new, related to changing permit and nutrient requirements and has not been funded in previous price reviews.

The assumptions we have made to allocate investment to base or enhancement are outlined in Table 5. We assume that continuing our now business-as-usual activities that deliver against nutrient improvement needs from previous AMPs will be covered by base investment as operational costs for running phosphorus and ammonia removal treatment plants. This includes meeting existing permit levels for phosphorus and sanitary determinands (ammonia and BOD). As our AMP8 WINEP improvement needs for nutrients set out within this business case (outlined in Section 2.6) align with our statutory obligations, they fall to enhancement expenditure.

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#### TABLE 5: OUR ASSUMPTIONS AROUND BASE AND ENHANCEMENT INVESTMENT

ase	Enhancement		
Maintenance of ongoing wastewater treatment for nutrients and sanitary determinands following previous AMP enhancement investment	<ul> <li>Needs aligned with new statutory obligations</li> </ul>		
Items funded at previous price reviews			
Business-as-usual activities that deliver against needs from previous AMP enhancement investment			

We have not received investment funding from Ofwat to address our AMP8 WINEP needs in the past, these are all included as new schemes for PR24.

# 2.6. OUR AMP8 NEEDS

# **2.6.1 Our obligations for WFD nutrient improvements and investigations**

Step 1 of the WINEP Options Development Guidance requires us to confirm the environmental risks and issues to address. We have followed the methodology set out in the WINEP driver guidance. We worked with the Environment Agency and Natural England to identify needs on a catchment basis.

To inform and establish our list of sites we reviewed the following:

- the Environment Agency's SAGIS-SIMCAT models.
- an initial long list of 40 STWs considered for phosphorus removal or investigation under WFD drivers in catchments identified for potential water company investment (note that this list subsequently increased with the introduction of new nutrient drivers, both ahead of January 23 WINEP submission and then again ahead of the final WINEP submission in July 2023).
- 27 extra STW candidates for improvement brought in to WINEP needs under extra drivers, including Environment Act\_IMP1, following Environment Act Targets legislation.
- STWs considered for ammonia removal.
- waterbodies which may be impacted significantly by urban sources, including polluted surface water outfalls and misconnections.

A catchment-level assessment was carried out by the PR24 Catchment Planning Team for nutrient drivers, where the focus was on phosphorus, considering the impact of our assets on water quality and assessing the evidence at catchment scale.

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As required by WINEP guidance, a weight of evidence approach was used to assess the robustness of the available information, and to understand the need for further evidence gathering through investigations or for improvements.

A simplification of the methodology used to identify the needs to keep to WFD investigations and improvement actions follows the PR24 WINEP driver guidance is illustrated in Figure 1. More detail is provided in the Options Development Reports on how the needs have been defined including detail on SAGIS outputs and the evidence gathered and assessed as required by WINEP.

A clear link between the impact of our wastewater assets and an environmental issue was required to support the need and has been identified from the following sources:

- eutrophication weight of evidence (WoE); •
- RNAGs status: .
- the outcomes of SAGIS modelling; •
- analysis of in-river water quality and final effluent data; and
- local knowledge from catchment partners suggests that our wastewater assets, together with other catchment sources, are impacting on water quality of the waterbody.

Current site performance and requirements to meet WFD good status informed our approach to options development. The performance improvements required under the WFD IMP driver are shown in greater detail in Appendix B.

In addition to WFD improvements and future improvements covered by investigations, other sites may require investment to prevent deterioration in the quality of receiving waters where the quality of effluent discharged from STWs is impacted by growth and development. The methodology used to identify needs under the WFD ND driver follows the PR24 WINEP driver guidance and is shown in Figure 1 and Figure 2.

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#### FIGURE 1: METHODOLOGY FOR DETERMINING WFD IMPROVEMENTS AND INVESTIGATIONS



<sup>1</sup> EVIDENCE CONSIDERD TO BE RNAG, EUTROPHICATION WOE WITH 'VERY CERTAIN' OR 'QUITE CERTAIN' EUTROPHICATION PROBLEM, NWG SAMPLING (JAN TO DEC)

<sup>2</sup> EVIDENCE AS PER THE DRIVER GUIDANCE CONFIDENCE LEVELS. LEVEL 1 = CONFIRMED LINK, LEVEL 2 = RISK OF PROBLEM CAUSED AND INV WILL RESOLVE EVIDENCE SHORTFALL.

<sup>3</sup> APPROPRIATE TREATMENT UNDER THE UWWTD IS BOD:SS OF 40:60

4 THE EnvAct DRIVER REQUIRED AN OPERATIONAL CATCHMENT LEVEL LOAD REMOVAL TARGET TO BE MET. Without a need from WFD\_IMP it is assumed that the EnvAct load removal could be compensated for elsewhere

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#### FIGURE 2: WFD\_ND METHODOLOGY



<sup>1</sup>LOAD INCREASE EVALUATED BASED ON INCREASE IN CONCENTRATION AND/OR INCREASE IN FLOW <sup>2</sup> PROBALE RISK OF DETERIORATION CALCULATED BASED ON GROWTH > 10% AT A SITE WITH HEADROOM <10% Review of no deterioration requirements resulted in identification of the following needs (Table 6):

#### TABLE 6: NO DETERIORATION NEEDS

No.	ND Need	Root cause	Need Description		
1	Lockhaugh STW	~14% population growth in catchment	No deterioration would result in a change of the P removal from 2 mg/l to 0.95 mg/l at		
	(phosphorus)	feeding Lockhaugh STW causing increase	Lockhaugh STW to maintain the waterbody status as High in the Derwent from Burnhope Burn		
		in phosphorus load from STW	to River Tyne water body		
2	Bishop Auckland STW	~16% Population growth in catchment	No deterioration would result in a change in the NH3 from 10mg/l to 2.8 mg/l at Bishops		
	(Ammonia)	causing increase in ammonia load from	Auckland to maintain waterbody status as high within water body Wear from Gaunless to		
		STW	Browney. Current 95%ile performance is 4.2 mg/l		
3	Windlestone STW (BOD)	~14% population growth in catchment	No deterioration would result in a change of the P removal from 2 mg/l to 0.95 mg/l at		
		feeding Lockhaugh STW causing increase	Lockhaugh STW to maintain the waterbody status as high in the Derwent from Burnhope Burn		
		in phosphorus load from STW	to River Tyne water body		

Following review and assessment of drivers and needs with the EA ahead of our final WINEP submission in July 2023, we identified 29 needs for WFD nutrient investigations, 56 needs for improvements for WINEP nutrients at STW or waterbody level and 2 needs for UWWTD drivers for which WINEP solutions were developed (Table 7).

#### TABLE 7: NEED IDENTIFICATION FOR WFD\_IMP

Need Type	No. Needs	Need Description
WFD INV (Phosphorus)	29 <sup>11</sup>	Investigations required to understand future improvements towards achieving Good ecological status in
	29	receiving waterbodies. Includes 27 STW level needs (1 marine impact) and 2 urban waterbody needs

<sup>&</sup>lt;sup>11</sup> An additional 4 STWs were also identified for WFD INV needs in the Coquet catchment which are included in our long-term phosphorus improvement plan, but these have SSSI INV as a primary driver and are not included in this business case (see NES-28 A3-14 Protected Areas and Bathing Waters case)

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Need Type	No. Needs	Need Description
WFD IMP (Phosphorus)	45	P removal schemes required for STWs to achieve fair share towards achieving Good ecological status in
		receiving waterbodies
	2	P removal schemes required for STWs where waterbodies are formally already at Good
ENV ACT IMP1 (Phosphorus)	3	ecological status but evidence shows improvements are needed
WFD ND (Phosphorus)	2	Lockhaugh STW Embleton STW
	4	Ammonia removal schemes required to achieve Good ecological status in receiving
WFD IMP (Ammonia)		waterbodies
MED ND (Ammonia)		Ammonia removal schemes required to ensure no deterioration in ecological status in
WFD ND (Ammonia)	I	receiving waterbodies
WFD ND (BOD)	1	Windlestone STW
UWWTD	2	Willington (U_IMP1) and Stressholme (U_IMP2)
Total	87	

Our approach through the PR24 catchment planning team was to address these needs at catchment level to develop appropriate and cost-effective solutions. Our catchment approach meant that the number of needs does not map directly to the number of solutions, as we developed options for catchment investigations, catchment solutions, and catchment permitting approaches to address these needs alongside traditional STW level solutions.

Our integrated approach to addressing needs also meant that we combined drivers, needs and solutions where we could, to make sure that we were efficient (see Section 3.4).

Review of requirements resulted in identification of the following WFD\_IMP needs presented in Table 8:

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### TABLE 8: NEEDS FOR WFD\_IMP

No	Need name	Poot opuso	Need Description	Secondary
No.	Need name	Root cause	Need Description	WINEP driver
1	Belford burn catchment improvement	Failure against the WFD phosphate classification element.	WFD_IMPg (P). Improve water quality (P) in Belford Burn from Source to Ross Low (GB102021072990) from Moderate to Good waterbody status. The new WFD_IMPg (P) permit of 0.25mg/l will also address the catchment's secondary driver WFD_ND (P) which permit is 0.4mg/l.	EnvAct_IMP1 WFD_ND
2	Wansbeck catchment improvement	Failure against the WFD phosphate classification element. Waterbody at moderate status downstream of Morpeth, and at Pegswood is at poor status	WFD_IMPg (P). Improve water quality (P) in Bothal Burn (GB103022077030) from Poor to Moderate and Wansbeck from Font to Bothal Burn from Poor (based on Macrophytes class) to Good. The new WFD_IMPg (P) solution will also address the catchment's secondary driver WFD_ND (BOD) by changing the outfall location to River Wansbeck. Investment at Morpeth required.	EnvAct_IMP1 WFD_ND
3	Pallins burn catchment improvement	Failure against the WFD phosphate classification element.	WFD_IMPg (P). Improve water quality (P) in Pallins Burn Catchment (tributary of Till) (GB102021072990) from Moderate to Good.	None
4	Clow beck catchment improvement	Failure against the WFD phosphate classification element.	WFD_IMPg (P). Improve water quality (P) in Aldbrough Beck from Source to Clow Beck (GB103025072150); Aldbrough Beck from Forcett Park Catch to Clow Bk (GB103025072060) and Barton Beck from Source to Clow Beck (GB103025072040) from Poor/Moderate to Good	WFD_IMP_MOD EnvAct_IMP1
5	Embleton burn catchment improvement	Failure against the WFD phosphate classification element.	WFD_IMPg (P). Improve water quality (P) in Embleton Burn from Source to North Sea (GB103022076370) from Poor to Good. The new WFD_IMPg (P) permit of 1mg/l will also address the catchment's secondary driver WFD_ND (Phosphorus) which permit is 2mg/l.	WFD_IMP_MOD EnvAct_IMP1 WFD_ND
6	Hawthorn burn catchment improvement	Failure against the WFD phosphate classification element.	WFD_IMPg (P). Improve water quality (P) in Hawthorn Burn from Source to North Sea (GB103025075950) from Poor to Moderate. Phosphorus he new WFD_IMPg (P) driver will also address the catchment's secondary driver WFD_MOD.	WFD_IMP_MOD EnvAct_IMP1
7	Percy Beck catchment improvement	Failure against the WFD phosphate classification element.	WFD_IMPg (P). Improve water quality (P) in Percy Beck Catchment (Tributary of Tees) (GB103025072220) from Poor to Moderate. Secondary driver EnvAct_IMP1.	EnvAct_IMP1
8	River Leven catchment improvement	Failure against the WFD phosphate classification element.	WFD_IMPg (P). Improve water quality (P) in Broughton Beck from Source to River Leven (GB103025071870) and Leven from Tame to River Tees (GB103025071880) from Poor to Moderate and three other Leven waterbodies from Moderate to Good. The new WFD_IMPg (P) driver will also address the catchment's secondary driver WFD_MOD.	WFD_IMP_MOD EnvAct_IMP1
9	River Skerne catchment improvement	Failure against the WFD phosphate classification element.	WFD_IMPg (P). Improve water quality (P) in multiple waterbodies of the Skerne from Poor/Moderate to Good. The new WFD_IMPg (P) driver will also address the catchment's secondary drivers: WFD_IMP_MOD, EnvAct_IMP1, WFD_ND (BOD) and WFD_CHEM.	WFD_IMP_MOD EnvAct_IMP1 WFD_ND WFD_CHEM

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No.	Need name	Root cause	Need Description	Secondary WINEP driver
10	River Team catchment improvement	Failure against the WFD phosphate classification element.	WFD_IMPg (P). Improve water quality (P) in Team from Source to Tyne (GB103023075670) from Moderate to Good.	EnvAct_IMP1
11	River Team catchment improvement	Failure against the WFD ammonia classification element.	WFD_IMPg (NH3). Improve water quality (NH3) in Team from Source to Tyne (GB103023075670).	EnvAct_IMP1
12	River Tees catchment improvement	Failure against the WFD phosphate classification element. Waterbody at poor status.	WFD_IMPg (P). Improve water quality (P) in Tees from Skerne to Tidal Limit (GB103025072595) Poor to Good. The new WFD_IMPg (P) permit of 0.25mg/l at Stressholme STW will also address the catchment's secondary driver WFD_ND (P) which permit is 0.4mg/l and the UWWTD (P) which has a limit of 1mg/l.	WFD_IMP_MOD EnvAct_IMP1
13	River Tees catchment improvement	Failure against the WFD ammonia classification element.	WFD_IMPg (NH3) Improve water quality (P) in Tees from Skerne to Tidal Limit (GB103025072595) Poor to Good.	WFD_IMP_MOD EnvAct_IMP1
14	River Wear catchment improvement	Failure against the WFD phosphate classification element.	<ul> <li>WFD_IMPg (P). Improve water quality (P) in multiple Wear Middle &amp; Wear Lower and Estuary (improvement in WFD P status)</li> <li>The new WFD_IMPg (P) permit of 0.25mg/l will also address Bishop Auckland STW secondary driver WFD_ND (P) which permit is 0.5mg/l. See Investment Loader WINEP WFD_ND for NH3.</li> <li>The new WFD_IMPg (P) permit of 0.25mg/l will also address Sedgeletch STW secondary driver WFD_ND (P) which permit is 0.77mg/l</li> </ul>	WFD_IMP_MOD EnvAct_IMP1 WFD_ND
15	South Low catchment improvement	Failure against the WFD phosphate classification element.	WFD_IMPg (P). Improve water quality (P) in South Low from Haggerston Bridge to North Sea (GB103021073222) from Moderate to Good. South Low from Source to Haggerston Bridge (GB103021073221) is already High. The WFD_IMPg (P) new permit at Lowick STW of 0.8mg/I will also address its secondary driver WFD_ND (P) which permit is 2.51mg/I.	EnvAct_IMP1
16	Tyne upper catchment improvement	Failure against the WFD phosphate classification element.	WFD_IMPg (P). Improve water quality (P) in March Burn Catchment (tributary of Tyne) (GB103023075650) from Moderate to Good.	None



# 2.6.2 Our obligations for UWWTD improvements

Drivers for UWWTD are UIMP1, UIMP2 and UIMP3. These impact nutrient removal requirements for phosphorus and nitrogen. We worked with the Environment Agency to understand these drivers for PR24. We were made aware that one new site (stretch of the River Tees) would be designated under UWWTD as a sensitive area – eutrophic. This impacts Stressholme STW which does not currently have a phosphorus permit.

We reviewed population growth at our works to assess any other new requirements under UWWTD. We used Edge analytics data to show the increase in dwellings from 2020 to 2060 for 159 numeric STWs. Using a factor of 2.4, the number of dwellings was converted to a Population Equivalent (PE). A population growth factor was established by calculating the percentage change in PE from 2020 to the design horizon of 2035. This growth factor was then applied to the current STW permits PE to achieve the PE for 2035. We agreed with the Environment Agency that population forecasts would be derived from the local plan forecast data for our PR24 planning (representing the high demand scenario).

After we reviewed growth and population, Willington STW was identified as requiring permits under UWWTD as it will breach the 10,000 population threshold requiring phosphorus treatment to 2mg/l (Table 9 and Table 10 respectively). The level of treatment<sup>12</sup> required to meet these permits is based upon the receiving water body and population equivalent.

#### TABLE 9: UWWTD IMPOSED PERMIT LIMITS<sup>13</sup>

Population Equivalent	Total Phosphorus permit limit (mg/l)	Total Nitrogen limit (mg/l)	
10,000 to 100,000	2	15	
>100,000	1	10	

#### **TABLE 10: POPULATION GROWTH AT WILLINGTON**

Data Source	%Growth increase	PE 2021	PE in 2025	PE in 2035
DWMP	4.5%	10,944	10,078	10,910
Edge	3.6%	9,543	11,100	11,340

We reviewed the growth in population for Stressholme and concluded that owing to high infiltration at the works, there is headroom available for the predicted population growth within AMP8. Stressholme will thus not qualify for growth investment

<sup>&</sup>lt;sup>12</sup> Environment Agency January 2019, Waste water treatment works: treatment monitoring and compliance limits

<sup>&</sup>lt;sup>13</sup> Urban Waste Water Treatment Regulations

within AMP8. The infiltration will be reviewed within AMP7/8 with a likelihood of a reduction in infiltration and dry weather flow (DWF). Stressholme STW and Willington STW growth is summarised in Table 11.

#### **TABLE 11: GROWTH DATA**

Treatment	Current	population	Population	Population	Current	Future Consent
Works	equivalent	at 2022	equivalent at 2030	equivalent at 2035	consent	
Stressholme	145,986		156,729	164,849	No P limit	P limit of 1 mg/l Total nitrogen limit of 10 mg/l
Willington	9,222		9,441	9,570	No P limit	P limit of 2mg/l Total nitrogen limit of 15 mg/l

A summary of UWWTD sites is given in Table 12.

#### **TABLE 12: LIST OF UWWTD SITES**

No.	Need name	Need Description	Root cause
1	U_IMP1 - Willington STW	Sites where the population growth is greater than 10,000 which means that it will fall into UIMP1 driver and receive a permit of 2mg/ for Phosphorus. There is no current phosphorus permit on this site. New P limit of 2 mg/l.	Current assets will not meet new permit of 2 mg/l.
2	U_IMP2 - Stressholme STW	Stressholme STW discharge is currently more than the 100,000PE threshold. The discharge point is in a newly designated a sensitive area. New P limit of 1mg/l.	Current assets will not meet new permit of 1 mg/l.

### 2.7. LINK TO LONG TERM STRATEGY

This investment is needed as part of the 'protecting the local environment' investment area under our <u>Long-Term Strategy</u> (LTS) core pathway.

Our LTS sets out our long-term target to work with partners to eliminate all impediments to our rivers achieving good ecological status caused by our operations and to make sure that 75% of our rivers achieve good ecological status. To achieve this, we need to improve and restore biological quality elements and reduce the nutrients and pollution in rivers. This means investigating and tackling issues where our operations and physical infrastructure could cause deterioration, or where catchment and nature-based solutions or upgrades to existing infrastructure could help to achieve good ecological status.

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We consider this is a low/no regret investment because it is needed to meet statutory requirements in the 2025-30 period. We have a legal obligation to deliver this investment by 2030 as this enhancement case includes only investment needed to meet the statutory requirements for 2025-30 under the WFD and UWWTD in the WINEP. We therefore consider this investment is necessary in 2025-30 to deliver our LTS.

As this enhancement case tackles complex environmental challenges around nutrients, we expect there will be a need for further investment in future periods. The investigations included in this enhancement case will support development of future options including those for PR29.

The timing of the improvement required, set by the Environment Agency, has challenged our ability to deploy catchment and nature-based solutions. We have worked collaboratively with the Environment Agency to incorporate, where possible, a nature-first approach as it supports our 2050 ambition to care for the long term needs of the environment and is aligned with the 25 Year Environment Plan goals.

# 2.8. FACTORS OUTSIDE OF OUR CONTROL

At a high level, the needs identified and included in this enhancement case are driven by a statutory obligation to be addressed by WINEP schemes agreed with the Environment Agency. The WFD and UWWTD commit us to achieving specific permit limits targeted to meet river needs for nutrients. We contribute directly to the nutrient load through our wastewater treatment process by discharging treated effluent. The quality of effluent discharged from our sites and assets (and the main driver for this enhancement) is within our control, subject to having available technologies to treat to the required limits.

Investments required to address nutrients are also driven by factors outside our control. Water bodies are impacted by nutrients from various sources, of which treated wastewater is one. There are, however, other stakeholders in our region who also contribute to the level of nutrient concentration in water bodies. The nutrients discharged from their sites and assets, for example farms and agricultural land, contribute to nutrient levels and are not within our direct control.

To address the factors outside our control that contribute to the needs identified in this case we have taken a catchment approach. This is because the models do not always reflect these external contributions, and in some cases improved evidence is needed to understand the apportionment and responsibility wastewater treatment needs to play in meeting river water quality outcomes. This has helped us to understand the various drivers of nutrients in our region, allowed us to consider 'fairshare' and catchment level nutrient management and enabled a broader range of options to be considered than simply end-of-pipe on our sites and assets.



We have experience in working at a catchment level, for example through the North East Catchments Hub, and are confident in our ability to address the statutory needs while also incorporating the wider needs of the local environment through a greater use of C&NBS. Additional information on our options appraisal is included in Section 3.2.

# 2.9. CUSTOMER SUPPORT FOR THE NEED

These projects are all a consequence of statutory requirements, and so we have not discussed the specific needs with customers. That is because our research shows that customers expect us to meet our statutory obligations, and it is not appropriate to discuss delaying or phasing investment where there are no alternatives to meet the statutory requirement to deliver our part of WINEP.

Our research shows that customers support investment in the environment, including wider environmental and social benefits – though they do not necessarily think they should always pay for this through their water and wastewater bills. In particular, our customers rank dealing with sewage effectively and improving the quality of rivers as two of their "medium" priorities (prioritisation of common PCs, NES44).

We also asked customers about their support for investment in nature-based solutions rather than engineering solutions. In our People Panels research, we discussed our options for tackling nutrient neutrality across Lindisfarne and Teesmouth. Customers did not support an engineering-based approach to removing nitrogen from wastewater, because of the high cost for a relatively low impact. Customers indicated that they would support a less expensive, nature-based approach. Customers considered this important (line-of-sight, NES45). We apply a similar approach to phosphorus.

In our <u>qualitative affordability and acceptability testing</u> (NES49), customers supported our "preferred" plan which included these phosphorus improvements. Customers found this plan acceptable because it focused on the right things, is good for future generations, and is environmentally friendly. Customers who did not find this plan acceptable said that this was expensive, and water companies should pay out of their own profits. We did not ask specifically about phosphorus (as our individual items were limited only to the largest investments), but customers supported maintaining rivers and reducing pollution (NES49). In our <u>quantitative research</u> (NES50), 74% of customers supported our preferred plan, including this investment.

We have listened to our customers and included nature-based solutions and catchment-based solutions, with modifications made as agreed with the Environment Agency, in our enhancement case. We recognise our proposed approach requires some statutory requirements to not be applied by the Government (with green solutions used instead of grey to deliver more benefits).

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Our process of optioneering, which included catchment-based solutions, is supported by our ongoing work in AMP7 with our <u>North East Catchments Hub</u>, which we established to bring the catchment-based approach into integrated water management at a regional level. We have tested all statutory environmental requirements as a single package.

Our catchment approach to developing our phosphorus plan has been endorsed by The Rivers Trust, in a letter copied to Defra, Ofwat and the EA, CEO Mark Lloyd notes '*This is an industry-leading approach, and follows Ofwat's guidance to* 'produce a high quality, evidence based WINEP programme of best value options – allowing water companies to meet their regulatory obligations and customers' needs, whilst restoring and increasing natural assets to realise environmental net gains'. It has our full support, and we believe it could provide a step change for water quality improvements and wider environmental recovery in the North East of England.'

We attach this letter as Appendix E to this enhancement case.

# 3. **BEST OPTION FOR CUSTOMERS**

# **3.1. PROCESS FOR IDENTIFYING THE BEST OPTION FOR CUSTOMERS**

We have followed a robust process to identify the best option for customers. The process begins with identifying a broad range of options to address the needs identified in Section 2.6, progresses through screening and assessing the feasibility, and concludes by looking at the cost and benefits delivered by the options available.

Key to our appraisal of 'best options' has been our application of a catchment-based approach. This has introduced broader perspectives, additional benefits, and opportunities for increased efficiency into our options identification process. We understand the importance of meeting our statutory obligations and the certainty with which performance improvements must be delivered. In developing our programme to meet our obligations within the mandated WFD timescales, we have strived to balance the level of certainty in our investment with opportunities to deliver greater benefits for customers and the environment through C&NBS, as well as the corresponding bill impact.

Our commitment is to work with stakeholders and communities to deliver the best value options for customers and the environment. We believe that sustainable green solutions, including catchment and nature-based solutions, offer the best value for customers wherever appropriate. These options deliver better and wider improvements for the environment, can be co-funded, are typically more affordable for customers, and are strongly supported by customers, Defra, the Environment Agency and Ofwat.



Our options identification process follows the WINEP Methodology for options development and appraisal, incorporating catchment options. To determine the best option for customers to address each need identified, we applied three different optioneering methodologies depending on the driver code.

- For the INV (investigation) driver code, where there is a clear need to investigate and address a knowledge gap, we worked with the Environment Agency, Mott MacDonald and Stantec to scope an appropriate means of investigation to satisfy the statutory requirement.
- For the ND (no deterioration) and IMP (improvement) driver code, we applied a methodology based on the principles of HM Treasury's *The Green Book: Central Government Guidance on Appraisal and Evaluation*<sup>14</sup> and the *WINEP Options Development Guidance*. A full description of each of the steps and the output from it is contained in the following sections.

Figure 3 summarises how our options development process aligns with the six WINEP options development principles and Table 13 summarises the principles we have applied in developing our options.

<sup>&</sup>lt;sup>14</sup> HM Treasury, 2022, The Green Book, Central Government Guidance on Appraisal and Evaluation

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#### FIGURE 3: PROCESS FOR DEVELOPING AND FILTERING OPTIONS



#### Unconstrained list of options (section 3.2.2)

We have developed a broad range of potential technology options in accordance with section 7.2.1 of the WINEP Options development guidance.

#### **Constrained list of options (section 3)**

To identify a constrained list of options capable of meeting the need, we have screened the unconstrained list of options against two criteria:

1) technically feasible, and

2) expected to meet statutory obligation.

This screening has been completed in accordance with Section 7.2.2 of the WINEP Options Development Guidance.

#### **Options development (section 3)**

For the constrained list of options, we developed the scope up to Level 1 for screening and 2 for preferred options where possible to enable more details cost estimates. With more detailed scope information, we have also measured the benefits, including carbon emissions, for each option.

#### Assessment of best value (section 3.4)

We have carried out an assessment of benefits and net present value for each option from the constrained list following Section 7.3 of the WINEP Options Development Guidance.

We have also assessed each option against the Wider Environmental Outcomes Metrics and a deliverability assessment as part of our benefits assessment in accordance with Section 7.2 of the WINEP Options Development Guidance.

#### Preferred option (section 3.4.2)

We have selected the preferred option based on the outcomes of the best value assessment to maximise value for customers and environmental outcomes while achieving the regulatory requirement for each need. **Enhancement Case (NES13)** 



Expectation	How this has been met
Environmental net gain	The options developed for our WINEP programme will address risks to the environment from our wastewater operations, improving water quality with benefits for the aquatic environment. Through our options development process we have defined and selected options that result in the greatest wider environmental gains (such as for biodiversity, climate and catchment resilience), through assessment of Wider Environmental Outcomes and use of C&NBS where possible.
Natural capital	We have assessed each of our options against the full range of natural capital metrics and wider environmental outcomes as part of our WINEP assessment to the Environment Agency. The measures
	that apply to our options are shown in Table 21. These have been quantified through our benefits assessment which is describe in section 3.4.1.
Catchment and nature- based solutions	We have considered a range of nature-based solutions such as catchment nutrient balancing, integrated constructed wetlands, reed beds, evaporation, facultative lagoons and infiltration fields
Proportionality	We have taken a proportional approach to options development based on the Green Book principles. Where there are more than three traditional treatment options, we have screened out those which have obviously less natural capital benefits, higher costs and higher carbon without undertaking a full benefits and cost assessment, which would require a level 2 optioneering scope. Further information is contained in the remainder of section 0.
Evidence	We present evidence on our reasoning to discard options within Section 0, and evidence how we developed option costs in Section 3.4.2. Extra evidence of our options development process including data used is available in our Options Development Report and Options Assessment. Our WINEP submission has been independently audited by a third party (Jacobs) and there are no outstanding actions.
Collaboration	Collaboration has been a fundamental component of our WINEP options development. We have worked closely with the North East Catchments Hub (NECH), a strategic partnership with the Rivers Trust, who have also engaged with wider stakeholders across our operational area through a series of workshops, to support the development of our WINEP plan.

#### TABLE 13: WINEP OPTIONS DEVELOPMENT PRINCIPLES

# **3.2. BROAD RANGE OF OPTIONS**

Consistent with Figure 4 above, we developed a long list of unconstrained options to address the needs included in this enhancement case. In accordance with the WINEP guidance, we have also considered sustainable low carbon solutions such as integrated wetlands, catchment nutrient balancing and other catchment and nature-based solutions. The options are presented in greater detail below, including our approach to screening options and selecting best value options for customers.

In assessing the options, we have also combined drivers, needs and approaches and used our integrated catchment approach to allow for efficient investment across the programme. For example, where we knew hard engineering investment was required at Willington STW for UWWTD, and at Bishop Auckland STW for ammonia, we selected these as key sites within our Wear catchment solution for WFD investment at end-of-pipe.



# 3.2.1 Options for WFD nutrient investigations

The needs identified under the WFD\_INV driver require investigations. These have a distinct solution and so were not subject to further optioneering, but the PR24 Catchment Planning Team worked to group these into 15 catchment level investigations, which were scoped and costed in consultation with our consultants and the North East Catchments Hub. The option for each investigation is the investigation itself, and the alternative is the 'do nothing' option.

A summary of the options identified as solutions for the WFD\_INV needs is shown in Table 14. These options are also the best value options. The Totex value of the investigations included in our AMP8 plan is £7.454m. Costs included in this enhancement case are in relation to the WFD\_INV driver for phosphorus only, other WFD investigations are included in other WINEP business cases.

#### TABLE 14: THE OPTIONS TO ADDRESS WFD\_INV NEEDS FOR PHOSPHORUS (P)

No.	Solution	Solution Description	Option (type of investigation)	30 Year NPV <sup>15</sup>
1	South Low Catchment Investigation	Investigation into the impacts of Lowick STWs water quality and WFD phosphate status of the South Low from Source to Haggerston Bridge waterbody.	Investigation into the impact of STW(s) on water quality in downstream waterbody (P)	-£261,735
2	River Aln Catchment Investigation	Investigate water quality (P) and/or reduce impacts of WHITTINGHAM, GLANTON and ALNWICK STWs on River Aln.	Investigation into the impact of STW(s) on water quality in downstream waterbody (P)	-£576,862
3	River Pont       Investigation into impacts of STAMFORDHAM, MATFEN and WHALTON         Catchment Investigation       STW on water quality in downstream waterbody         River Lyne       Investigation into impacts of UI GHAM_STW on water quality in		Investigation into the impact of STW(s) on water quality in downstream waterbody (P)	-£559,315
4	River LyneInvestigation into impacts of ULGHAM STW on water quality in downstream waterbody		Investigation into the impact of STW(s) on water quality in downstream waterbody (P)	-£261,735
5	Hepscott Burn Catchment Investigation	Investigation into the impacts of Hepscott STWs water quality and WFD phosphate status of the Sleek Burn / Hepscott Burn Source to Tidal Limit waterbody in the River Blyth catchment.	Investigation into the impact of STW(s) on water quality in downstream waterbody (P)	-£261,735
6	South Tyne Catchment Investigation	Investigation into the impacts of Nenthead and Allendale STWs water quality and WFD phosphate status of the Nent from Source to South Tyne and Allen from Source to West Allen waterbodies.	Investigation into the impact of STW(s) on water quality in downstream waterbody (P)	-£419,298
7	Derwent Catchment Investigation	Investigation into the impacts of Consett and Dipton STWs water quality and WFD phosphate status of the Derwent from Burnhope Burn to River Tyne waterbody.	Investigation into the impact of STW(s) on water quality in downstream waterbody (P)	-£419,298
8	Wear Upper Catchment Investigation	Investigation into the impacts of the Wolsingham, Frosterley, Western Area, Stanhope and Rookhope STWs on water quality and WFD phosphate status of the Wear from Middlehope Burn to Houselop Beck and Rookhope Burn from Source to Wear waterbodies.	Investigation into the impact of STW(s) on water quality in downstream waterbody (P)	-£839,349

<sup>&</sup>lt;sup>15</sup> Benefits – Costs: minimal benefits included in Copperleaf optimisation run, hence negative NPV

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No.	Solution	Solution Description	Option (type of investigation)	30 Year NPV <sup>15</sup>
9	River Gaunless Catchment Investigation	Investigation into impacts of BUTTERKNOWLE, RAMSHAW, COCKFIELD and NEW MOORS STWs on water quality in downstream waterbody	Investigation into the impact of STW(s) on water quality in downstream waterbody (P)	-£681,785
10	Tees Middle Catchment Investigation	Investigation into the impacts of Barnard Castle and Staindrop STWs water quality and WFD phosphate status of the Tees from Percy Beck to River Greta waterbody.	Investigation into impact of STW(s) on water quality in downstream waterbody (P)	-£419,298
11	River Leven Catchment Investigation	estigation water quality and WFD phosphate status of the Potto Beck (tributary of quality in downstream water body (P) Leven) water body.		-£261,735
12	Billingham Beck Catchment Investigation	Investigation into the impacts of BISHOPTON STW on water quality in downstream waterbody.	Investigation into the impact of STW(s) on water quality in downstream waterbody (P)	-£261,735
13	Wear Estuary Marine Modelling Investigation	Marine modelling investigation to identify impact of ammonia, nitrogen, and phosphorus loads on the Wear estuary, including macroalgae growth, including investigation of the impact of Washington STW.	Marine modelling investigation to identify the impact of ammonia, nitrogen, and phosphorus loads on the Wear Estuary, including macroalgae growth	-£137,985
14	Ouseburn Urban Pollution Investigation	Investigate the impact of misconnections, storm overflows and other sources of urban pollution on water quality (P) in the Ouseburn waterbody.	Investigation into the impact of misconnections, storm overflows and other sources of urban pollution on water quality (P) in the Ouseburn waterbody	-£308,159
15	Urban Pollution Investigations	Investigate impact of misconnections, storm overflows and other sources of urban pollution on water quality (P) in five water bodies (to be specified).	Investigation into the impact of misconnections, storm overflows and other sources of urban pollution on water quality (P) in five water bodies (to be specified)	-£890,051

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# **3.2.2 Options for WFD and UWWTD nutrient improvements**

For the improvement drivers addressed by this enhancement case, a range of improvement options have been considered. As explained in Section 3, above, we have used a catchment approach to identify a broader range of options. Addressing needs at a catchment level also enabled us to group together STW to develop catchment solutions, while following an options identification and screening process for individual STWs alongside.

The unconstrained list of options was developed from the following sources:

- PR24 Guidance<sup>16</sup>;
- consultant developed opportunity list;
- catchment level discussions; and
- internal discussions.

Once we had defined the need we followed the Totex Hierarchy to identify the best value solution that would address the need.



#### FIGURE 4: INTERVENTIONS FRAMEWORK CONSIDERING RANGE OF APPLICABLE INTERVENTIONS

<sup>&</sup>lt;sup>16</sup> Environment Agency, 2022, PR24 WINEP driver guidance – Nutrients and sanitary determinands (surface waters)

Our broad range of options considers options with differing levels of costs and benefits categorised as follows:

- Eliminate identification of processes and practices that can be stopped, possibly by stakeholder management or other, and by challenging the need for existence. Eliminate options are likely to have the lowest costs to deliver the benefit. They may be used in combination with other options.
- Collaborate work with stakeholders to re-assign the issue or co-fund. Costs can be shared with third parties either to deliver the same or an extra level of social and environmental benefit. Our catchment solutions collaborate with multiple stakeholders.
- Operate improved operational management practices to enhance existing capacity.
- Invigorate invest in the existing infrastructure to improve performance. These options will provide an increased level of benefit but may be of a lower cost than fabricate options.
- Fabricate new assets to augment or replace existing. These options are likely to have the highest costs. Green options
  will have lower carbon and potentially higher biodiversity and amenity benefits. Traditional grey options are likely to have
  highest certainty that service-related benefits will be realised. Innovative options have the potential for greater benefits
  and lower costs but have the lower certainty that benefits will be realised.

The catchment approach developed to address our WFD phosphorus plan is set out in the Appendix to the ODRs (see Appendix A). At a high level, we have looked at the catchments outlined in Table 15 to develop our AMP8 options.

No.	Catchment Level for Developing Solutions	No. STWs	Driver	Determinand	No. Waterbodies
1	Pallins Burn	1	WFD IMP	Phosphorus	1
2	Belford Burn	1	WFD IMP	Phosphorus	1
3	South Low	1	WFD IMP	Phosphorus	1
4	Embleton Burn	1	WFD IMP	Phosphorus	1
5	Wansbeck	2		Phosphorus	2
6	Tyne Upper	1	WFD IMP	Phosphorus (1 STW) Ammonia (1 STW)	1
7	Derwent	1		Phosphorus	1
8	River Team	2		Phosphorus (2 STWs) Ammonia (2 STWs)	1
9	Middle And Lower WEAR	33		Phosphorus (33 STWs) Ammonia (1 STW)	16
10	Hawthorn Burn	1	WFD IMP P	Phosphorus	1
11	Clow Beck	3		Phosphorus	5
12	River Skerne	3		Phosphorus (3 STWs) BOD (1 STW)	6

### TABLE 15: SOLUTION OPTIONS AT CATCHMENT LEVEL

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13	River Leven	5		Phosphorus	5
14	River Tees	2	WFD IMP	Phosphorus (1 STW)	2
				Ammonia (1 STW)	

Note: Some STWs have multiple needs so this does not align directly with Table 7.

The NECH has been working closely with our strategic leads and PR24 WINEP partners Mott MacDonald and Stantec and has connected with Catchment Partnerships and catchment partners to identify opportunities across multiple WINEP drivers. Identified opportunities have then been developed into feasible options to be included in the WINEP Options Development and Appraisal process<sup>17</sup>. A working group was established in October 2022 to enable catchment cross-organisational working – the PR24 Catchment Planning Team – which met weekly in the lead up to WINEP submission and engaged Environment Agency Area Water Quality specialists and Natural England stakeholders to share the approach and facilitate development of solutions.

We identified a broad list of options, as shown in Table 16, which provide improvements to reduce phosphorus and ammonia. These include sustainable nature-based and low carbon solutions such as integrated wetlands, catchment nutrient balancing and habitat creation following our approach to consider these solutions first as those which could be best value for customers, and in-line with the WINEP guidance. Our hierarchy focuses on these minimum and low carbon interventions first.

# 3.3. PRIMARY AND SECONDARY SCREENING OF OPTIONS

# **3.3.1 Primary and secondary screening of technologies at a programme level**

Screening of the unconstrained list of options to produce the constrained list was carried out at a generic level across the whole programme rather than at a site or catchment specific level. In accordance with the WINEP options assessment guidance<sup>18</sup> section 6, we have carried out the screening of each of the options shown in Table 16 to make sure the option is:

- technically feasible (to implement); and,
- expected to meet the statutory obligation.

If the option does not meet these criteria, then the option is discarded. The result of the primary screening is shown in Table 16. These options are applicable to all statutory drivers including WFD\_IMP, WFD\_ND, U\_IMP1 and U\_IMP2. The extent to which an option will support delivery of the regulatory target varies by need.

<sup>&</sup>lt;sup>17</sup> Stantec and Mott MacDonald jointly developed a methodology for PR24 WINEP Options Development, outlined in 'WINEP Methodology -

Wastewater Catchment Phosphorus Reduction Schemes' and issued as a separate Appendix to the relevant ODRs

<sup>&</sup>lt;sup>18</sup> Environment Agency, March 2022, WINEP Options Assessment Guidance
#### TABLE 16: RESULTS OF PRIMARY SCREENING AT A PROGRAMME LEVEL (WFD\_IMP)

Option title	Meets Statutory Obligation?	Technically feasible?	Reason for discarding
Treatment process-based permitting	Yes	Yes	Carried forward
Installing new assets at the STW to meet the statutory requirement for a new single site permit (reed bed, electrocoagulation, tertiary cloth filter, submerged aerated filter, ferric dosing, deep bed filter, ballasted coagulation treatment systems, BioMag, biological nutrient removal).			
Change outfall location	Yes	Yes	Carried forward
Move final effluent outfall so more relaxed permit is acceptable (discharge into less sensitive watercourse).			
Centralise STWs	Yes	Yes	Carried forward
Combine two or more STWs into a new larger works to achieve efficiencies of scale.			
Transfer / Pump away	Yes	Yes	Carried forward
Transfer flow (raw) from one or more smaller STW(s) into an existing larger works with dry weather flow (DWF) headroom.			
Replace/retrofit/expand existing primary/secondary treatment processes	Yes	Depends on	Carried forward
Use existing process types or more intensive processes where treatment is already in place. This may include extra assets on site to achieve tighter permit limit.		existing site assets	
Integrated constructed wetland (ICW)	Yes	Yes	Carried forward
Create ICW with multiple benefits as an alternative treatment solution (only applicable where less stringent permit limits or existing treatment solution needs to be tighter).			



Option title	Meets Statutory Obligation?	Technically feasible?	Reason for discarding
Catchment permitting for nutrients	Yes	Yes	Carried forward
Flexible permit limits across all STWs discharging to a river. All STWs within a specified catchment are included in an innovative catchment permit which provides flexibility and offsetting and allows benefits from overperformance between sites (measured as kg load reduction at STWs).	No for U_IMP1 and U_IMP2		
Trade effluent variation	Yes	Yes	Carried forward
Varying trade effluent permits at sites or removing trader high flow contributions.			
DWF headroom sacrifice at STW	Yes	Yes	Carried forward
Accept a reduced DWF permit so that a more relaxed final effluent permit is imposed.			
Catchment nutrient balancing (not for U_IMP1 and 2)	Yes	Yes	Carried forward
Catchment nutrient balancing i.e., targeting phosphorus or ammonia load reductions from agriculture (working with farmers to reduce source pollution) and other non-water company sectors.	No for U_IMP1 and U_IMP2		
Catchment habitat creation and/or enhancement	Yes	Yes	Carried forward
Creation of new or enhancement of existing habitats such as woodland, wetlands, and vegetated riparian margins in the wider catchment aiming to reduce phosphorus or ammonia loads to the watercourse via reduced runoff and sediment losses or increased filtration.	No for U_IMP1 and U_IMP2		
Operational solution	Yes	Yes	Carried forward
Optimisation of existing site assets to achieve new permit through operational activities.			

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#### **3.3.2 Application of options to individual catchments**

All twelve options, identified at an overarching programme level as interventions capable of delivering the statutory requirements, were carried forward from primary screening and applied to each individual catchment. In accordance with the WINEP Options development guidance, each site was screened against the potential technology options to identify whether it was technically feasible to implement that technology on individual STWs within each catchment. For example, we took into consideration:

- Is it technically feasible to build or implement the solution?
- Can the technology achieve the required permit levels or is required to meet the required limit? In some cases, the technology options will not meet the permit, in other cases the technology option is not required as it will outperform the new permit.
- · Can existing assets on site be expanded or upgraded to meet the new permit?
- Can existing assets on site be optimised to meet the new permit?
- For transfer options to another works, can we make sure that the receiving STW will have 10% headroom capacity and is within 5km to make the transfer route feasible? Note that for WFD\_Chem sites where a transfer is required, it was agreed that the 5km screening rule was to be ignored.
- Is there sufficient green space available in the locality in which to construct a biological filter, a package STW, a wetland or a vertical flow reed bed?

Furthermore, we reviewed point source pollution and diffuse pollution to assess trade impact and farmer contribution to pollution loads as well as population growth and Dry Weather flow headroom at STW to establish capacity and site performance.

For the ICW option, feasibility screening involved the application of a Wetland Screening Tool, developed in previous work<sup>19</sup>. The wetland screening methodology used for the PR24 WINEP is described in full in the Wetland Screening technical note<sup>3</sup>.

Catchment and Nature Based solutions (C&NBS) were screened by the PR24 Catchment Planning Team (with a focus on catchment nutrient balancing), with ideas and opportunities brought forward by the North East Catchments Hub (NECH). Improvement actions are summarised in Table 17. Detailed screening information for each improvement catchment (WFD\_IMP), including discarding reasoning is documented in Appendix C.

<sup>&</sup>lt;sup>19</sup> Mott MacDonald, December 2021, NWG AMP7 Wetlands Feasibility Study Screening and Concept Design Report

Screening for No Deterioration and actions under UWWTD are contained in Appendix D. In the River Skerne catchment, Windlestone STW is currently able to achieve the new permit value for BOD. Therefore, there was no screening carried out for this catchment.



#### TABLE 17: PRIMARY SCREENING FOR TECHNICAL FEASIBILITY WFD\_IMP CATCHMENTS

Catchment name (WFD_IMP)	Treatment process- based permitting	Change outfall location	Centralise STWs	Transfer / Pump away	Replace/retrofit/expand existing primary/secondary treatment processes	Integrated constructed wetland (ICW)	Catchment permitting for nutrients	Trade effluent variation	DWF headroom sacrifice at STW	Catchment nutrient balancing	Catchment habitat creation	Operational solution
Belford Burn catchment improvement (P)	Yes	No	No	No	No	Yes	No	No	No	No	No	No
Bothal Burn Catchment improvement (P)	Yes	Yes	No	No	No	Yes	No	No	No	No	No	No
Pallins Burn catchment (P)	Yes	Yes	No	Yes	No	No	No	No	No	No	Yes	No
Clow Beck catchment improvement (P)	Yes	Yes	No	Yes	No	Yes	Yes	No	No	Yes	No	No
Embleton Burn catchment improvement (P)	Yes	No	No	No	No	Yes	No	No	No	Yes	No	No
Hawthorn Burn catchment improvement (P)	Yes	Yes	No	Yes	No	Yes	No	No	No	No	No	No
Percy Beck catchment improvement (P)	Yes	No	No	Yes	No	Yes	No	No	No	No	No	No



Catchment name (WFD_IMP)	Treatment process- based permitting	Change outfall location	Centralise STWs	Transfer / Pump away	Replace/retrofit/expand existing primary/secondary treatment processes	Integrated constructed wetland (ICW)	Catchment permitting for nutrients	Trade effluent variation	DWF headroom sacrifice at STW	Catchment nutrient balancing	Catchment habitat creation	Operational solution
River Leven catchment improvement (P)	Yes	No	No	Yes	No	Yes	Yes	No	No	Yes	No	No
River Skerne catchment improvement (P)	Yes	No	No	No	Yes	No	Yes	No	No	Yes	No	No
River Team catchment improvement (P)	Yes	No	No	No	Yes	No	Yes	No	No	No	No	No
River Team catchment improvement (NH3)	No	Yes	No	No	Yes	No	Yes	No	No	No	No	No
River Tees catchment improvement (P)	Yes	No	No	No	No	No	No	No	No	No	No	No
River Tees catchment improvement (NH3)	No	No	No	No	Yes	No	No	No	No	No	No	No
River Wear catchment improvement (P)	Yes	Yes	No	Yes	Yes	Yes	Yes	No	No	Yes	No	No
South Low catchment improvement (P)	Yes	No	No	No	No	Yes	Yes	No	No	Yes	No	No

#### 3.3.3 Option development process

For each of the options with a "Yes" in Table 17, we developed a list of scopes from our desktop assessments. We also carried out a deliverability assessment in accordance with the WINEP. Detail of the risks to delivery are documented in the ODR's submitted as part of our WINEP development. For example, delivery risks associated with the preferred option to address the need for the River Tees is document in Section 3.5 of the NW\_Tees\_WFD\_ODR.

#### **3.4. BEST VALUE**

Our plan for investigations includes 15 catchment investigations to address the 29 needs identified for WFD INV for phosphorus (Table 14). Totex cost is **£7.45m**.

Where possible we have been efficient by combining needs, drivers and approaches to create the most streamlined plan.

Our best value plan for improvements includes 7 catchment solutions to avoid investment at 19 STWs (avoiding Capex investment of £40m for customers) and includes end-of-pipe investment at 19 STWs (which also incorporates catchment permitting and nature-based solutions through wetlands). Ten of these end-of-pipe investments are included alongside catchment nutrient balancing in our catchment solutions (Table 18). Totex cost for nutrient improvements is £143m.

Driver	Number of needs addressed	Solutions Selected as Best Value Options
WFD_INV	29 (27 STWs and 2	15 Catchment Investigations to address these needs:
	waterbody-level needs)	12 WFD investigations to identify the need for future AMP phosphorus schemes at 26 STWs
	,	1 marine investigation into the impact of 1 STW on the Wear Estuary 2 investigations for phosphorus for 6 waterbodies not linked to STWs
WFD_IMP (P)	45	7 Catchment solutions using catchment nutrient balancing including 10 end of pipe solutions and avoiding investment at another 19 STWs (impacting 38 STWs)
		2 Catchment solutions using catchment permitting for Wansbeck (Morpeth and Pegswood) and Team (Birtley and East Tanfiled)
		4 end-of-pipe solutions for Lockhaugh, Hawthorn, Stressholme and Slaley Hall (P) STWs
		1 nature based solutions wetland scheme for Stainton STW 1 3 <sup>rd</sup> party co-funded habitats improvement scheme for Branxton STW
WFD IMP	4	1 solution for River Tees Catchment Solution (ammonia) for Sedgefield
(Ammonia)		1 solution for Tyne Upper Catchment Solution (ammonia) for Slaley Hall 1 solution for Team Catchment Solution (ammonia) for Birtley & East Tanfield
EnvAct_IMP1	3	1 solution for Derwent catchment investigation (Lockhaugh STW)

#### **TABLE 18: SUMMARY OF SOLUTIONS BY DRIVER**



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Driver	Number of needs addressed	Solutions Selected as Best Value Options
		2 needs for Morpeth and Stressholme are addressed under WFD_IMP solutions as delivered through catchment-based approach (Morpeth included in Wansbeck need; Stressholme included in River Tees need) <sup>20</sup>
WFD_ND	3	River Wear catchment improvement for Bishop Auckland (ammonia) No cost scheme for Windlestone (BOD) Lockhaugh included in WFD IMP solution as WFD improvement also required
Total		

Table 19 shows a summary comparison of costs for the catchment solutions we selected as best value for customers against alternative traditional end-of-pipe solutions. Our catchment solutions for 7 catchments (South Low, Belford, Embleton, Wear, Clow Beck, Skerne and Leven) will use catchment nutrient balancing across 35 waterbodies to reduce nutrients towards achieving Good status. This approach allows us to avoid end-of-pipe investment in AMP8 at 19 STWs included in these catchments.

As part of our options development and appraisal process, we looked at end-of-pipe solutions for the STWs included in our plan alternatives for these sites under the catchment solutions review were:

- End of pipe phosphorus schemes at 10 STWs + CNB in 35 waterbodies through 7 catchment solutions (cost £73.8m Capex) Our chosen option, or
- End of pipe phosphorus schemes at 10 STWs + additional schemes for end of pipe investment at 19 STWs = 29 STWs (cost £114.5m Capex) - Our avoided cost option

In addition to the Catchment Management - Nutrient Balancing cost line, we also have other investment in P schemes for chemical P, nature-based solutions and catchment permitting, which together make up our full phosphorus programme (and with sanitary determinands our full nutrient removal programme for P and ammonia) (as defined in Table 1).

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<sup>&</sup>lt;sup>20</sup> These schemes are included as lines in WINEP under Environment Act IMP1 drivers, but the solutions are included in the catchment solutions to address WFD needs so these schemes cover multiple drivers

#### TABLE 19: COST COMPARISON FOR CATCHMENT SOLUTIONS VERSUS TRADITONAL END OF PIPE SOLUTIONS

Solution Type	Description	Complete Scheme Totex	Complete Capex	5 Year Opex	EoP Scheme Element**	Annual Opex
Catchment Solutions	10 EoP and CNB to avoid investment at 19 STWs	£83,601,680	£73,792,229	£9,809,451	£54,881,097	£2,020,999
Alternative EoP Solutions	29 EoP (10 EoP we must do, plus the 19 at EoP not CNB)	£114,514,543	£114,514,543	0	£114,514,543	£2,830,345
Difference in soluti	ons	£30,912,864	£40,722,314	-£9,809,451	£59,633,447	£809,347

#### TABLE 20: COSTINGS FOR STW END OF PIPE SCHEMES AVOIDED IN AMP8 THROUGH CATCHMENT SOLUTIONS

STW	Catchment	STW	Preferred Scheme if Required	Avoided Cost		
				Capex	Annual Opex	
Branxton	Pallins Burn	BRANXTON	Transfer to River Till	£925,984	£968	
Haggerston Castle	South Low	HAGGERSTON CASTLE	Ferric dose and 0.2ha Wetland	£1,238,211	£25,022	
Embleton	Embleton Burn	EMBLETON	Ferric dose and Wetland	£1,850,569	£26,111	
Hamsterley	Wear	HAMSTERLEY	Ferric dosing	£1,648,516	£13,599	
Tudhoe Mill	Wear	TUDHOE MILL	Ferric dosing +TSR	£5,347,702	£75,594	
Brancepeth	Wear	UNIVERSITY	Transfer to Barkers Haugh STW	£5,419,986	£1,912	
Cassop	Wear	CASSOP	Transfer to Horden catchment	£2,632,234	£366	
Belmont	Wear	BARKERS HAUGH	Ferric and Caustic dosing +TSR	£7,508,740	£148,093	
Edmondsley	Wear	BELMONT	Ferric and Caustic dosing +TSR	£6,505,431	£118,189	
Hustledown	Wear	EDMONDSLEY	Ferric and wetland	£1,332,265	£45,429	
Chester le Street	Wear	CHESTER LE STREET	Ferric and Caustic dosing +TSR	£7,541,194	£119,242	
Aldbrough	Clow Beck	ALDBROUGH	Ferric dosing	£2,207,654	£32,998	
Melsonby	Clow Beck	MELSONBY	Ferric and wetland	£1,392,574	£44,735	
Barton	Clow Beck	BARTON	Move final effluent to Clow Beck	£455,224	£366	
Ingleby Greenhow	Leven	INGLEBY GREENHOW	Transfer to Great Ayton	£1,918,572	£148	

STW	Catchment	STW	Preferred Scheme if Required	Avoided Cost		
				Capex	Annual Opex	
Carlton in Cleveland	Leven	CARLTON IN CLEVELAND	PST+ Ferric Dosing+ TSR	£1,688,695	£11,940	
Hutton Rudby	Leven	HUTTON RUDBY	Ferric and wetland	£1,674,891	£48,169	
Windlestone	Skerne (Lower)	WINDLESTONE	Ferric and Caustic dosing +TSR	£3,722,931	£60,661	
Sadberge	Skerne (Lower)	SADBERGE	PST, Ferric and Caustic dosing +TSR	£4,622,073	£35,806	
19 STWs	Total			£59,633,447	£809,347	

#### 3.4.1 Benefit scoring

As presented in data tables CWW15 and CWW16, our assessment of benefits uses the Benefits Assessment Tool (BAT) to provide biodiversity, water supply and km river improved using EA methodology, and provides costed benefits for water quality, which can be used to show how schemes are cost beneficial.

No scoring has taken place for the WFD\_INV options as investment of this nature improves knowledge of the need to inform PR29 requirements. WFD\_ND schemes are a statutory must do and will not deliver environmental benefits to water quality. All WFD\_IMP and ENVACT\_IMP1 options have been scored against the following value measures:

- biodiversity (units uplift contributed by the scheme design).
- river water quality (VM72).
- embedded carbon emissions (VM03).
- operational carbon emissions (VM02).

The Wider Environmental Outcomes Metrics in our Value framework have been embedded into our portfolio optimisation tool, Copperleaf. Table 21 shows the range of benefits, the quantification and monetisation values we have used for the assessment of WFD\_IMP options.

#### Performance Value measures Description Unit Value **WEO** Commitment Yes – GHG £256.2<sup>21</sup> **Operational Carbon** t/CO2e /year tCO2e Net zero **Embedded Carbon** tCO2e £256.2<sup>21</sup> No t/CO2e /year Net zero **River Water Quality** Yes – from AMP9 Kg /P /year Kg kg (P) (phosphorus) Not included because Change in biodiversity Biodiversity net gain<sup>22</sup> ΒU Not monetised in VM **Biodiversitv** already inherent in units (BU). WINEP baseline Improved Water Length of water Not monetised in VM Km Km Improved No Environment<sup>22</sup> environment improved

#### TABLE 21: RANGE OF BENEFITS IDENTIFIED FOR WFD\_IMP AND WFD\_ND OPTIONS

The whole life carbon estimation was based on embedded carbon plus 30-year operational carbon. Operational carbon is based on power and chemicals only. Changes to operation/maintenance activities were assumed to be negligible. The 30-year carbon forecast allows for projected grid decarbonisation. Note that due to the nature of some catchment and nature-



<sup>&</sup>lt;sup>21</sup> £ value per tonne of CO2e in 2025/26, annual increase (varying rate) reaching £378.6/t CO2e in 2054/55

<sup>&</sup>lt;sup>22</sup> Not included in Copperleaf optimisation

based solution options, which do not involve any construction work, the carbon emissions model used was not deemed to be applicable, and therefore no carbon emissions have been calculated.

Our value framework has been applied to our optimisation process and contains a mixture of benefits which reflect measures which relate benefits to performance commitments or other social and environmental benefits. First, we score the impact of continuing business as usual and then we score each of the options. Benefits are scored over time for a 30-year horizon. This scoring takes into account the certainty of benefits being realised for different types of options.

#### TABLE 22: BENEFITS FROM WINEP WIDER ENVIRONMENTAL OUTCOMES AND NORTHUMBRIAN WATER'S VALUE FRAMEWORK FOR WATER FRAMEWORK DIRECTIVE INVESTMENTS

Options carried	NWG Value framework measures	WINEP Wider Environment Outcomes
WFD_IMP, WFD_ND, WFD_INV AND U_IMP		
nvestigation	N/A	N/A
<b>Catchment permitting for nutrients</b> Flexible permit limits across all STWs discharging to a river. All STWs within a specified catchment are included in an innovative catchment permit which provides flexibility and offsetting and allows benefit from overperformance between sites (measured as kg load reduction at STWs).	Operational carbon emissions River water quality Water quality (Improved Water Environment)	Natural environment Catchment resilience Net zero
Catchment nutrient balancing (not for U_IMP1 and 2) Catchment nutrient balancing - targeting phosphorus load reductions from agriculture (working with farmers to reduce source pollution) and other non- water company sectors.	Embedded carbon emissions Operational carbon emissions River water quality Improved Water Environment	Natural environment Catchment resilience Net zero
<b>Catchment habitat creation and/or enhancement</b> Creation of new or enhancement of existing habitats such as woodland, wetlands, and vegetated riparian margins in the wider catchment aiming to reduce phosphorus loads to the watercourse via reduced runoff and sediment losses or increased filtration.	Embedded carbon emissions Operational carbon emissions River water quality (requires scoring) Improved Water Environment (requires scoring) Water Purification by Habitats (no framework measure)	Natural environment Catchment resilience Net zero
<b>Centralise STWs</b> Combine two or more STWs into a new larger works to achieve efficiencies of scale.	Embedded carbon emissions Operational carbon emissions River water quality Water quality (Improved Water Environment	Natural environment Catchment resilience Net zero



Options carried	NWG Value framework measures	WINEP Wider Environment Outcomes
Change outfall location	Embedded carbon emissions	Natural environment
Move final effluent outfall so more relaxed permit is acceptable (discharge into	Operational carbon emissions	Catchment resilience
less sensitive watercourse).	River water quality	Net zero
	Water quality (Improved Water Environment)	
DWF headroom sacrifice at STW	Operational carbon emissions	Natural environment
Accept a reduced DWF permit so that a more relaxed final effluent permit is	River water quality	Catchment resilience
imposed.	Water quality (Improved Water Environment	Net zero
Integrated Constructed Wetland (ICW) downstream of STW	Embedded carbon emissions	Natural environment
Create ICW with multiple benefits as treatment solution (only applicable where	Operational carbon emissions	Catchment resilience
less stringent permit limits or existing treatment solution that needs to be	Biodiversity	Net zero
tighter).	Amenity (recreation)	Access, amenity, and engagement
	Water Purification by Habitats (no NWG framework	
	measure) Biver weter quelity	
	River water quality Water quality (Improved Water Environment)	
Operational solution	Operational carbon emissions	Natural environment
Optimisation of existing site assets to achieve new permit through operational	River water quality	Catchment resilience
activities.	Water quality (Improved Water Environment)	Net zero
Replace/retrofit/expand existing primary/secondary treatment processes	Embedded carbon emissions	Natural environment
Use existing process types or more intensive processes where treatment is	Operational carbon emissions	Catchment resilience
already in place. This may include extra assets on site to achieve tighter permit	River water quality	Net zero
limit.	Water quality (Improved Water Environment)	
Trade effluent variation	Operational carbon emissions	Natural environment
Varying trade effluent permits at sites or removing trader high flow contributions.	River water quality	Catchment resilience
arying trace emeent permits at sites of removing trader high now contributions.	Water quality (Improved Water Environment)	Net zero



Options carried	NWG Value framework measures	WINEP Wider Environment Outcomes
Transfer / pump away	Embedded carbon emissions	Natural environment
Transfer flow (raw) from one or more smaller STWs into an existing larger works	Operational carbon emissions	Catchment resilience
with DWF headroom.	River water quality	Net zero
	Water quality (Improved Water Environment)	
Treatment process-based permitting	Embedded carbon emissions	Natural environment
Installing new assets at the treatment works to meet the statutory requirement	Operational carbon emissions	Catchment resilience
for a new single site permit (electrocoagulation, tertiary cloth filter, optimised	River water quality	Net zero
ferric dosing, deep bed filter, ballasted coagulation treatment systems, BioMag,	Water quality (Improved Water Environment)	
biological nutrient removal).		
UWWTR (U_IMP1 & 2)		
Ferric dosing	Embedded carbon emissions	Natural environment
	Operational carbon emissions (carbon data not	Catchment resilience
	available to score against this value model)	Net zero
	Water quality (Improved Water Environment) (km	
	improved not available to score against this value	
	model)	
	River water quality	
Ferric dosing with TSR	Embedded carbon emissions	
	Operational carbon emissions (carbon data not	
	available to score against this value model)	
	Water quality (Improved Water Environment) (km	
	improved not available to score against this value	
	model)	
	River water quality	

Source: Northumbrian Water

#### 3.4.2 Cost benefit appraisal to select preferred option

For each of the options taken forward from primary screening, we have carried out a robust cost benefit appraisal within our portfolio optimisation tool to select the preferred option. This calculates a net present value (NPV) over 30 years in accordance with the PR24 Guidance and cost to benefit ratio for each option. The ratio is calculated by dividing the present value of the profile of benefits by the present value of the profile of costs over the appraisal period of 30 years.

Costs and benefits have been adjusted to 2022-23 prices using the CPIH Index financial year average. The impact of financing is included in the benefit to cost ratio calculation. Capital expenditure has been converted to a stream of annual costs, where the annual cost is made up of depreciation/RCV run-off costs and allowed returns over the life of the assets. Depreciation (or run-off) costs are calculated using the straight-line depreciation over the appraisal period. To discount the benefits and costs over time, we have used the social time preference rate as set out in 'The Green Book'.

We have run optimisations to select the least cost options based on present values only and the best value using private and societal values. The output of this assessment has informed our preferred options, shown below.

Overall, we have included seven catchment nutrient balancing schemes, four of which are hybrid schemes containing grey or end-of-pipe interventions as part of the solution to make sure we can meet the statutory requirements. A summary of the options identified and their NPVs is included in Table 23. We have explained in greater detail below where there are differences between least cost and best value and justified our option selection.



#### TABLE 23: 30 YEAR NPV AND SELECTED WFD\_IMP OPTIONS

Site	Option	Least cost alternative	Best value alternative	Preferred Option	30 Year NPV <sup>23</sup>
PR24 - WFD IMP - BELFORD BURN CATCHMENT IMPROVEMENT	End-of-Pipe Treatment: Belford (P)	No	No	No	-£5,603,759
PR24 - WFD IMP - BELFORD BURN CATCHMENT IMPROVEMENT	Hybrid solution - Catchment nutrient balancing and Integrated Constructed Wetland: Belford (P)	Yes	Yes	Yes	-£3,376,123
PR24 - WFD IMP - WANSBECK CATCHMENT IMPROVEMENT	End of Pipe Solution 1: Wansbeck (P) Tertiary Treatment: Morpeth Change Outfall Location: Pegswood	Yes	Yes	Yes	-£18,676,942
PR24 - WFD IMP - WANSBECK CATCHMENT IMPROVEMENT	End of Pipe Solution 2: Wansbeck (P) Tertiary Treatment: Morpeth and Pegswood	No	No	No	-£17,412,478
PR24 - WFD IMP - PALLINS BURN CATCHMENT IMPROVEMENT			Yes	Yes	-£68,412
PR24 - WFD IMP - PALLINS BURN CATCHMENT IMPROVEMENT	End of Pipe Solution: Branxton (P)	No	No	No	-£801,629
PR24 - WFD IMP - PALLINS BURN CATCHMENT IMPROVEMENT	End of Pipe Solution: Change Branxton Outfall Location to River Till	No	No	No	-£847,077
PR24 - WFD IMP - PALLINS BURN CATCHMENT IMPROVEMENT	End of Pipe Solution: Transfer Branxton	No	No	No	-£1,533,753
PR24 - WFD IMP - CLOW BECK CATCHMENT IMPROVEMENT	Catchment nutrient balancing: Clow Beck (P)	Yes	Yes	Yes	-£3,462,670
PR24 - WFD IMP - CLOW BECK CATCHMENT IMPROVEMENT	End of Pipe Solution: Tertiary Treatment: Aldbrough and Melsonby, Transfer: Barton	No	No	No	-£8,998,410
PR24 - WFD IMP - CLOW BECK CATCHMENT IMPROVEMENT	End of Pipe Solution: Tertiary Treatment: Aldbrough, Change Outfall Location: Barton, ICW: Melsonby	No	No	No	-£8,502,976

<sup>&</sup>lt;sup>23</sup> Benefits – Costs: minimal benefits included in Copperleaf optimisation run, hence negative NPV



Site			Best value alternative	Preferred Option	30 Year NPV <sup>23</sup>
PR24 - WFD IMP - EMBLETON BURN CATCHMENT IMPROVEMENT	Catchment nutrient balancing: Embleton (P)	Yes	Yes	Yes	-£778,198
PR24 - WFD IMP - EMBLETON BURN CATCHMENT IMPROVEMENT	End of Pipe Solution: Embleton (P) Tertiary Treatment and Integrated Constructed Wetland	No	No	No	-£5,651,820
PR24 - WFD IMP - EMBLETON BURN CATCHMENT IMPROVEMENT	End of Pipe Solution: Embleton (P) Tertiary Treatment Only	No	No	No	-£2,193,067
PR24 - WFD IMP - HAWTHORN BURN CATCHMENT IMPROVEMENT	Change outfall location: Hawthorn to North Sea via Seaham long sea outfall	No	Yes	Yes	-£5,665,131
PR24 - WFD IMP - HAWTHORN BURN CATCHMENT IMPROVEMENT	Tertiary treatment and ICW: Hawthorn ferric dosing and Integrated Constructed Wetland (P)	No	No	No	-£5,617,447
PR24 - WFD IMP - HAWTHORN BURN CATCHMENT IMPROVEMENT	Tertiary Treatment: Hawthorn Ferric, Caustic dosing and Tertiary Solids Removal (P)	Yes	No	No	-£4,022,870
PR24 - WFD IMP - PERCY BECK CATCHMENT IMPROVEMENT	Tertiary Treatment and Integrated Constructed Wetland: Stainton (P)	No	Yes	Yes	-£5,651,820
PR24 - WFD IMP - PERCY BECK CATCHMENT IMPROVEMENT	Tertiary Treatment: Ferric, Caustic dosing and Tertiary Solids Removal at Stainton (P)	Yes	No	No	-£3,926,597
PR24 - WFD IMP - PERCY BECK CATCHMENT IMPROVEMENT	Transfer / pump away: Stainton STW closure and transfer to Barnard Castle	No	No	No	-£3,397,161
PR24 - WFD IMP - RIVER LEVEN CATCHMENT IMPROVEMENT	End of Pipe Solution 1: Leven (P)	No	No	No	-£19,137,390
PR24 - WFD IMP - RIVER LEVEN CATCHMENT IMPROVEMENT	End of Pipe Solution 2: Leven (P)	No	No	No	-£10,066,802
PR24 - WFD IMP - RIVER LEVEN CATCHMENT IMPROVEMENT	Hybrid solution - Catchment nutrient balancing: Leven (P)	Yes	Yes	Yes	-£9,107,411
PR24 - WFD IMP - RIVER SKERNE CATCHMENT IMPROVEMENT	End of Pipe solution: Expand existing tertiary treatment and treatment process-based permitting	No	No	No	-£18,678,474
PR24 - WFD IMP - RIVER SKERNE CATCHMENT IMPROVEMENT	Hybrid solution - Catchment nutrient balancing: Skerne (P)	Yes	Yes	Yes	-£14,539,304
PR24 - WFD IMP - RIVER SKERNE CATCHMENT IMPROVEMENT	Tertiary Treatment: Aycliffe, Sadberge, and Integrated Constructed Wetland: Windlestone (P)	No	No	No	-£18,856,942

Site	Option	Least cost alternative	Best value alternative	Preferred Option	30 Year NPV <sup>23</sup>
PR24 - WFD IMP - RIVER TEAM CATCHMENT IMPROVEMENT	Change outfall location: Birtley STW, expand existing secondary treatment: East Tanfield (P)	No	No	No	-£13,728,470
PR24 - WFD IMP - RIVER TEAM CATCHMENT IMPROVEMENT	Expand existing tertiary treatment and operational solution: Team (P)	Yes	Yes	Yes	-£4,144,450
PR24 - WFD IMP (NH3) - RIVER TEAM CATCHMENT IMPROVEMENT	Operational solution: East Tanfield and retrofit secondary treatment processes: Birtley (NH3)	Yes	Yes	Yes	-£3,742,368
PR24 - WFD IMP (NH3) - RIVER TEAM CATCHMENT IMPROVEMENT	Operational solution: East Tanfield, ASP: Birtley (NH3)	No	No	No	-£19,903,200
PR24 - WFD IMP (NH3) - RIVER TEAM CATCHMENT IMPROVEMENT	Operational solution: East Tanfield, change outfall location: Birtley to River Wear (NH3)	No	No	No	-£11,518,997
PR24 - WFD IMP (NH3) - RIVER TEAM CATCHMENT IMPROVEMENT	Operational solution: East Tanfield, Plastic Media: Birtley (NH3)	No	No	No	-£22,916,197
PR24 - WFD IMP - RIVER TEES CATCHMENT IMPROVEMENT	Tertiary Treatment: Stressholme CoMag (P)	Yes	Yes	Yes	-£18,338,268
PR24 - WFD IMP - RIVER TEES CATCHMENT IMPROVEMENT	Tertiary Treatment: Stressholme Ferric (P)	No	No	No	-£28,089,261
PR24 - WFD IMP (NH3) - RIVER TEES CATCHMENT IMPROVEMENT	Change Sedgefield outfall location to Seaton Carew Long Sea Outfall	No	No	No	-£30,090,706
PR24 - WFD IMP (NH3) - RIVER TEES CATCHMENT IMPROVEMENT	Tertiary Treatment: Sedgefield (NH3)	Yes	Yes	Yes	-£3,481,762
PR24 - WFD IMP - RIVER WEAR CATCHMENT IMPROVEMENT	End of Pipe Solution: Wear (P)	No	No	No	-£94,873,639
PR24 - WFD IMP - RIVER WEAR CATCHMENT IMPROVEMENT	Hybrid solution - Catchment nutrient balancing for Wear plus engineering solutions at seven STWs (P)	Yes	Yes	Yes	-£65,725,364
PR24 - WFD IMP - SOUTH LOW CATCHMENT IMPROVEMENT	Catchment nutrient balancing: South Low (P)	Yes	Yes	Yes	-£749,555
PR24 - WFD IMP - SOUTH LOW CATCHMENT IMPROVEMENT	Tertiary Treatment: Haggerston (P)	No	No	No	-£2,402,090
PR24 - WFD IMP - TYNE UPPER CATCHMENT IMPROVEMENT	Tertiary Treatment: Slaley Hall (P and NH3)	Yes	Yes	Yes	-£11,993,978

#### A3-24 WASTEWATER WINEP – PHOSPHORUS

**Enhancement Case (NES13)** 



Site	Option	Least cost alternative	Best value alternative	Preferred Option	30 Year NPV <sup>23</sup>
PR24 - WFD IMP - TYNE UPPER CATCHMENT IMPROVEMENT	Transfer / pump away: Slaley Hall	No	No	No	-£12,322,955
Source: Northumbrian Water					

#### TABLE 24: 30 YEAR NPV AND SELECTED OPTIONS FOR WFD\_ND AND ENVACT\_IMP

Site	Option	Least cost alternative	Best value alternative	Preferred Option	30 Year NPV <sup>23</sup>
PR24 - WFD ND (NH3) - RIVER WEAR CATCHMENT IMPROVEMENT	End-of-Pipe Treatment: Bishop Auckland NSAF (NH3)	Yes	Yes	Yes	-£7,810,758
PR24 - WFD ND (NH3) - RIVER WEAR CATCHMENT IMPROVEMENT	Tertiary Treatment: Bishop Auckland ASP (NH3)	No	No	No	-£20,824,833
PR24 - EnvAct IMP - DERWENT CATCHMENT IMPROVEMENT	Tertiary Treatment: Lockhaugh (P)	Yes	Yes	Yes	-£3,065,520



#### TABLE 25: 30 YEAR NPV AND SELECTED OPTIONS FOR UWWTD

Site	Option	30 Year NPV <sup>23</sup>	Type of option	Justification
UWWTR Willington STW	Hybrid solution - Catchment nutrient balancing for Wear plus engineering solutions at seven STWs (P)	-£65,725,364	Preferred option	Willington is a hybrid solution required as part of our growth business case also (River Wear catchment). The UWWTD will be satisfied by the investment proposed to address WFD_IMP driver, above in Table 23.
UWWTR Stressholme STW	Tertiary Treatment: Stressholme CoMag (P)	-£18,338,268	Preferred option	The UWWTD will be satisfied by the investment proposed to address WFD_IMP driver, above in Table 23.

For the majority of options proposed, the least cost and best value alternatives were the same. For three options where there is a difference between the least cost and preferred option, we have explained our decision in greater detail in Table 26.

#### TABLE 26: SITES WITH DIFFERENT LEAST COST AND PREFERRED OPTIONS

Need	Least cost option	Preferred Option	30 Year NPV Variance £m (Costs only) (negative value = favourable)	Carbon societal value Variance £m (positive value = favourable)	Carbon variance t/CO2e (negative value = favourable)	Justification
Hawthorn Burn Catchment	Tertiary treatment: Hawthorn Ferric, Caustic dosing and Tertiary Solids Removal (P)	Change outfall location: Hawthorn to North Sea via Seaham long sea outfall	1.55	-0.09	113	At a high level, transferring avoids us having to return and reinvest in this site in the future. AMP8 is the right time to invest because there are drivers requiring improvement. This improvement could be delivered by a least cost end-of-pipe solution, however, for a small additional amount of Totex (£1.4m) greater environmental improvements for the longer term can be delivered. The proposed best value solution involves moving the discharge to Seaham which in turn discharges to the North Sea. There are extra benefits in terms of improved water quality of hawthorn burn and less stringent consents for ammonia, BODs and suspended solids at Seaham because the discharge will have more dilution in the North Sea hence giving overall less compliance risk. Better overall environmental outcome in receiving watercourse from the change in outfall location.
Percy Beck catchment improvement	Tertiary Treatment: Ferric, Caustic dosing and Tertiary Solids Removal at Stainton (Phosphorus)	Tertiary treatment and ICW: Stainton (P)	2.29	-0.05	121	We have prioritised wetlands and the biodiversity uplift (per WINEP methodology). Customers have fed back to us they also support the wetland and NBS options. This has the additional benefit of uplifting the biodiversity baseline, delivering more for customers and the environment.



Need	Least cost option	Preferred Option	30 Year NPV Variance £m (Costs only) (negative value = favourable)	Carbon societal value Variance £m (positive value = favourable)	Carbon variance t/CO2e (negative value = favourable)	Justification
						Percy Beck is a nutrient neutrality catchment. It is better value to include an ICW here because of the extra nitrogen benefits it will deliver.
Wansbeck	End of Pipe Solution 2: Wansbeck (P) Tertiary Treatment: Morpeth and Pegswood	End of Pipe Solution 1: Wansbeck (P) Tertiary Treatment: Morpeth	1.11	-0.15	344.48	We have selected the transfer of Pegswood rather than end- of-pipe treatment here as best value because it also removes the need for ammonia treatment.



The benefits and investment for our preferred option for WFD is included in Table 27. Profiling of benefits and expenditure will continue to be refined as we continue to work with our strategic delivery partner to carry out further design work and optimisation of the programme for delivery.



#### TABLE 27: EXTRACT FROM TABLE CWW15 – BENEFITS FOR PREFERRED OPTIONS

			Units of benefits created by projects starting in AMP8		enefit value d by projects in AMP8 £m	Present value of benefits £m	PR24 BP reference
Investment Area	Benefit Description						
		AMP8	AMP9	AMP8	AMP9	_	
		2025-30	2030-35	2025-30	2030-35	2025-55	
	Embedded Carbon (Tonnes)	4966.650	0.000	1.307	0.000	0	CWW15.232
Treatment for phosphorus removal	Operational Greenhouse Gas Emissions (Tonnes)	398.075	544.075	0.109	0.157	-1.600292122	CWW15.233
(chemical) (CWW3.64)	Km River Improved (EA Measure)	63.533	0.000	2.860	7.150	23.882	CWW15.234
(01110.01)	Total					22.282	CWW15.242
	Embedded Carbon (Tonnes)	1024.678	0.000	0.273	0.000	0	CWW15.254
Treatment for nutrients	Operational Greenhouse Gas Emissions (Tonnes)	48.313	232.781	0.013	0.067	-0.295867636	CWW15.255
(N or P) and / or	Km River Improved (EA Measure)	11.310	0.000	0.260	0.650	2.171	CWW15.256
sanitary determinands, nature based solution*	Education (no. visits/year)	1800.000	1800.000	0.025	0.025	0.889	CWW15.257
(CWW3.70)	Biodiversity Units	8.810	10.080	0.000	0.000	0	CWW15.258
	Water Purification by Habitats (Hectares)	0.900	0.000	0.002	0.002	0	CWW15.259
	Total					2.764	CWW15.264
	Embedded Carbon (Tonnes)	1728.950	0.000	0.459	0.000	0	CWW15.265
Treatment for tightening of sanitary	Operational Greenhouse Gas Emissions (Tonnes)	787.675	1124.625	0.215	0.323	-1.091	CWW15.266
parameters (CWW3.73)	Km River Improved (EA Measure)	0.000	3.900	0.000	0.800	2.548	CWW15.267
· /	Total					1.457	CWW15.275
	Embedded Carbon (Tonnes)	5566.000	0.000	1.487	0.000	0	CWW15.287



		Units of benefits created by projects starting in AMP8		Total benefit value generated by projects starting in AMP8 £m		Present value of benefits £m	PR24 BP reference
Investment Area	Benefit Description					-	
		AMP8	AMP9	AMP8	AMP9		
		2025-30	2030-35	2025-30	2030-35	2025-55	
Catchment	Operational Greenhouse Gas Emissions (Tonnes)	59.125	990.625	0.016	0.286	-2.01636575	CWW15.288
management - nutrient	Km River Improved (EA Measure)	0.000	425.790	0.000	50.350	160.356	CWW15.289
balancing	Water Supply Benefit (m <sup>3</sup> /year)	0.000	15330000	0.000	17.650	56.212	CWW15.290
(CWW3.79)	Other	0.000	14.380	0.000	0.000	0	CWW15.291
	Total					214.552	CWW15.297
	Embedded Carbon (Tonnes)	2432.700	0.000	0.640	0.000	0	CWW15.298
Catchment management -	Operational Greenhouse Gas Emissions (Tonnes)	208.675	285.325	0.057	0.082	-0.784	CWW15.299
catchment permitting (CWW3.82)	Km River Improved (EA Measure)	50.896	0.000	1.680	4.200	To be provided	CWW15.300
	Total			2.377	4.282	-0.784	CWW15.308
Contribution to third	Km River Improved (EA Measure)	2.32	0	0.205	0.205	0.729	CWW15.410
party schemes under WINEP/NEP only (CWW3.115)	Total						CWW15.411



In summary, we have proposed a broad package of investments to address the requirements included in the WFD and UWWTD. We have assessed the needs of our region, looking at catchments as well as sites and waterbodies. We have worked collaboratively with the Environment Agency and other stakeholders in our area to determine the best value solutions. We have had independent third party (Jacobs) assurance carry out on our AMP8 WINEP programme to make sure suitability and reliability of our programme, and to confirm that we have followed the WINEP Options Development Guidance.

#### 3.4.3 Transition Spend

As noted in Section 1, we have included transition funding of £12.7m for Year 4 and Year 5 of AMP7 to allow us to start our phosphorus removal programme early and deliver best value catchment solutions for customers.

Table 48 justifies the expenditure for each investment area, our requests are linked to early delivery of schemes under no deterioration drivers (by March 2027), and complex scheme requirements for transfers or new technologies and early starts required for innovative catchment solutions, which should be classified as large non-routine investments.

Line Reference	Description	Transition Capex (£m)	Justification for early start
CWW3.111	Complex Investigations	-	Not Applicable for WFD phosphorus schemes
CWW3.79	Catchment Management – Nutrient Balancing	8.7	Catchment solutions in 7 catchments need to be launched in AMP7 to ensure success of innovative approaches, CNB and collaboration with partners and land managers (estimate £40m Capex avoided)
CWW3.70	Nature Based Solutions	1.2 <sup>24</sup>	Integrated Wetland Schemes are innovative and complex and extra time is needed to ensure these are the correct solutions and can be developed for learning and ensure performance
CWW3.82	Catchment Management – Catchment Permitting	0.9	Wansbeck catchment scheme includes a complex transfer scheme for Pegswood and a growth scheme for Morpeth which will exceed its DWF early in AMP8, addressing the investments together provides efficiency for customers, this investment for catchment permitting needs to be started now; Team catchment scheme includes innovative mine water co-treatment reedbed and partnership approach with Coal Authority that needs to be reviewed, managed and agreed within the catchment permit

#### TABLE 28: CWW3 AMP8 EXPENDITURE

<sup>&</sup>lt;sup>24</sup> Note that transition funding for Wooler and Greatham wetlands under HD IMP driver is also included in this investment line (Business case NES28 for Protected Areas)

**Enhancement Case (NES13)** 

CWW3.64	Chemical Treatment - Phosphorus	1.3	Scheme for Hawthorn includes a 4km transfer to a long sea outfall, a complex scheme which needs additional time for review and development to ensure delivery or if not feasible, substitute scheme delivery; Stressholme is a large works (147,000 PE) requiring complex treatment to meet tight permits, with CoMag technology that we have not previously utilised; Lockhaugh has a ND limit which needs to be in place by March 2027 (same scheme for IMP)
CWW3.73		0.5	Bishop Auckland has an ND driver for ammonia which needs to be in place for March 2027
CWW3.115	3 <sup>rd</sup> Party Co-funded Schemes	0.1	Partner funding will be invested from 2023-2025 to get this waterbody to Good status, NW can invest very efficiently is aligning with this scheme (estimate £0.96m cost avoided)
Total		12.7	

We note that this meets Ofwat's criteria for transition funding because: we have provided sufficient and convincing evidence about our partnership and catchment management approach; these relate to schemes in WINEP where early delivery helps to reduce overall costs (for example, £40m for CWW3.79) and helps earlier delivery of customer and environmental benefits. In particular, we note that early start on C&NBS will help us to learn – and to share our learning – before planning begins for WINEP at PR29.

#### **3.5. UNCERTAINTY**

Our commitment is to work with stakeholders and communities to deliver the best value options for customers and the environment. We believe that sustainable green solutions including catchment solutions used as alternatives to end-of-pipe investment would offer the best value for customers. However, this does introduce factors outside of our control. Solutions harnessing nature and requiring environmental partnership activity and work with land managers cannot deliver the same level of certainty or deliver to the same timescales as hard engineering, though the trade-off for wider environmental benefits should be worth the challenge.

We are starting work early to mitigate risks and ensure the success of our solutions. We have launched our catchment solutions projects already with the North East Catchments Hub to support AMP8 delivery, and requested transitional spend activity for AMP8 catchment schemes to make sure that these schemes have the best foundation in terms of data, on the ground engagement and design of appropriate measures and interventions (see Section 3.4.3). We will work closely with the Environment Agency to assess new data and evidence, update the SAGIS model and agree targets and solutions that can be delivered in partnership.

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Enhancement Case (NES13)

Our preferred options include catchment and nature-based solutions including a large-scale programme of catchment nutrient balancing across 35 waterbodies, and a small number of integrated constructed wetlands (3 schemes proposed). There is more uncertainty with the realisation of nature-based solutions benefits than traditional engineered solutions, and the benefits, although they are expected to be much greater, are likely to take longer to realise.

To illustrate the difference in certainty between engineered versus nature-based options relevant to WFD\_IMP needs, we have outlined and ranked (RAG) the risks identified with the ICW solution proposed for the Percy Beck catchment (Table 29 and Table 30).

#### TABLE 29: PERCY BECK RISK ASSESSMENT, ENGINEERING SOLUTION

Risk category	RAG rating	Comment	
Driver compliance		Chosen option is conventional approach to comply with the standard.	
Delivery		Conventional solution but complex delivery due to need to transfer/pump away and land access.	
Outcome		Conventional solution for total phosphorus removal.	
Cost		High cost uncertainty due to site closure and transfer to Barnard Castle.	
Resources		No specialist resources required.	
Technology		Most technology is standard.	
Supply chain		Multiple framework suppliers for chosen option but uncertain supply chain for relocation of assets.	
Public perception		High carbon cost for a solution.	

**PR24** 

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**Enhancement Case (NES13)** 

Risk category	RAG rating	Comment		
Driver compliance		Catchment solutions tend to have greater uncertainty associated with meeting target loads reductions in comparison to conventional treatment solutions. As these options are intended to be used in combination (including treatment at Stainton) this significantly lowers the risk of non-compliance.		
Delivery		The delivery of these options is dependent on third parties. We have limited experience in delivering some of these options, however appropriate connections have been made with organisations / partners such as Rivers Trust, who are experienced in delivering these solutions and have already secured MMO and crown estate licensing.		
Outcome		The combined catchment option is likely to deliver the phosphorus reductions needed in addition to a number of wider environmental benefits such as enhanced biodiversity, climate resilience and water purification in addition to volunteering and educational opportunities.		
Cost		Implementing these options is more costly than transfer/pump away option.		
Resources		Implementing these options could require a higher input of resources at the start of the delivery programme (i.e. this AMP cycle) in terms of staft time, training, purchasing of the resources etc. However, once up and running, the majority of these options should require less resource to maintain and it is likely that third parties such as the Catchment Hub would oversee and manage this.		
Technology		These options are relatively low risk for technology.		

#### TABLE 30

Risk of disruption from extreme weather/ climate change, disease, Supply chain parasites etc Potential to increase our positive environmental impact and influence through this project, which would be a positive opportunity. This is an Public perception innovative approach and we could be seen as leaders in using this type of

solution to address nutrient loading.

Source: Northumbrian Water

The main risks for the preferred catchment and nature-based options are delivery, cost, technology, supply chain and public perception and compliance. Compliance and delivery are inherent risks for nature-based solutions which are offset by the low risks associated with technology and resources. Working with the NECH to deliver the nature-based solutions will reduce the impact on delivery, costs and resources and improve the chances of realising the stated benefits.

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**PR24** 

To mitigate the risk for customers, we have included as part of our adaptive pathway approach, the ability to use (grey) solutions from AMP9 where C&NBS are shown not to deliver sufficient improvement within the required timeframe (in our <u>long-term strategy</u>, NES\_LTDS).

#### **3.6. THIRD PARTY FUNDING**

We include one partnership scheme in our WINEP submission for nutrients, for Pallins Burn. This is a small project which will be co-funded by partners through the Tweed Forum and which will be delivered early. The WFD catchment solutions will be facilitated by innovative catchment permitting, to include all numeric STWs more than 250 PE in these six catchments.

Design, delivery, and management of the C&NBS solutions will be led by the NECH, supported by the Rivers Trust and our environmental experts, and other catchment partners. The NECH is learning and developing from its first year of activity in 2022 and will upskill and upscale within the AMP7 enabling stage to make sure it has the capacity and capability to manage this and other catchment projects across AMP8 and beyond.

Within AMP8, the NECH is also expected to assist in delivery of the schemes and WFD investigations included in this enhancement case. There are also opportunities for co-design and delivery of the treatment wetlands at Stainton and Belford STWs currently not identified as partner schemes but (elements of) which are nature-based solution schemes.

C&NBS offer the opportunity for water companies to draw in co-funding and co-finance which could make improvement schemes more cost-effective for customers, while also delivering greater value through multiple benefits. The development of these high-benefit schemes requires time and feasibility activities, and the tight WINEP timescales for PR24 have only allowed identification of potential schemes at a high level. Our approach has been to use the NECH to identify opportunities and provide a sufficient level of detail to include these as options in the ODRs, allowing high level assessment of the likely costs and benefits of C&NBS. As schemes develop through the enabling stages into detailed design, and co-benefits and partners are identified, matched funding and green finance opportunities will be explored. Schemes progressed in North West England have demonstrated how this can work in practice, and the Rivers Trust is sharing this learning to inform our North East projects.

We anticipate that our programme of catchment solutions could draw in at least £5m to drive integrated catchment management in the North East. In AMP 8, the North East Catchments Hub is expected to be co-funded linked to green finance and partner funded shared objectives. The Hub is currently worth £800k per year in core costs, and is expected to expand to support the wider AMP8 programme across other WINEP drivers, plus there is additional value in project delivery, outcomes monitoring etc. Confirmed funding for AMP7 Year 4 and 5 is £150,000 from the Mainstreaming nature



based solutions Ofwat innovation project, and £50,000 from local partners to support delivery of integrated catchment approaches. These initial co-funding contributions are just the start of the likely leverage of our approach.

#### **3.7. DIRECT PROCUREMENT FOR CUSTOMERS**

We assessed the phosphorus programme against the DPC guidance (see our <u>assessment report</u>, NES38). This report concludes there are no opportunities for direct procurement for customers relevant to phosphorus because the projects are small value and less than <£200m of whole life totex.

#### **3.8. CUSTOMER VIEWS INFORMING OPTION SELECTION**

Our research shows that customers support investment in the environment, including wider environmental and social benefits – though they do not necessarily think they should always pay for this through their water and wastewater bills. In particular, our customers rank dealing with sewage effectively and improving the quality of rivers as two of their "medium" priorities (prioritisation of common PCs, NES44).

We also asked customers about their support for investment in nature-based solutions rather than engineering solutions. In our People Panels research, we discussed our options for tackling nutrient neutrality across Lindisfarne and Teesmouth. Customers did not support an engineering-based approach to removing nitrogen from wastewater, because of the high cost for a relatively low impact. Customers indicated that they would support a less expensive, nature-based approach. Customers considered this important (<u>line-of-sight</u>, NES45).

In our <u>qualitative affordability and acceptability testing</u> (NES49), customers supported our "preferred" plan which included these phosphorus improvements. Customers found this plan acceptable because it focused on the right things, is good for future generations, and is environmentally friendly. Customers who did not find this plan acceptable said that this was expensive, and water companies should pay out of their own profits. We did not ask specifically about phosphorus (as our individual items were limited only to the largest investments), but customers supported maintaining rivers and reducing pollution (NES49). In our <u>quantitative research</u> (NES50), 74% of customers supported our preferred plan, including this investment.

Our customers also said that they would sometimes support nature-based solutions even when they were more expensive – for example, they were willing to pay more for additional green solutions for storm overflows where this could significantly reduce the amount of embedded carbon and deliver wider environmental benefits (see our <u>storm overflows enhancement</u> <u>case</u>, NES27).





We have strong stakeholder support for our balanced approach to delivering WFD and UWWTD requirements. The Rivers Trust (our partners in the North East Catchments Hub) say that they are "proud to be working in partnership with Northumbrian Water to co-develop catchment and nature-based schemes... this is an industry leading approach following the Ofwat guidance... allowing water companies to meet their regulatory obligations and customers' needs, while restoring and increasing natural assets to realise environmental net gains. It has our full support and we believe it could provide a step-change for water quality improvements and wider environment recovery in the North East." (Letter in support of our WINEP programme).

Our enhancement cases for nitrogen and phosphorus removal provide better value at a lower cost than traditional solutions and is strongly supported by customers and stakeholders.

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### 4. COST EFFICIENCY

#### 4.1. APPROACH TO COSTING

#### 4.1.1 Cost methodology

A full description of our costing methodology is contained in <u>Appendix A3 - Costs</u> (NES04). In Figure 5, our project estimates have been costed to Level 2. This level is appropriate for a Price Review submission as it is sufficient to understand that the interventions can be delivered within the cost at a programme level. A level 3 estimate would require a level of detailed design to be carried out which would incur significantly more cost which is not appropriate until delivery is confirmed.

A sample of WFD driver project estimates produced as part of the PR24 costing process have been benchmarked against comparable water and wastewater companies. We have selected six projects within this enhancement case and in NES39-A3-25-WINEP Chemicals and Emerging Contaminants, at varying costs across the identified range of solution costs and technologies to compare against the industry position for these projects.

The sample projects that have been benchmarked can be seen in Table 31.

#### TABLE 31: BENCHMARKED NORTHUMBRIAN WASTEWATER PROJECTS

Project reference	Project name
7.1	Bishop Auckland STW NH3
7.2	East Tanfield STW
7.3	Aycliffe STW
7.4	Stokesley STW Cypher
7.5	Willington STW

The benchmarking exercise compares our estimated costs against six comparable water and wastewater companies from England and Wales. A mean average of these companies has been used as the benchmark with a 25% percentile and 75% percentile provided as a suitable range.

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The costs comparisons have been calculated using each company's latest cost curve database. This should provide a suitable comparison as these cost curve databases have been used to build up each company's PR24 submission. The costs generated by each cost curve are based on the sizing information included in each WFD project estimate. The benchmarked costs have been adjusted for inflation using CPIH and have a price base of Q2 2022.

A summary of the benchmarking has been provided in the next section along with a more detailed breakdown for each project.



#### FIGURE 5: PROCESS COST ESTIMATION

### 4.1.2 Cost benchmarking

For WFD needs, we benchmarked several options against comparable water and wastewater companies for direct and indirect costs against the cost curves for other companies in our costing partner's database. As there is no standard asset hierarchy used for costing across all companies, there are differences in what each company includes and excludes. Therefore, our costing partner has benchmarked where it is possible to carry out an equitable comparison and this ranges between two and five other companies depending on the asset type. The following table summarises the benchmarking of

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direct costs. Direct costs are defined as those incurred on plant, labour, material, and equipment i.e., costs that are directly accountable to the project.

#### **TABLE 32: BENCHMARK OF DIRECT COSTS**

Investment Name	Option Type	Northumbrian £	Benchmark £	Delta <sup>25</sup> £	Delta % <sup>26</sup>
Bishop Auckland STW (Vinovium NH3)	End-of-pipe	£1,776,715	£1,694,605	£82,109	5%
East Tanfield STW	End-of-pipe	£1,557,535	£1,585,085	-£27,550	-2%
Aycliffe STW	End-of-pipe	£3,607,556	£4,557,297	-£949,741	-21%
Stokesley STW Cyper	End-of-pipe	£920,579	£1,174,379	-£253,799	-22%
Willington STW_Rev1 P02	End-of-pipe	£2,616,237	£2,455,278	£160,959	7%
Stressholme STW WFD UWWTR	End-of-pipe	£10,477,481	£9,370,611	£1,106,870	12%
Total		£20,956,103	£20,837,254	£118,848	1%

In addition to benchmarking of direct asset costs, we conducted an analysis of client and contractor indirect costs, comparing our own project and contract overheads to data provided by six comparator water companies. A larger number of comparator companies is available for indirect costs than for direct costs. Table 33 shows that our indirect costs are calculated as 63.40% of direct costs which is 10.46% below the industry benchmark.

#### TABLE 33: BENCHMARK OF INDIRECT COSTS

Indirect cost type	Northumbrian cost	Benchmark cost	Delta
Total Contractor Indirect	36.88%	48.01%	-11.14%
Total Client Indirect	26.52%	25.84%	0.68%
Total Project Indirect	63.4%	73.86%	-10.46%

<sup>&</sup>lt;sup>25</sup> Delta = Northumbrian – Benchmark

<sup>&</sup>lt;sup>26</sup> Delta % = Delta ÷ Benchmark
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The WFD programme is currently 5% below the industry benchmark when including indirect costs to the original direct costs as showed in Table 34 (below). With many items benchmarked, most of them across three other companies, there is confidence that the items identified have been analysed robustly.

Investment Name	Option	Northumbrian	Benchmark	Delta <sup>25</sup>	Delta % <sup>26</sup>
Bishop Auckland STW (Vinovium NH3)	End-of-pipe	£2,903,152	£2,946,241	-£43,089	-1%
East Tanfield STW	End-of-pipe	£2,545,012	£2,755,829	-£210,816	-8%
Aycliffe STW	End-of-pipe	£5,894,746	£7,923,316	-£2,028,570	-26%
Stokesley STW Cyper	End-of-pipe	£1,504,227	£2,041,774	-£537,548	-26%
Willington STW_Rev1 P02	End-of-pipe	£4,274,931	£4,268,746	£6,185	0%
Stressholme STW WFD UWWTR	End-of-pipe	£17,120,204	£16,291,744	£828,460	5%
Total		£34,242,272	£36,227,650	-£1,985,379	-5%

#### TABLE 34: SUMMARY FOR WFD INCLUDING INDIRECT COSTS

# 4.1.3 Factors affecting cost allowance

The costing was carried out in line with our PR24 Costing Methodology. Scopes were developed for the various solutions and issued to the costing team. The costing team used iMOD model cost curves to the scope to generate level 2 estimates of capex and opex, including risk, overheads and estimating contingency. A 30-year whole life cost NPV was calculated using the Spackman method, which considers annual opex, ICA capex every 10 years and M&E capex every 20 years with the social time preference rate as specified in The Green Book (HM Treasury, 2020). An allowance was included within each individual solution's cost for estimating uncertainty. The allowance will make provision for areas such as availability of cost data, assumptions, and time to deliver.

Ofwat is anticipating that a new enhancement model will be developed for growth at STWs which would impact WFD\_ND, U\_IMP 1 and U\_IMP2. We await the new information that will be visible in that analysis at the Draft Determination.

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# 5. CUSTOMER PROTECTION

#### 5.1. PERFORMANCE COMMITMENT

The ability of the STWs to treat an increased load will be covered under the discharge permit compliance (numeric) metric which is a common performance commitment. This measure is based on the calendar year and has an underperformance payment should the commitment not be achieved.

Compliance against dry weather flow permit measure is not currently covered by a performance commitment but these will become a statutory requirement which will form part of the Environment Agency's Environmental performance assessment during AMP8, leaving the companies open to prosecution should they fail to meet statutory requirements.

The reduction in phosphorus performance measure is the reduction in phosphorus emissions to river catchments relative to the base period as a result of the water company activities in delivering their functions. The base period is the annual average of 2020 to 2022 and the performance commitment is measured on a calendar year basis.

# **5.2. PRICE CONTROL DELIVERABLES**

Our approach to determining Price Control Deliverables (PCD) is outlined in Section 12.3 of <u>A3 – costs</u> (NES04). In Table 35 below, we assess our WFD-related enhancements to test if the benefits are linked to PCs, against Ofwat's materiality of 1%, and to understand if there are outcome measures that can be used.

Our assessment has highlighted that the benefits we expect to deliver through our AMP8 WINEP programme will not be measured through PCs. Therefore, we propose a PCD to make sure protection for customers through delivery of our WINEP programme.

Enhancement scheme	Benefits linked to PC?	Materiality	Possible outcomes?
WINEP – Phosphorus (NES13)	Partial fail – benefits to river water quality through phosphorus reduction	Pass - >>1%	Outcome difficult to measure effectively and vary between schemes (particularly investigations). Customers could be protected through an output measure based on delivery of schemes.

#### TABLE 35: ASSESSMENT OF BENEFITS AGAINST THE PCD CRITERIA

Source: Environment Agency

Our WINEP programme is set out by the Environment Agency which determines the statutory and non-statutory investments we should make. The Environment Agency assures that WINEP actions are delivered to the agreed

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timeframe, and environmental obligations are met. We therefore propose a PCD that makes sure that costs are returned to customers either where the Environment Agency has decided that a project is no longer required, or where we have not delivered to the agreed timeframe and/or environmental obligations have not been met (according to the Environment Agency). A summary of our PCD for WINEP programme delivery is outlined in Table 36.

# TABLE 36: SUMMARY OF THE PRICE CONTROL DELIVERABLE FOR OUR WINEP PROGRAMME DELIVERY TO PROTECT CUSTOMERS

Description of price control deliverable	Delivery of WINEP projects as specified in our WINEP enhancement cases.
Measurement and reporting	We will report on the delivery of WINEP projects at the next price review (PR29), including specifying the individual projects that have been delivered, not delivered, or that the Environment Agency has decided are no longer required (under the Environment Agency's WINEP alterations process). This is in addition to the WINEP guidance which specifies how we will need to report progress against delivery of the WINEP actions, and tracking and reporting WINEP delivery in a transparent and auditable manner.
Conditions on allowance	Projects must be delivered to the specification agreed with the Environment Agency under WINEP.
Assurances	The Environment Agency will confirm that WINEP actions have been delivered to the agreed timeframe, and that environmental obligations have been met. As set out in the <u>WINEP guidance</u> , there will be regular liaison between water companies and the Environment Agency to discuss progress, risks and issues associated with the delivery of the WINEP programme and to identify any alterations. The Environment Agency uses the WINEP measures sign-off, technical review and audit guidance for assurance that the environmental obligations as set out in the WINEP are completed as planned.
Price control deliverable payment rate	We will return funds back to customers for individual projects not delivered by the dates specified.
Impact on performance in relation to performance commitments	There may be some benefits to biodiversity for some schemes in NES13.

We propose a single PCD for most of our WINEP programme delivery (with the exception of storm overflows). This should:

Be set according to individual project costs, rather than a "per project" unit cost. This is because these costs vary considerably, and a single rate would create an incentive to deliver more of the cheapest projects (at the expense of more expensive projects). Ofwat's guidance in IN23/05 identifies this incentive and expects us to set out scheme level deliverables where costs vary significantly across schemes (so our approach here is consistent with the guidance).

- Not include an automatic penalty for non-delivery (beyond returning the costs to customers). This is because this PCD includes projects where the Environment Agency has decided these are no longer required, which should not lead to a penalty. If we did not deliver a project that is required (and where we had not agreed a change with the Environment Agency), we would not meet our statutory obligations and so this does not require an extra incentive to deliver.
- Change according to the Environment Agency's WINEP alterations process. In 2020-25, our ODI for WINEP delivery does not automatically take into account projects that are removed from WINEP by the Environment Agency but this should be for the Environment Agency to determine. Costs should be returned to customers for projects that are not required, without further interventions needed from Ofwat.

This is an aggregated PCD across all our WINEP schemes except for storm overflows. We chose to aggregate these PCDs because most of our WINEP enhancement cases or projects would not be individually material, and these share the same reporting, assurance, and conditions.

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# **APPENDIX A: ODR SUBMISSION INFORMATION**

# A.1 SUMMARY OF ODR DOCUMENTS SHARED WITH DEFRA, EA AND OFWAT

Ofwat have access to the following documents via SharePoint Defra/EA SharePoint site - WINEP Drafting - NWL - Water Quality - Stage 3. Following further development since submission with EA, some schemes and costs have been updated as part of our PR24 submission, but methodology and approach and the assessment of evidence is still valid.

#### TABLE 37: FILES SHARED VIA DEFRA SHAREPOINT SITE

ODR Title
Appendix A. WFD & HD IMP P ODRs – P reduction schemes methodology
Appendix B. WFD & HD IMP P ODRs – PR24 WFD Catchment approach paper
Appendix C. WFD & HD IMP P ODRs – Wetland screening technical note
Appendix E. WFD & HD IMP P ODRs – C&NBS Rivers Trust Endorsement
Appendix D. WFD & HD IMP P ODR – C&NBS evidence summary_Branxton
Appendix D. WFD & HD IMP P ODR – C&NBS evidence summary_Clow Beck
Appendix D. WFD & HD IMP P ODR – C&NBS evidence summary_Embleton
Appendix D. WFD & HD IMP P ODR – C&NBS evidence summary_Leven
Appendix D. WFD & HD IMP P ODR – C&NBS evidence summary_Skerne
Appendix D. WFD & HD IMP P ODR – C&NBS evidence summary_South Low
Appendix D. WFD & HD IMP P ODR – C&NBS evidence summary_Wear
NW_Bothal Burn_WFD_ODR
NW_Branxton_WFD_ODR
NW_Clow Beck_WFD_ODR
NW_Derwent_WFD_ODR
NW_Embleton Burn_WFD_ODR
NW_Hawthorn Burn_WFD_ODR
NW_Percy Beck_WFD_ODR
NW_River Leven_WFD_ODR
NW_River Skerne_WFD_ODR
NW_River Team_WFD_ODR
NW_River Wear_WFD_ODR
NW_Sedgefield_WFD_ODR
NW_South Low_WFD_ODR
NW_Tees_WFD_ODR



# **APPENDIX B: CURRENT AND FUTURE PERFORMANCE BY STW**

# **B.1 CURRENT PERFORMANCE**

# TABLE 38: STW CURRENT TOTAL PHOSPHORUS (TP) PERMITS AND PERFORMANCE, AND NEW P PERMITS REQUIRED (OR EQUIVALENT FOR CNB) TO MEET WFD GOOD STATUS AND NW FAIR SHARE IN AMP8, AS AGREED WITH EA

Catchment	STW	Current WFD Water body status	Current TP Permit (mg/l)	SAGIS 2022 Baseline TP Assumption	Current TP Performance (mg/l)	TP Permit limit required for WFD IMP in AMP8 <sup>27</sup> (mg/l)
Pallins Burn	Branxton	Moderate	None	N/A <sup>28</sup>	N/A	N/A (0.02 kg/day required for waterbody)
South Low	Haggerston Castle	Moderate	n/a	3.8	4.7	2.1
Belford Burn	Belford	Moderate	1.5	1.5	1.2	0.25
Embleton Burn	Embleton	Poor	n/a	1.0	4.0	0.8 (2.0 for ND)
Marchael	Pegswood	Poor	None	5.8	-	0.25
Wansbeck	Morpeth	Good	2	2.0	1	0.25
South Tyne	Slaley Hall	Moderate	n/a	5.0	-	0.25
Derwent	Lockhaugh	High	2	2.0	0.9	0.6 (0.9 for ND)
	East Tanfield	Moderate	1	2.0	0.6	0.25
River Team (P)	Birtley	Moderate	None	Not used	3.7 <sup>29</sup>	0.25
Hawthorn Burn (P)	Hawthorn	Poor	None	5.0	3.9	0.25
Percy Beck (P)	Stainton	Poor	None	5.0	5.3	0.5
	Hamsterley	Moderate	-	5.0	5	0.8
River Wear (P)	Tow law	Poor	-	3.2	4.5	0.25
	Low Wadsworth	Moderate <sup>30</sup>	2	2	1.5	0.25

<sup>&</sup>lt;sup>27</sup> Based on SAGIS Optimiser 2022b model

<sup>&</sup>lt;sup>28</sup> Small descriptive works not covered in SAGIS model

<sup>&</sup>lt;sup>29</sup> Birtley STW already has a mine water co-treatment reedbed in place which performs at 0.2 mg/l TP annual average, currently unpermitted for P

<sup>&</sup>lt;sup>30</sup> Wear from Beechburn Beck to Gaunless that this STW discharges into, not the waterbody the STW is physically located in.

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Catchment	STW	Current WFD Water body status	Current TP Permit (mg/l)	SAGIS 2022 Baseline TP Assumption	Current TP Performance (mg/l)	TP Permit limit required for WFD IMP in AMP8 <sup>27</sup> (mg/l)
	Browney	Moderate	2	2	0.8	0.25
	Bishop Auckland	Good	2	2	0.25	0.25
	Willington	Good	-	5	4	0.25
	Brancepeth	High	-	5	5	0.6
	Tudhoe Mill	Good	2	2	1.5	0.3
	Kelloe	Moderate	0.3	3.94	0.25	0.25
	Bowburn	Moderate	0.25	2	0.25	0.25
	University	Moderate	-	6.87	5	5
	Cassop	Poor	-	5	5	0.3
	Sherburn	High	0.5	3.42	0.25	0.25
	Barkers Haugh	Moderate	2	2	1.0	1.1
	Belmont	Moderate	2	2	0.9	0.25
	Brasside	Moderate	-	1.9	5	0.25
	Sacriston	Poor	0.25	1.07	0.25	0.25
	Edmondsley	Good	-	5	5	0.3
	Hustledown	Moderate	0.9	2	0.25	0.25
	Sedgeletch	Poor	1	1	0.7	0.25
	Chester le street	Moderate	2	2	1	1.1
	Aldbrough	Moderate	None	5.0	2.7	1.1
Clow Beck	Melsonby	Moderate	None	5.0	3.9	0.4
	Barton	Moderate	None	5.0	3.0	0.4
	Windlestone STW	Moderate	2	2.0	1.4	0.25
River Skerne (P)	Aycliffe STW	Poor	2	2.0	1.3	0.25
	Sadberge STW	Poor	n/a	5.0	6.2	0.25
River Leven (P)	Ingleby Greenhow	Moderate	None	5.0	5.05	1.3

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Catchment	STW	Current WFD Water body status	Current TP Permit (mg/l)	SAGIS 2022 Baseline TP Assumption	Current TP Performance (mg/l)	TP Permit limit required for WFD IMP in AMP8 <sup>27</sup> (mg/l)
	Great Broughton	Moderate	None	5.0	3.98	0.25
	Great Ayton	Moderate	1.0	1.0	0.4	0.4
	Stokesley	Moderate	1.0	1.0	0.3	0.25
	Carlton in Cleveland	Moderate	None	5.0	4.28	1.8
	Hutton Rudby	Moderate	None	5.0	4.91	2.9
River Tees (P)	Stressholme	Poor	No permit	3.7	5.8	0.25

# TABLE 39: STW CURRENT AMMONIA PERMITS AND PERFORMANCE, AND NEW NH3 PERMITS REQUIRED TO MEET WFD **GOOD STATUS AND NW FAIR SHARE**

Catchment	STW	Water status ammonia	body for	Current Permit	NH3	NH3 performance 95%ile	Proposed permit <sup>31</sup> (mg/I) WFD_IMP	NH3
River Team catchment improvement	East Tanfield	Moderate		2		0.2	Operating - Technics	
(Ammonia)	Birtley	Moderate		40		4.7	Agreement	
	Birtley reedbed	Moderate		No permit		0.621	-	
River Tees catchment improvement (Ammonia)	Sedgefield	High		5		3.2	1	

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<sup>&</sup>lt;sup>31</sup> Based on SAGIS Optimiser 2022b model



# APPENDIX C: WFD\_IMP UNCONSTRAINED OPTIONS SCREENING: PHOSPHORUS & AMMONIA REDUCTION SCHEMES

#### **C.1 BELFORD BURN (08NW100189)**

#### TABLE 40: BELFORD BURN UNCONSTRAINED OPTION SCREENING

Unconstrained options	Technically feasible?	Meets statut obligation?	tory Reason for disca
Treatment process-based permitting: Reedbed (constructed wetland)	No	No	DISCARDED for I permit.
A reed bed system wastewater flows continuously through the support medium, made up of a gravel base planted with the common reed. The area around the reeds becomes populated with both aerobic and anaerobic bacteria. It is these bacteria that treats the incoming wastewater.			
Treatment process-based permitting: Electrocoagulation	Unclear	Unclear	DISCARDED – te permit value can l
As an innovative process, electrocoagulation uses electrodes and electricity to dose a chemical for phosphorus removal using a sacrificial anode rather than chemical delivery and dosing a liquid chemical.			
Treatment process-based permitting: Mecana Cloth filter Physical separation process, where solids are captured on a series of cloth discs. Solids are removed by backwashing cloth discs.	Yes	Yes	Carried forward fo point.
Treatment process-based permitting: Ferric dosing Ferric sulphate solution dosed to precipitate phosphorus within the wastewater. Phosphorus removed as a sludge from the process.	Yes	Yes	Carried forward for DISCARDED at so more cost effective
Treatment process-based permitting: Deep bed filter	Yes	Yes	Carried forward for
Physical separation process, where solids are captured within a volume of sand media. Solids are removed by backwashing the sand. Dirty back wash water is returned to the inlet works.			DISCARDED at se effective for small
Treatment process-based permitting: CoMag	Yes	Yes	Carried forward for
Ballasted coagulation is a high-rate, physical-chemical clarification process involving the fixing of flocs, or suspended solids, onto ballast (sand) with the aid of a			DISCARDED at so
polymer. The resulting sludge, which contains the ballast, is collected for treatment where the sludge is -separated from the ballast. The residual solids are sent through a			for this technology more to construct
sludge processing system and the recovered ballast is recycled.			costs) than other to benefit to the wate
Treatment process-based permitting: BioMag	Yes	Yes	Carried forward for
Ballasted secondary treatment processes incorporate a ballast into the mixed liquor of an activated sludge plant. The ballast binds to the floc in the activated sludge	e		DISCARDED at s
and improves the settlement rate and associated solids removal. For phosphorus removal ferric sulphate is dosed into the wastewater entering the aeration basin prior to ballast addition.			ASP. Installing Bio effective. Ballaste
· · · · · · · · · · · · · · · · · · ·			more assets) and
			treatment technole Only at larger site
Treatment process-based permitting: Biological Nutrient Removal	Yes	Yes	Carried forward fo
BNR consists of anaerobic/anoxic and aerobic chambers in succession within an activated sludge plant. The change in conditions manipulate the organisms to			DISCARDED at s
accumulate nutrients from waste waters. Following the anaerobic stage, the organisms are exposed to aerobic conditions, due to their stressed state, they			ASP. Installing a E
overreact and accumulate more phosphorus than originally expelled in the anaerobic stage resulting in a net removal of phosphorus from the wastewater. In			effective. BNR in a
addition to BNR, sites will require ferric addition to guarantee phosphorus removal.			(due to higher ene terms of natural ca
			concrete and more
			Benefits to water
			other traditional tra technology cost e
Change outfall location	Yes	Yes	Carried forward fo
Move final effluent outfall so more relaxed permit is acceptable (discharge into less sensitive water course).			DISCARDED at s
			No suitable water
			accept a transfer.

#### carding

#### or Belford STW as technology will not achieve phosphorus

technology unproven within the water industry to guarantee n be achieved.

for Belford STW with ferric dosing expanded to second dose

#### for Belford STW

secondary screening as site has existing ferric dosing process, tive to expand existing process than to install new asset for Belford STW

secondary screening as other filter technologies are more cost aller sites

for Belford STW

t secondary screening – Belford STW is too small (PE<30,000) ogy to be cost effective. Ballasted coagulation in all cases costs act (requires more assets) and operate (due to higher energy er tertiary treatment technologies and would deliver the same ater quality. Only at larger sites is the technology cost effective.

t secondary screening – Belford STW does not have an existing BioMag would require a complete rebuild and would not be cost sted coagulation in all cases costs more to construct (requires nd operate (due to higher energy costs) than other tertiary pologies and would deliver the same benefit to the water quality. ites with ASPs is the technology cost effective.

I for Belford STW

t secondary screening – Belford STW does not have an existing a BNR would require a complete rebuild and would not be cost in all cases cost more to construct (more concrete) and operate energy costs) than other packaged treatment technologies. In I capital they have more embedded carbon due to more nore operational carbon due to high energy use aerators. er quality and other natural capital measures are the same as I treatment technologies. Only at larger sites with ASPs is the t effective.

for Belford STW

t secondary screening er body which would provide sufficient dilution within 5 km to er. Adjacent water bodies are at moderate status and no. Waren

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Unconstrained options	Technically feasible?	Meets statut obligation?	ory Reason for disca
			Burn is within 1.6 sufficient dilution
Centralise STWs	Yes	Yes	Carried forward f
Combine two or more STW into a new larger works to achieve efficiencies of scale.			DISCARDED at s
			There is only Bel
			Centralising the s construct (more of
			assets at the exis
			treatment/networ
			effluent baseflow
			Centralising the s
			the new location
			tertiary assets at same. Furthermo
			will be significant
Transfer / Pump away	Yes	Yes	Carried forward f
Transfer flow (raw) from one or more smaller STW into an existing larger works with dry weather flow (DWF) headroom.			DISCARDED at s
			No other STW wi
Perlage/retrofit/evenend evicting primery/econdeny treatment processes	Yes	Yes	flows.
Replace/retrofit/expand existing primary/secondary treatment processes	res	res	Carried Forward second dose poir
Use existing process types or more intensive processes where treatment is already in place, may include extra assets on site to achieve tighter permit limit.			-
Integrated constructed wetland (ICW)	Yes	Yes	Carried forward f
Create ICW with multiple benefits as treatment solution (only applicable where less stringent permit limits or existing treatment solution that needs to be tighter).			dosing on site.
Catchment permitting for nutrients	No	No	DISCARDED - no
Flexible permit limits across all STWs discharging to a river. All STWs within a specified catchment are included in an innovative catchment permit which provide			
flexibility and offsetting and allows benefit from overperformance between sites (measured as kg load reduction at STWs).	5		
Trade effluent variation	No	No	DISCARDED The
Varying trade effluent permits at sites or removing trader high flow contributions.			effluent will not b
DWF headroom sacrifice at STW	No	No	DISCARDED He
	NO	NO	been identified as
Accept a reduced DWF permit so that a more relaxed final effluent permit is imposed.			
Catchment nutrient balancing	No	No	DISCARDED Ver
Catchment nutrient balancing i.e., targeting phosphorus load reductions from agriculture (working with farmers to reduce source pollution) and other non-water			means that a cate reductions
company sectors.			
Catchment habitat creation and/or enhancement	No	No	DISCARDED- w
Creation of new or enhancement of existing habitats such as woodland, wetlands, and vegetated riparian margins in the wider catchment aiming to reduce			
phosphorus loads to the watercourse via reduced runoff and sediment losses or increased filtration.	No	No	
	No	No	DISCARDED - w
Operational solution	110		

#### scarding

1.6km but discharges to Lindesfarn SSSI and does not provide on to accept more flow..

d for Belford STW

at secondary screening

Belford and Warren Mill STW (PE <50) within 5km of each other. the sites to a new larger works will cost significantly more to re concrete, two pipeline routes) compared to expanding tertiary existing works. In addition, centralising the sites will require storm work storage to protect the existing water course if the treated ow was removed from the water body, adding cost and carbon.

e sites will have a higher operating cost due to pumping flows to on plus operation of the new works compared to expanding at the existing works. The benefits to water quality will be the more, the release of embedded carbon from demolished assets antly higher than the embedded carbon of extra tertiary assets.

d for Belford STW

at secondary screening within 5km with greater than 10% headroom capacity to receive

rd for Belford STW existing ferric dosing shall be expanded for a point.

d for Belford STW in combination with use of the existing ferric

not feasible as there is only one STW in the catchment

The proportion of trade effluent is <5% and removal of this trade to sufficient a phosphorus permit of 0.25mg/l.

Headroom required to support growth in Belford. Catchment has I as a site with growth >10%

Very high STW contribution to overall phosphorus loading (98%) catchment solution will not achieve the required phosphorus load

will not achieve phosphorus load reductions required

will not achieve the phosphorus permit.



# **C.2 BOTHAL BURN (08NW100195)**

#### TABLE 41: BOTHAL BURN UNCONSTRAINED OPTION SCREENING

Unconstrained options	Technically feasible?	Meets statutory obligation?	Reason for discarding
Treatment process-based permitting: Reedbed (constructed wetland) A reed bed system wastewater flows continuously through the support medium, made up of a gravel base planted with the common reed. The area around the reeds becomes populated with both aerobic and anaerobic bacteria. It is these bacteria that treats the incoming wastewater.	No	No	DISCARDED for Pegsw
Treatment process-based permitting: Electrocoagulation As an innovative process, electrocoagulation uses electrodes and electricity to dose a chemical for phosphorus removal using a sacrificial anode rather than chemical delivery and dosing a liquid chemical.	Unclear	Unclear	DISCARDED – technolo value can be achieved.
Treatment process-based permitting: Mecana Cloth filter Physical separation process, where solids are captured on a series of cloth discs. Solids are removed by backwashing cloth discs.	Yes	Yes	Carried forward for Pegs DISCARDED at second cost effective to extend of
<b>Treatment process-based permitting:</b> Ferric dosing Ferric sulphate solution dosed to precipitate phosphorus within the wastewater. Phosphorus removed as a sludge from the process.	Yes	Yes	Carried forward for Pe
Treatment process-based permitting: Deep bed filter Physical separation process, where solids are captured within a volume of sand media. Solids are removed by backwashing the sand. Dirty back wash water is returned to the inlet works.	Yes	Yes	Carried forward for Pegs DISCARDED at seconds cost effective to extend of
Treatment process-based permitting: CoMag Ballasted coagulation is a high-rate, physical-chemical clarification process involving the fixing of flocs, or suspended solids, onto ballast (sand) with the aid of a polymer. The resulting sludge, which contains the ballast, is collected for treatment where the sludge is -separated from the ballast. The residual solids are sent through a sludge processing system and the recovered ballast is recycled.	Yes	Yes	Carried forward for Pegs DISCARDED at second this technology to be cos construct (requires more tertiary treatment techno quality. Only at larger sit
Treatment process-based permitting: BioMag Ballasted secondary treatment processes incorporate a ballast into the mixed liquor of an activated sludge plant. The ballast binds to the floc in the activated sludge and improves the settlement rate and associated solids removal. For phosphorus removal ferric sulphate is dosed into the wastewater entering the aeration basin prior to ballast addition.	Yes	Yes	Carried forward for Pegs DISCARDED at second ASP. Installing BioMag effective. Ballasted coag assets) and operate (du technologies and would sites with ASPs is the te

# ng

# swood STW as technology will not achieve phosphorus permit.

ology unproven within the water industry to guarantee permit

egswood STW

ndary treatment as site already has a Deep Bed Filter, more nd existing treatment than replace with new

#### Pegswood STW with expansion of existing Deep Bed Filter

# egswood STW

ndary treatment as site already has a Deep Bed Filter, more nd existing treatment than replace with new

# egswood STW

ndary screening – Pegswood STW is too small (PE<30,000) for cost effective. Ballasted coagulation in all cases costs more to ore assets) and operate (due to higher energy costs) than other nologies and would deliver the same benefit to the water sites is the technology cost effective.

#### egswood STW

andary screening – Pegswood STW does not have an existing ag would require a complete rebuild and would not be cost bagulation in all cases costs more to construct (requires more (due to higher energy costs) than other tertiary treatment ald deliver the same benefit to the water quality. Only at larger a technology cost effective. PR**24** 

Treatment process-based permitting: Biological Nutrient Removal BNR consists of anaerobic/anoxic and aerobic chambers in succession within an activated sludge plant. The change in conditions manipulate the organisms to accumulate nutrients from waste waters. Following the anaerobic stage, the organisms are exposed to aerobic conditions, due to their stressed state, they overreact and accumulate more phosphorus than originally expelled in the anaerobic stage resulting in a net removal of phosphorus from the wastewater. In addition to BNR, sites will require ferric addition to guarantee phosphorus removal.	Yes	Yes	Carried forward for Pegs DISCARDED at seconda ASP. Installing a BNR we effective. BNR in all case to higher energy costs) th natural capital they have operational carbon due to other natural capital mea technologies. Only at large
Change outfall location Move final effluent outfall so more relaxed permit is acceptable (discharge into less sensitive water course).	Yes	Yes	<b>Carried forward.</b> Pegsw be combined with ferric c
Centralise STWs Combine two or more STW into a new larger works to achieve efficiencies of scale.	Yes	Yes	Carried forward for Pegs DISCARDED at seconda There is only Pegswood to a new larger works wil pipeline routes) compare works or a single transfe treatment/network storag baseflow was removed fi Centralising the sites will new location plus operati tertiary assets at the exis water quality will be the s demolished assets will be tertiary assets.
Transfer / Pump away Transfer flow (raw) from one or more smaller STW into an existing larger works with dry weather flow (DWF) headroom.	Yes	Yes	<b>Carried forward.</b> Pegsw driver under AMP8)
Replace/retrofit/expand existing primary/secondary treatment processes Use existing process types or more intensive processes where treatment is already in place, may include extra assets on site to achieve tighter permit limit.	Yes	Yes	<b>Carried forward</b> for Peg point ferric dose point an
Integrated constructed wetland (ICW) Create ICW with multiple benefits as treatment solution (only applicable where less stringent permit limits or existing treatment solution that needs to be tighter).	Yes	Yes	<b>Carried forward</b> for Peg phosphorus load on wetl
Catchment permitting for nutrients Flexible permit limits across all STWs discharging to a river. All STWs within a specified catchment are included in an innovative catchment permit which provides flexibility and offsetting and allows benefit from overperformance between sites (measured as kg load reduction at STWs).	No	No	<b>DISCARDED</b> for Pegswo balance permits across s
Trade effluent variation Varying trade effluent permits at sites or removing trader high flow contributions.	No	No	<b>DISCARDED</b> The trade and thus removing the tr phosphorus loading requ

#### gswood STW

ndary screening– Pegswood STW does not have an existing would require a complete rebuild and would not be cost ases cost more to construct (more concrete) and operate (due b) than other packaged treatment technologies. In terms of we more embedded carbon due to more concrete and more e to high energy use aerators. Benefits to water quality and neasures are the same as other traditional treatment larger sites with ASPs is the technology cost effective.

swood STW transfer to the River Wansbeck. This will need to c dosing to reduce phosphorus load in River Wansbeck

gswood STW

ndary screening

od and Morpeth within 5km of each other. Centralising the sites will cost significantly more to construct (more concrete, two ared to construction of extra tertiary assets at the existing sfer pipeline. In addition, centralising the sites will require storm rage to protect the existing water course if the treated effluent d from the water body, adding cost and carbon.

will have a higher operating cost due to pumping flows to the ration of the new works compared to construction of extra existing works or a single transfer pipeline. The benefits to be same. Furthermore, the release of embedded carbon from I be significantly higher than the embedded carbon of extra

swood STW transfer to Morpeth STW (which has a growth

egswood STW to Expand tertiary processes with addition of 2 and expand existing deep bed filter.

egswood STW with the requirement of ferric dosing to reduce etland.

swood STW **O**nly one STW within catchment, no opportunity to as several treatment plants.

de effluent proportion of the flow is <10% of the overall flow e trade flow would not be sufficient to provide the reduction in equired to hit the permit.



DWF headroom sacrifice at STW Accept a reduced DWF permit so that a more relaxed final effluent permit is imposed.	No	No	<b>DISCARDED</b> for Pegswo sacrifice to achieve a ph
Catchment nutrient balancing Catchment nutrient balancing i.e., targeting phosphorus load reductions from agriculture (working with farmers to reduce source pollution) and other non- water company sectors.	No	No	<b>DISCARDED</b> - Continge achieving load reductions
Catchment habitat creation and/or enhancement Creation of new or enhancement of existing habitats such as woodland, wetlands, and vegetated riparian margins in the wider catchment aiming to reduce phosphorus loads to the watercourse via reduced runoff and sediment losses or increased filtration.	No	No	DISCARDED for Pegswo achieve good status
Operational solution Optimisation of existing site assets to achieve new permit through operational activities.	No	No	<b>DISCARDED</b> for Pegswo removal technology that

swood STW. Not sufficient headroom in the STW to allow DWF phosphorus permit of 0.25mg/l.

gent on catchment solution which is not feasible due to it not ons required to achieve good status

swood STW – will not achieve load reductions required to

swood STW The site doesn't have existing phosphorus hat could be optimised to meet the phosphorus permit





# C.3 CLOW BECK (08NW100203)

#### TABLE 42: CLOW BECK UNCONSTRAINED OPTION SCREENING

Unconstrained options	Technically feasible?	Meets statutory obligation?	Reason for discarding
Treatment process-based permitting: Reedbed (constructed wetland)	Yes	Yes	Carried forward for Aldborou
A reed bed system wastewater flows continuously through the support medium, made up of a gravel base planted with the common reed. The area around the reeds becomes populated with both aerobic and anaerobic bacteria. It is these bacteria that treats the incoming wastewater.			DISCARDED at secondary not achieve phosphorus per
Treatment process-based permitting: Electrocoagulation	Unclear	Unclear	DISCARDED – technology value can be achieved.
As an innovative process, electrocoagulation uses electrodes and electricity to dose a chemical for phosphorus removal using a sacrificial anode rather than chemical delivery and dosing a liquid chemical.			value can be achieved.
Treatment process-based permitting: Mecana Cloth filter	Yes	Yes	Carried forward for Aldborou
Physical separation process, where solids are captured on a series of cloth discs. Solids are removed by backwashing cloth discs.			
Treatment process-based permitting: Ferric dosing	Yes	Yes	Carried forward for Aldborou
Ferric sulphate solution dosed to precipitate phosphorus within the wastewater. Phosphorus removed as a sludge from the process.			
Treatment process-based permitting: Deep bed filter	Yes	Yes	Carried forward for Aldborou
Physical separation process, where solids are captured within a volume of sand media. Solids are removed by backwashing the sand. Dirty back wash water is returned to the inlet works.			DISCARDED at secondary effective for smaller sites.
			DISCARDED at secondary required to achieve the perr
Treatment process-based permitting: CoMag	Yes	Yes	Carried forward for Aldborou
Ballasted coagulation is a high-rate, physical-chemical clarification process involving the fixing of flocs, or suspended solids, onto ballast (sand) with the aid of a polymer. The resulting sludge, which contains the ballast, is collected for treatment where the sludge is -separated from the ballast. The residual solids are sent through a sludge processing system and the recovered ballast is recycled.			DISCARDED at secondary too small (PE<30,000) for th in all cases costs more to co higher energy costs) than of same benefit to the water qu
Treatment process-based permitting: BioMag	Yes	Yes	Carried forward for Aldboro
Ballasted secondary treatment processes incorporate a ballast into the mixed liquor of an activated sludge plant. The ballast binds to the floc in the activated sludge and improves the settlement rate and associated solids removal. For phosphorus removal ferric sulphate is dosed into the wastewater entering the aeration basin prior to ballast addition.			DISCARDED at secondary not have an existing ASP. E (requires more assets) and treatment technologies and at larger sites with ASPs is
Treatment process-based permitting: Biological Nutrient Removal	Yes	Yes	Carried forward for Aldborou
BNR consists of anaerobic/anoxic and aerobic chambers in succession within an activated sludge plant. The change in conditions manipulate the organisms to accumulate nutrients from waste waters. Following the anaerobic stage, the organisms are exposed to aerobic conditions, due to their stressed state, they overreact and accumulate more phosphorus than originally expelled in the anaerobic stage resulting in a net removal of phosphorus from the wastewater. In addition to BNR, sites will require ferric addition to guarantee phosphorus removal.			DISCARDED at secondary not have an existing ASP. Ir would not be cost effective. and operate (due to higher of technologies. In terms of na more concrete and more op Benefits to water quality and traditional treatment technol cost effective.
Change outfall location	Yes	Yes	Carried forward for Aldbord Clow beck)
Move final effluent outfall so more relaxed permit is acceptable (discharge into less sensitive water course).			

rough, Barton and Melsonby STW with ferric dosing

ry screening for Barton and Melsonby STW as technology will permits.

gy unproven within the water industry to guarantee permit

rough, Barton and Melsonby STW with ferric dosing

rough, Barton and Melsonby STW with Mecana filters

rough, Barton and Melsonby STW

ry screening as other filter technologies are more cost

ary screening for Aldborough STW because technology not ermit value. prough, Barton and Melsonby STW

ry screening - Aldborough, Barton and Melsonby STW are r this technology to be cost effective. Ballasted coagulation o construct (requires more assets) and operate (due to o other tertiary treatment technologies and would deliver the r quality. Only at larger sites is the technology cost effective. wrough, Barton and Melsonby STW

P. Ballasted coagulation in all cases costs more to construct and operate (due to higher energy costs) than other tertiary nd would deliver the same benefit to the water quality. Only is the technology cost effective.

rough, Barton and Melsonby STW

ary screening– Aldborough, Barton and Melsonby STW do P. Installing a BNR would require a complete rebuild and ve. BNR in all cases cost more to construct (more concrete) er energy costs) than other packaged treatment f natural capital they have more embedded carbon due to operational carbon due to high energy use aerators. and other natural capital measures are the same as other nologies. Only at larger sites with ASPs is the technology

orough, Barton and Melsonby STW (Barton STW to transfer to



			DISCARDED at secondar because no larger waterbo
Centralise STWs	Yes	Yes	Carried forward for Aldborg
Combine two or more STW into a new larger works to achieve efficiencies of scale.			DISCARDED at secondary
			The cost of transferring the building one new larger ST options. Centralising the si construct (more concrete, r options. In addition, centra to protect the existing wate
			the water body, adding cos
			Centralising the sites will h location plus operation of t benefits to water quality wi release of embedded carbo the embedded carbon of e
Transfer / Pump away	Yes	Yes	Carried forward for Barton
Transfer flow (raw) from one or more smaller STW into an existing larger works with dry weather flow (DWF) headroom.			DISCARDED at secondar STW with 5km with greate
Replace/retrofit/expand existing primary/secondary treatment processes	No	No	
Use existing process types or more intensive processes where treatment is already in place, may include extra assets on site to achieve tighter permit limit.			DISCARDED No existing p requirements.
Integrated constructed wetland (ICW)	Yes	Yes	Carried forward for Aldbor
Create ICW with multiple benefits as treatment solution (only applicable where less stringent permit limits or existing treatment solution that needs to be tighter).			
Catchment permitting for nutrients	Yes	Yes	Carried forward (as part of
Flexible permit limits across all STWs discharging to a river. All STWs within a specified catchment are included in an innovative catchment permit which provides flexibility and offsetting and allows benefit from overperformance between sites (measured as kg load reduction at STWs).			
Trade effluent variation	No	No	DISCARDED for Aldboroug
Varying trade effluent permits at sites or removing trader high flow contributions. DWF headroom sacrifice at STW	No	No	DISCARDED for Aldborou
			the STW to allow DWF sad
Accept a reduced DWF permit so that a more relaxed final effluent permit is imposed. Catchment nutrient balancing	Yes	Yes	Carried forward (as part of
Catchment nutrient balancing i.e., targeting phosphorus load reductions from agriculture (working with farmers to reduce source pollution) and othe non-water company sectors.	er		
Catchment habitat creation and/or enhancement	No	No	DISCARDED for Aldborou
Creation of new or enhancement of existing habitats such as woodland, wetlands, and vegetated riparian margins in the wider catchment aiming to reduce phosphorus loads to the watercourse via reduced runoff and sediment losses or increased filtration.	)		reductions required
Operational solution	No	No	DISCARDED for Aldborouge existing phosphorus remove
			existing priospriorus remov

lary screening for Aldborough STW and Melsonby STW rbody within 5km of the site to receive flows. porough, Barton and Melsonby STW

ary screening.

the flow from Aldborough, Melsonby and Barton and then STW is expected to be more costly than other treatment e sites to a new larger works will cost significantly more to e, multiple pipeline routes) compared to other treatment tralising the sites will require storm treatment/network storage rater course if the treated effluent baseflow was removed from cost and carbon.

Il have a higher operating cost due to pumping flows to the new of the new works compared to other treatment options. The will be the same as other treatment options. Furthermore, the arbon from demolished assets will be significantly higher than of extra tertiary assets.

ton STW (Transfer to Stressholme STW)

dary screening for Aldborough and Melsonby because no other ater than 10% headroom capacity

g phosphorus removal technology to expand to meet the permit

porough STW, Barton STW and Melsonby STW

of catchment solution)

ough, Barton and Melsonby STW No trade effluent at any of the

ough, Barton and Melsonby STW Not sufficient headroom in sacrifice to achieve a phosphorus permit of 0.25mg/l.

t of catchment solution)

ough, Barton and Melsonby STW – will not achieve load

ough, Barton and Melsonby STW The sites do not have noval technology that could be optimised to meet the





# C.4 PALLINS BURN - BRANXTON (08NW100187)

#### TABLE 43: PALLINS BURN UNCONSTRAINED OPTION SCREENING

Yes Unclear	Yes	Carried forward for Branxton
Unclear		
Unclear		DISCARDED at secondary screening
Unclear		Permit limit can be achieved without
	Unclear	DISCARDED – technology unprover achieved.
Yes	Yes	Carried forward for Branxton
		DISCARDED at secondary screening
		Permit limit can be achieved without
Yes	Yes	Carried forward for Branxton STW
Yes	Yes	Carried forward for Branxton STW
		DISCARDED at secondary screening
		Permit limit can be achieved without
Yes	Yes	Carried forward for Branxton STW
l		DISCARDED at secondary screening
h		technology to be cost effective. Balla
		(requires more assets) and operate technologies and would deliver the s
		technology cost effective.
Yes	Yes	Carried forward for Branxton STW
		DISCARDED at secondary screening
		BioMag would require a complete re
		in all cases costs more to construct ( costs) than other tertiary treatment to
		quality. Only at larger sites with ASP
Yes	Yes	Carried forward for Branxton STW
		DISCARDED at secondary screening
		technology to be cost effective. BNR
		operate (due to higher energy costs carried forward above. In terms of n
		more concrete and more operational
		to water quality and other natural cap
		technologies. Only at larger sites wit
Yes	Yes	Carried forward. Transfer Branxton f
ł	Yes Yes h Yes Yes	Yes     Yes       Yes     Yes       Yes     Yes       Yes     Yes       Yes     Yes

#### ening.

out use of a reed bed oven within the water industry to guarantee permit value can be

ening.

out use of a Tertiary Cloth Filter.

ening.

out use of a Deep bed Filter.

ning – Branxton STW is too small (PE<30,000) for this Ballasted coagulation in all cases costs more to construct ate (due to higher energy costs) than other tertiary treatment ne same benefit to the water quality. Only at larger sites is the

ning – Branxton STW does not have an existing ASP. Installing e rebuild and would not be cost effective. Ballasted coagulation uct (requires more assets) and operate (due to higher energy nt technologies and would deliver the same benefit to the water ASPs is the technology cost effective.

ening – Branxton STW is too small (PE<30,000) for this BNR in all cases cost more to construct (more concrete) and sts methanol dose). BNR would still require ferric dose which is of natural capital they have more embedded carbon due to onal carbon due to high energy use aerators/ mixers. Benefits capital measures are the same as other traditional treatment with ASPs is the technology cost effective.

on final effluent to River Till



Centralise STWs	Yes	Yes	Carried forward for Branxton STW
Combine two or more STW into a new larger works to achieve efficiencies of scale.			DISCARDED at secondary screenin
			There is only Branxton and Milfiled w larger works will cost significantly my compared to a single transfer pipelin treatment/network storage to protect was removed from the waterbody, a
			Centralising the sites will have a high plus operation of the new works com quality will be the same as a single t carbon from demolished assets will b single transfer pipeline.
Transfer / Pump away	Yes	Yes	Carried forward. Transfer Branxton
Transfer flow (raw) from one or more smaller STW into an existing larger works with dry weather flow (DWF) headroom			
Replace/retrofit/expand existing primary/secondary treatment processes	No	No	DISCARDED for Branxton STW No
Use existing process types or more intensive processes where treatment is already in place, may include extra assets on site to achieve tighter permit limit.	9		the permit requirements.
Integrated constructed wetland (ICW)	Yes	Yes	Carried forward for Branxton STW
Create ICW with multiple benefits as treatment solution (only applicable where less stringent permit limits or existing treatment solution that needs to be tighter).			DISCARDED at secondary screenin reductions required mean that the si developed as part of the catchment option than an ICW, with evidence s phosphorus load reduction for the ca
Catchment permitting for nutrients Flexible permit limits across all STWs discharging to a river. All STW s within a specified catchment are included in an innovative catchment permit which provides flexibility and offsetting and allows benefit from overperformance between sites	No	No	DISCARDED – Branxton is the only there is no opportunity to balance los permitting.
(measured as kg load reduction at STWs).			
Trade effluent variation	No	No	DISCARDED for Branxton STW – th
Varying trade effluent permits at sites or removing trader high flow contributions.			
DWF headroom sacrifice at STW	No	No	DISCARDED for Branxton STW – Si accept a headroom sacrifice.
Accept a reduced DWF permit so that a more relaxed final effluent permit is imposed.			
Catchment nutrient balancing	Yes	Yes	Carried forward for Branxton STW
Catchment nutrient balancing i.e., targeting phosphorus load reductions from agriculture (working with farmers to reduce source pollution) and other non-water company sectors.			DISCARDED from secondary screer reduction would not be cost beneficia
Catchment habitat creation and/or enhancement	Yes	Yes	Carried forward for Branxton STW
Creation of new or enhancement of existing habitats such as woodland, wetlands, and vegetated riparian margins in the wider catchment aiming to reduce phosphorus loads to the watercourse via reduced runoff and sediment losses or increased filtration.			
	No	No	DISCARDED for Branxton STW. The
Operational solution	INU	146	technology that could be optimised to

ening.

ed within 5km of each other. Centralising the sites to a new y more to construct (more concrete, two pipeline routes) beline. In addition, centralising the sites will require storm otect the existing water course if the treated effluent baseflow y, adding cost and carbon.

higher operating cost due to pumping flows to the new location compared to a single transfer pipeline. The benefits to water gle transfer pipeline. Furthermore, the release of embedded will be significantly higher than the embedded carbon of to a

on STW flow to Milfield STW

No existing phosphorus removal technology to expand to meet

ening – Branxton is a small descriptive works, the small load ne site is more suited to a catchment wetland option, which was ent solution (see below). This is a smaller scale and cheaper ce suggesting this would be sufficient to achieve the required ne catchment.

nly STW impacting the river status in Pallins Burn therefore e loads across several treatment plants through catchment

- there is no trade effluent in this catchment.

- Site not currently permitted therefore would not be possible to

reening as nutrient balancing for such a small phosphorus load ficial compared to catchment habitat creation below.

The site does not have existing phosphorus removal ed to meet the phosphorus permit.



# C.5 PERCY BECK (08NW100201)

#### TABLE 44: PERCY BECK UNCONSTRAINED OPTION SCREENING

Unconstrained options	Technically feasible?	Meets statutory obligation?	Reason for discarding
Treatment process-based permitting: Reedbed	No	No	DISCARDED for Stainton STW as tech
A reed bed system wastewater flows continuously through the support medium, made up of a gravel base planted with the common reed. The area around the reeds becomes populated with both aerobic and anaerobic bacteria. It is these bacteria that treats the incoming wastewater.			
Treatment process-based permitting: Electrocoagulation	Unclear	Unclear	DISCARDED for Stainton STW – techr value can be achieved.
As an innovative process, electrocoagulation uses electrodes and electricity to dose a chemical for phosphorus removal using a sacrificial anode rather than chemical delivery and dosing a liquid chemical.			value can be achieved.
Treatment process-based permitting: Mecana Cloth filter (Tertiary Solids Removal)	Yes	Yes	Carried forward for Stainton STW with
Physical separation process, where solids are captured on a series of cloth discs. Solids are removed by backwashing cloth discs.			
Treatment process-based permitting: Ferric dosing	Yes	Yes	Carried forward for Stainton STW with
Ferric sulphate solution dosed to precipitate phosphorus within the wastewater. Phosphorus removed as a sludge from the process.			
Treatment process-based permitting: Deep bed filter	Yes	Yes	Carried forward for Stainton STW
Physical separation process, where solids are captured within a volume of sand media. Solids are removed by backwashing the sand. Dirty back wash water is returned to the inlet works.			<b>DISCARDED</b> at secondary screening a sites.
Treatment process-based permitting: CoMag	Yes	Yes	Carried forward for Stainton STW
Ballasted coagulation is a tertiary treatment system and is an alternative to tertiary filtration processes. Ballasted coagulation is a high-rate, physical-chemical clarification process involving the fixing of flocs, or suspended solids, onto ballast (sand) with the aid of a polymer. The resulting sludge, which contains the ballast, is collected for treatment where the sludge is -separated from the ballast. The residual solids are sent through a sludge processing system and the recovered ballast is recycled.	5		DISCARDED at secondary screening – be cost effective. Ballasted coagulation and operate (due to higher energy costs the same benefit to the water quality. O
Treatment process-based permitting: BioMag	Yes	Yes	Carried forward for Stainton STW
Ballasted secondary treatment processes incorporate a ballast into the mixed liquor of an activated sludge plant. The ballast binds to the floc in the activated sludge and improves the settlement rate and associated solids removal. For phosphorus removal ferric sulphate is dosed into the wastewater entering the aeration basin prior to ballast addition.			DISCARDED at secondary screening – Ballasted coagulation in all cases costs higher energy costs) than other tertiary the water quality. Only at larger sites wi
Treatment process-based permitting: Biological Nutrient Removal	Yes	Yes	Carried forward for Stainton STW
BNR consists of anaerobic/anoxic and aerobic chambers in succession within an activated sludge plant. The change in conditions manipulate the organisms to accumulate nutrients from waste waters. Following the anaerobic stage, the organisms are exposed to aerobic conditions, due to their stressed state, they overreact and accumulate more phosphorus than originally expelled in the anaerobic stage resulting in a net removal of phosphorus from the wastewater. In addition to BNR, sites will require ferric addition to guarantee phosphorus removal.			DISCARDED at secondary screening – BNR would require a complete rebuild a construct (more concrete) and operate ( technologies. In terms of natural capital more operational carbon due to high en capital measures are the same as other ASPs is the technology cost effective.
Change outfall location	Yes	Yes	Carried forward for Stainton STW
Move final effluent outfall so more relaxed permit is acceptable (discharge into less sensitive water course).			DISCARDED at secondary screening -

chnology will not achieve phosphorus permit.

chnology unproven within the water industry to guarantee permit

ith 2 point ferric dosing

th Mecana filter

as other filter technologies are more cost effective for smaller

g – Stainton STW is too small (PE<30,000) for this technology to on in all cases costs more to construct (requires more assets) osts) than other tertiary treatment technologies and would deliver Only at larger sites is the technology cost effective.

g – Stainton STW does not have an existing ASP. Installing sts more to construct (requires more assets) and operate (due to ry treatment technologies and would deliver the same benefit to with ASPs is the technology cost effective.

g – Stainton STW does not have an existing ASP. Installing a d and would not be cost effective. BNR in all cases cost more to te (due to higher energy costs) than other packaged treatment ital they have more embedded carbon due to more concrete and energy use aerators. Benefits to water quality and other natural her traditional treatment technologies. Only at larger sites with

- No suitable larger water body within 5 km to receive flows.



Unconstrained options	Technically feasible?	Meets statute obligation?	ory Reason for discarding
Centralise STWs	Yes	Yes	Carried forward for Stainton STW
Combine two or more STW into a new larger works to achieve efficiencies of scale.			DISCARDED at secondary screening
			There is only Stainton and Barnard Cas larger works will cost significantly more the transfer of Stainton to Barnard Cast treatment/network storage to protect the removed from the water body adding co
			Centralising the sites will have a higher operation of the new works compared to to water quality will be the same. Further assets will be significantly higher than th
Transfer / Pump away	Yes	Yes	Carried forward for Stainton STW Transfer Stainton STW to Barnard Cast
Transfer flow (raw) from one or more smaller STW into an existing larger works with dry weather flow (DWF) headroom.			
Replace/retrofit/expand existing primary/secondary treatment processes	No	No	DISCARDED No existing phosphorus re
Use existing process types or more intensive processes where treatment is already in place, may include extra assets on site to achieve tighter permit limit.			
Integrated constructed wetland (ICW)	Yes	Yes	Carried forward for Stainton STW
Create ICW with multiple benefits as treatment solution (only applicable where less stringent permit limits or existing treatment solution that needs to be tighter).			Wetland to be combined with single point
Catchment permitting for nutrients	No	No	DISCARDED –Stainton is the only STW
Flexible permit limits across all STWs discharging to a river. All STWs within a specified catchment are included in an innovative catchment permit which provides flexibility and offsetting and allows benefit from overperformance between sites (measured as kg load reduction at STWs).			opportunity to balance loads across sev
Trade effluent variation	No	No	DISCARDED for Stainton STW No trade
Varying trade effluent permits at sites or removing trader high flow contributions.			
DWF headroom sacrifice at STW	No	No	DISCARDED for Stainton STW Not suff a phosphorus permit of 0.25mg/l.
Accept a reduced DWF permit so that a more relaxed final effluent permit is imposed.	Vee	Na	
Catchment nutrient balancing	Yes	No	DISCARDED The high phosphorus load phosphorus loading (80%), means that
Catchment nutrient balancing i.e., targeting phosphorus load reductions from agriculture (working with farmers to reduce source pollution) and other non-water company sectors.			
Catchment habitat creation and/or enhancement	Yes	No	DISCARDED for Stainton STW – will no
Creation of new or enhancement of existing habitats such as woodland, wetlands, and vegetated riparian margins in the wider catchment aiming to reduce phosphorus loads to the watercourse via reduced runoff and sediment losses or increased filtration.			
Operational solution	No	No	DISCARDED for Stainton STW
Optimisation of existing site assets to achieve new permit through operational activities.			The site does not have existing phosphophophophophophophophophophophophophop

Castle within 5km of each other. Centralising the sites to a new ore to construct (more concrete, two pipeline routes) compared to astle STW. In addition, centralising the sites will require storm the existing water course if the treated effluent baseflow was cost and carbon.

er operating cost due to pumping flows to the new location plus d to the transfer of Stainton to Barnard Castle STW. The benefits thermore, the release of embedded carbon from demolished n the embedded carbon of extra tertiary assets.

#### astle STW

s removal technology to expand to meet the permit requirements.

# point dosing to achieve the permit

TW impacting the river status in Percy Beck therefore there is no several treatment plants through catchment permitting.

ade effluent

ufficient headroom in the STW to allow DWF sacrifice to achieve

bad reductions required, and high STW contribution to at a catchment solution will not achieve required reductions

not achieve load reductions required to reach good status

phorus removal technology that could be optimised to meet the



# **C.6 RIVER LEVEN (08NW100207)**

#### TABLE 45: RIVER LEVEN UNCONSTRAINED OPTION SCREENING

Unconstrained options	Technically feasible?	Meets statutory obligation?	Reason for discarding
Treatment process-based permitting: Reedbed (constructed wetland)	Yes	Yes	Carried forward for Carlton of
A reed bed system wastewater flows continuously through the support medium, made up of a gravel base planted with the common reed. The area around the reeds becomes populated with both aerobic and anaerobic bacteria. It is these bacteria that treats the incoming wastewater.			DISCARDED at secondary so Rudby STW as technology w
Treatment process-based permitting: Electrocoagulation	Unclear	Unclear	DISCARDED for Ingleby Gre
As an innovative process, electrocoagulation uses electrodes and electricity to dose a chemical for phosphorus removal using a sacrificial anode rather than chemical delivery and dosing a liquid chemical.			Rudby STWs. – technology u can be achieved.
Treatment process-based permitting: Mecana Cloth filter	Yes	Yes	Carried forward from primary Hutton Rudby STWs with 2-p
Physical separation process, where solids are captured on a series of cloth discs. Solids are removed by backwashing cloth discs.			DISCARDED at secondary s required to meet phosphorus
Treatment process-based permitting: Ferric dosing	Yes	Yes	Carried forward for Ingleby G Mecana filters. Carried forwa
Ferric sulphate solution dosed to precipitate phosphorus within the wastewater. Phosphorus removed as a sludge from the process.			
Treatment process-based permitting: Deep bed filter	Yes	Yes	Carried forward Ingleby Gree Rudby STWs
Physical separation process, where solids are captured within a volume of sand media. Solids are removed by backwashing the sand. Dirty back wash water is returned to the inlet works.			DISCARDED at secondary s for smaller sites.
			DISCARDED at secondary s required to meet phosphorus
Treatment process-based permitting: CoMag	Yes	Yes	Carried forward Ingleby Gree Rudby STWs
Ballasted coagulation is a high-rate, physical-chemical clarification process involving the fixing of flocs, or suspended solids, onto ballast (sand) with the aid of a polymer. The resulting sludge, which contains the ballast, is collected for treatment where the sludge is -separated from the ballast. The residual solids are sent through a sludge processing system and the recovered ballast is recycled.			DISCARDED at secondary so Rudby STW are too small (P coagulation in all cases costs to higher energy costs) than same benefit to the water qua
			DISCARDED at Carlton in Clinit.

on Cleveland STW.

screening for Ingleby Greenhow, Great Broughton and Hutton will not achieve phosphorus permits.

Freenhow, Great Broughton, Carlton in Cleveland and Hutton y unproven within the water industry to guarantee permit value

ary screening for Ingleby Greenhow, Great Broughton and 2-point ferric dosing.

screening for Carlton in Cleveland STW technology is not us limit.

Greenhow, Great Broughton and Hutton Rudby STWs with ward for Carlton in Cleveland STW.

reenhow, Great Broughton, Carlton in Cleveland and Hutton

screening as other filter technologies are more cost effective

screening at Carlton in Cleveland STW technology is not us limit.

reenhow, Great Broughton, Carlton in Cleveland and Hutton

screening Ingleby Greenhow, Great Broughton and Hutton (PE<30,000) for this technology to be cost effective. Ballasted sts more to construct (requires more assets) and operate (due an other tertiary treatment technologies and would deliver the quality. Only at larger sites is the technology cost effective.

Cleveland STW technology is not required to meet phosphorus



Unconstrained options	Technically feasible?	Meets obligation?	-	Reason for discarding
Treatment process-based permitting: BioMag	Yes	Yes		Carried forward Ingleby Gre Rudby STWs
Ballasted secondary treatment processes incorporate a ballast into the mixed liquor of an activated sludge plant. The ballast binds to the floc in the activated sludge and improves the settlement rate and associated solids removal.				DISCARDED at secondary
For phosphorus removal ferric sulphate is dosed into the wastewater entering the aeration basin prior to ballast addition.				STW is too small (PE<30,00 coagulation in all cases cost to higher energy costs) than same benefit to the water qu
				DISCARDED at secondary s Hutton Rudby STWs do not complete rebuild and would natural capital measures are technology cost effective.
Treatment process-based permitting: Biological Nutrient Removal	Yes	Yes		Carried forward from primar
BNR consists of anaerobic/anoxic and aerobic chambers in succession within an activated sludge plant. The change in conditions manipulate the organisms to accumulate nutrients from waste waters. Following the anaerobic stage, the organisms are exposed to aerobic conditions, due to their stressed state, they overreact and accumulate more phosphorus than originally expelled in the anaerobic stage resulting in a net removal of phosphorus from the wastewater. In addition to BNR, sites will require ferric addition to guarantee phosphorus removal.				DISCARDED at secondary s STW is too small (PE<30,00 costs more to operate (due t technologies.
				DISCARDED at secondary s Hutton Rudby STW do not l complete rebuild and would natural capital measures are technology cost effective.
Change outfall location	Yes	Yes		Carried forward for Ingleby Rudby STWs
Move final effluent outfall so more relaxed permit is acceptable (discharge into less sensitive water course).				-
				DISCARDED at secondary s catchment as all water bodie
Centralise STWs	Yes	Yes		Carried forward Ingleby Gre Rudby STWs
Combine two or more STW into a new larger works to achieve efficiencies of scale.				DISCARDED at secondary
				Centralising the sites to a ne concrete, multiple pipeline re existing works or transfer flo storm treatment/network sto baseflow was removed from
				Centralising the sites will ha the new location plus operat tertiary assets at the existing tertiary treatment. Furthermo- will be significantly higher th
Transfer / Pump away	Yes	Yes		Carried forward from primar
Transfer flow (raw) from one or more smaller STW into an existing larger works with dry weather flow (DWF) headroom.				Great Ayton STW, Carlton in to Stokesley STW)
				DISCARDED at secondary s the nearest STW site.

# enhow, Great Broughton, Carlton in Cleveland and Hutton

screening based on the size of Ingleby Greenhow STW. The 00) for this technology to be cost effective. Ballasted ts more to construct (requires more assets) and operate (due o other tertiary treatment technologies and would deliver the uality. Only at larger sites is the technology cost effective.

screening at Carlton in Cleveland, Great Broughton and t have an existing ASP. Installing a Biomag would require a I not be cost effective. Benefits to water quality and other e the same as traditional. Only at larger sites with ASPs is the

y screening for Ingleby Greenhow STW as it has an ASP.

screening based on the size of Ingelby Greenhow STW. The 00) for this technology to be cost effective. BNR in all cases to higher energy costs) than other tertiary treatment

screening at Carlton in Cleveland, Great Broughton and have an existing ASP. Installing a BNR would require a I not be cost effective. Benefits to water quality and other e the same as traditional. Only at larger sites with ASPs is the

Greenhow, Great Broughton, Carlton in Cleveland and Hutton

screening effluent would require to be transferred out of the es in the catchment at poor/bad status. enhow, Great Broughton, Carlton in Cleveland and Hutton

#### screening

ew larger works will cost significantly more to construct (more routes) compared to construction of extra tertiary assets at the ows for one site. In addition, centralising the sites will require orage to protect the existing water course if the treated effluent in the water body adding cost and carbon.

ave a higher operating cost due to pumping multiple flows to tion of the new works compared to construction of extra g works. The benefits to water quality will be the same as extra lore, the release of embedded carbon from demolished assets than the embedded carbon of extra tertiary assets.

y screening for three STW (Ingleby Greenhow transfer to n Cleveland transfer to Stokesley STW and Great Broughton

screening for Hutton Rugby because it is more than 5km from



Unconstrained options	Technically feasible?	Meets obligation?	statutory	Reason for discarding
Replace/retrofit/expand existing primary/secondary treatment processes	No	No		DISCARDED for Ingleby Gree Rudby STWs.No existing pho
Use existing process types or more intensive processes where treatment is already in place, may include extra assets on site to achieve tighter permit limit.				requirements.
Integrated constructed wetland (ICW)	Yes	Yes		Carried forward for Ingleby G Rudby STW. Ingleby Greenho
Create ICW with multiple benefits as treatment solution (only applicable where less stringent permit limits or existing treatment solution that needs to be tighter).				dosing upstream of the wetlar
Catchment permitting for nutrients	Yes	Yes		Carried forward as part of cate
Flexible permit limits across all STWs discharging to a river. All STWs within a specified catchment are included in an innovative catchment permit which provides flexibility and offsetting and allows benefit from overperformance between sites (measured as kg load reduction at STWs).				
Trade effluent variation	No	No		DISCARDED for Ingleby Gree
Varying trade effluent permits at sites or removing trader high flow contributions.				Rudby STWs.No trade effluer
DWF headroom sacrifice at STW	No	No		DISCARDED for Ingleby Gree Rudby STWs. Not sufficient h
Accept a reduced DWF permit so that a more relaxed final effluent permit is imposed.				phosphorus permit of 0.25mg/
Catchment nutrient balancing	Yes	Yes		Carried forward
Catchment nutrient balancing i.e., targeting phosphorus load reductions from agriculture (working with farmers to reduce source pollution) and other non-water company sectors.				
Catchment habitat creation and/or enhancement	No	No		DISCARDED for Ingleby Gree Rudby STWs. – will not achie
Creation of new or enhancement of existing habitats such as woodland, wetlands, and vegetated riparian margins in the wider catchment aiming to reduce phosphorus loads to the watercourse via reduced runoff and sediment losses or increased filtration.				,
Operational solution	No	No		DISCARDED for Ingleby Gree Rudby STWs. The site does n
Optimisation of existing site assets to achieve new permit through operational activities.				be optimised to meet the phose

Greenhow, Great Broughton, Carlton in Cleveland and Hutton bhosphorus removal technology to expand to meet the permit

Greenhow, Great Ayton, Carlton in Cleveland and Hutton nhow, Great Ayton and Hutton Rudby STW would require ferric tland. Carlton in Cleveland STW only requires a wetland.

atchment solution

creenhow, Great Broughton, Carlton in Cleveland and Hutton uent at any of the sites

Freenhow, Great Broughton, Carlton in Cleveland and Hutton at headroom in the STW to allow DWF sacrifice to achieve a mg/l.

reenhow, Great Broughton, Carlton in Cleveland and Hutton hieve load reductions required

Greenhow, Great Broughton, Carlton in Cleveland and Hutton es not have existing phosphorus removal technology that could phosphorus permit.





# C.7 RIVER SKERNE (08NW100204)

#### TABLE 46: RIVER SKERNE UNCONSTRAINED OPTION SCREENING

Unconstrained options	Technically feasible?	Meets statutory obligation?	Reason for discarding
Treatment process-based permitting: Reedbed (constructed wetland)			
A reed bed system wastewater flows continuously through the support medium, made up of a gravel base planted with the common reed. The area around the reeds becomes populated with both aerobic and anaerobic bacteria. It is these bacteria that treats the incoming wastewater.	No	No	DISCARDED at Sadberge, Aycliffe a phosphorus permits.
Treatment process-based permitting: Electrocoagulation			
As an innovative process, electrocoagulation uses electrodes and electricity to dose a chemical for phosphorus removal using a sacrificial anode rather than chemical delivery and dosing a liquid chemical.	Unclear	Unclear	DISCARDED at Sadberge, Aycliffe a water industry to guarantee permit v
			Carried forward at Sadberge STW w
Treatment process-based permitting: Mecana Cloth filter	Yes	Yes	DISCARDED at Aycliffe STW at sec cost effective for larger sites.
ical separation process, where solids are captured on a series of cloth discs. Solids are removed by backwashing cloth discs.	103	103	DISCARDED at Windlestone STW a filter process, more cost effective to
Treatment process-based permitting: Ferric dosing			Carried forward at Sadberge STW v
Ferric sulphate solution dosed to precipitate phosphorus within the wastewater. Phosphorus removed as a sludge from the process.	Yes	Yes	DISCARDED at Aycliffe STW and W existing ferric dosing process, more new asset
			Carried forward at Aycliffe STW with
Treatment process-based permitting: Deep bed filter Physical separation process, where solids are captured within a volume of sand media. Solids are removed by backwashing the sand.	Yes	Yes	DISCARDED at secondary screenin cost effective for smaller sites.
back wash water is returned to the inlet works.			DISCARDED at Windlestone STW s process, more cost effective to expa
Treatment process-based permitting: CoMag			Carried forward for Aycliffe STW wit
Ballasted coagulation is a high-rate, physical-chemical clarification process involving the fixing of flocs, or suspended solids, onto ballast			DISCARDED at secondary screening
(sand) with the aid of a polymer.	Yes	Yes	(PE<30,000) for this technology to b costs more to construct (requires more
The resulting sludge, which contains the ballast, is collected for treatment where the sludge is -separated from the ballast. The residual solids are sent through a sludge processing system and the recovered ballast is recycled.			than other tertiary treatment technol quality. Only at larger sites is the tec

fe and Windlestone STW as technology will not achieve

fe and Windlestone STW – technology unproven within the it value can be achieved.

/ with 2-point ferric dosing. econdary screening as other filter technologies are more

V at secondary screening as site has existing Deep bed to expand existing process than to install new asset

with Mecana filter.

Windlestone STW at secondary screening as site has re cost effective to expand existing process than to install

ith 2-point ferric dosing.

ning for Sadberge as other filter technologies are more

V secondary screening as site has existing Deep bed filter pand existing process than to install new asset

with 2-point ferric dosing

DISCARDED at secondary screening at Sadberge and Windlestone. STWs are too small (PE<30,000) for this technology to be cost effective. Ballasted coagulation in all cases costs more to construct (requires more assets) and operate (due to higher energy costs) than other tertiary treatment technologies and would deliver the same benefit to the water quality. Only at larger sites is the technology cost effective.



Treatment process-based permitting: BioMag Ballasted secondary treatment processes incorporate a ballast into the mixed liquor of an activated sludge plant. The ballast binds to the floc in the activated sludge and improves the settlement rate and associated solids removal. For phosphorus removal ferric sulphate is dosed into the wastewater entering the aeration basin prior to ballast addition.	Yes	Yes	Carried forward at Sadberge, Ayclift DISCARDED at secondary screenir existing ASP. Installing Ballasted co more assets) and operate (due to h technologies and would deliver the the technology cost effective. DISCARDED from secondary scree supplier. Risk to compliance of phos be required.
Treatment process-based permitting: Biological Nutrient Removal			Carried forward for Aycliffe STW with
BNR consists of anaerobic/anoxic and aerobic chambers in succession within an activated sludge plant. The change in conditions manipulate the organisms to accumulate nutrients from waste waters. Following the anaerobic stage, the organisms are exposed to aerobic conditions, due to their stressed state, they overreact and accumulate more phosphorus than originally expelled in the anaerobic stage resulting in a net removal of phosphorus from the wastewater. In addition to BNR, sites will require ferric addition to guarantee phosphorus removal.	Yes	Yes	DISCARDED at secondary screenin existing ASP. Installing BNR would effective. BNR in all cases cost more higher energy costs) than other pact capital, they have more embedded of carbon due to high energy use aera measures are the same as other tra ASPs is the technology cost effective
Change outfall location			Carried forward for Sadberge, Ayclif
Move final effluent outfall so more relaxed permit is acceptable (discharge into less sensitive water course).	Yes	Yes	DISCARDED at secondary screenir flows. All water bodies within the loc discharge location would not remove
			Carried forward for Sadberge, Ayclin
			DISCARDED at secondary screening The cost of transferring the flow from
Centralise STWs Combine two or more STW into a new larger works to achieve efficiencies of scale.	Yes	Yes	<ul> <li>building one new larger STW is expeach of the STW</li> <li>Centralising the sites to a new large concrete, multiple pipeline routes) c addition, centralising the sites will reexisting water course if the treated e adding cost and carbon.</li> <li>Centralising the sites will have a hig location plus operation of the new w to water quality will be the same as embedded carbon from demolished carbon of other treatment options.</li> </ul>
Transfer / Pump away Transfer flow (raw) from one or more smaller STW into an existing larger works with dry weather flow (DWF) headroom.	No	No	DISCARDED for Sadberge, Aycliffe 10% capacity
Replace/retrofit/expand existing primary/secondary treatment processes			Carried forward for Windlestone ST
Use existing process types or more intensive processes where treatment is already in place, may include extra assets on site to achieve tighter permit limit.	Yes	Yes	assets Both of these sites have ferri point Windlestone STW has an existing te
Integrated constructed wetland (ICW)			Carried forward for Sadberge, Ayclif
Create ICW with multiple benefits as treatment solution (only applicable where less stringent permit limits or existing treatment solution that needs to be tighter).	Yes	Yes	DISCARDED through secondary sc lack of land availability

# cliffe and Windlestone STW

ning at Sadberge and Windlestone. STWs do not have an coagulation in all cases costs more to construct (requires higher energy costs) than other tertiary treatment he same benefit to the water quality. Only at larger sites is

eening at Aycliffe STW on advice of Biomag technology nosphorus permit. CoMag or other tertiary treatment would

## with 2-point ferric dosing.

ning at Sadberge and Windlestone STWs do not have an ild require a complete rebuild and would not be cost nore to construct (more concrete) and operate (due to backaged treatment technologies. In terms of natural ed carbon due to more concrete and more operational erators. Benefits to water quality and other natural capital traditional treatment technologies. Only at larger sites with ctive.

#### cliffe and Windlestone STW

ning No suitable larger water body within 5km to receive local area are at moderate status and moving the effluent ove a phosphorus permit limit. rcliffe and Windlestone STW

#### ning

rom Sadberge, Aycliffe and Windlestone STW and then expected to be more costly than other treatment options at

rger works will cost significantly more to construct (more ) compared to construction of other treatment options . In I require storm treatment/network storage to protect the d effluent baseflow was removed from the water body

higher operating cost due to pumping flows to the new v works compared to other treatment options. The benefits as other treatment options. Furthermore, the release of ed assets will be significantly higher than the embedded

ffe and Windlestone STW No other STW within 5km with <

STW and Aycliffe STW. Expand existing ferric dosing erric dosing which could be expanded for a second dose

tertiary Deep bed filter asset that can be expanded

# cliffe and Windlestone STW screening. Not feasible at any of the three STW due to



Catchment permitting for nutrients			Carried forward as part of catchmer
Flexible permit limits across all STWs discharging to a river. All STWs within a specified catchment are included in an innovative catchment permit which provides flexibility and offsetting and allows benefit from overperformance between sites (measured as kg load reduction at STWs).	Yes	Yes	
Trade effluent variation Varying trade effluent permits at sites or removing trader high flow contributions.	No	No	DISCARDED for Sadberge, Aycliffe effluent is Aycliffe STW and this is le phosphorus loading from the trade e water body status
DWF headroom sacrifice at STW Accept a reduced DWF permit so that a more relaxed final effluent permit is imposed.	No	No	DISCARDED for Sadberge, Aycliffe STW to allow DWF sacrifice to achie
Catchment nutrient balancing			Carried forward
Catchment nutrient balancing i.e., targeting phosphorus load reductions from agriculture (working with farmers to reduce source pollution) and other non-water company sectors.	Yes	Yes	
Catchment habitat creation and/or enhancement			
Creation of new or enhancement of existing habitats such as woodland, wetlands, and vegetated riparian margins in the wider catchment aiming to reduce phosphorus loads to the watercourse via reduced runoff and sediment losses or increased filtration.	No	No	DISCARDED for Sadberge, Aycliffe reductions required
Operational solution			
Optimisation of existing site assets to achieve new permit through operational activities.	No	No	DISCARDED Aycliffe and Windlesto but optimisation of the assets will fai required.
			DISCARDED Sadberge STW does could be optimised to meet the phose

# ent solution

ffe and Windlestone STW. The only site with trade s less than 1% of the flow. Removing the impact of the le effluent will not be sufficient to achieve the WFD good

ffe and Windlestone STW Not sufficient headroom in the chieve a phosphorus permit of 0.25mg/l.

ffe and Windlestone STW – will not achieve load

ston STW have existing phosphorus removal technology, fail to meet the new phosphorus limit. New assets are

es not have existing phosphorus removal technology that hosphorus permit.





# C.8 SOUTH LOW (08NW100188)

#### TABLE 47: SOUTH LOW UNCONSTRAINED OPTION SCREENING

Unconstrained options	Technically feasible?	Meets statutory obligation?	Reason for discarding
Treatment process-based permitting: Reedbed (constructed wetland)	No	No	DISCARDED for Haggerston Cast
A reed bed system wastewater flows continuously through the support medium, made up of a gravel base planted with the common reed. The area around the reeds becomes populated with both aerobic and anaerobic bacteria. It is these bacteria that treats the incoming wastewater.			achieve phosphorus permits.
Treatment process-based permitting: Electrocoagulation	Unclear	Unclear	DISCARDED for Haggerston Cast
As an innovative process, electrocoagulation uses electrodes and electricity to dose a chemical for phosphorus removal using a sacrificial anode rather than chemical delivery and dosing a liquid chemical.			within the water industry to guaran
Treatment process-based permitting: Mecana Cloth filter	Yes	Yes	Carried forward for Haggerston Ca
Physical separation process, where solids are captured on a series of cloth discs. Solids are removed by backwashing cloth discs.			
Treatment process-based permitting: Ferric dosing	Yes	Yes	Carried forward for Haggerston Ca
Ferric sulphate solution dosed to precipitate phosphorus within the wastewater. Phosphorus removed as a sludge from the process.			
Treatment process-based permitting: Deep bed filter	Yes	Yes	Carried forward for Haggerston Ca
Physical separation process, where solids are captured within a volume of sand media. Solids are removed by backwashing the sand Dirty back wash water is returned to the inlet works.	1.		DISCARDED at secondary screen other filter technologies are more of
Treatment process-based permitting: CoMag	Yes	Yes	Carried forward for Haggerston Ca
Ballasted coagulation is a high-rate, physical-chemical clarification process involving the fixing of flocs, or suspended solids, onto ballast (sand) with the aid of a polymer. The resulting sludge, which contains the ballast, is collected for treatment where the sludge is -separated from the ballast. The residual solids are sent through a sludge processing system and the recovered ballast is recycled.			DISCARDED at secondary screeni too small (PE<30,000) for this tech all cases costs more to construct (r energy costs) than other tertiary tre benefit to the water quality. Only at
Treatment process-based permitting: BioMag	Yes	Yes	Carried forward for Haggerston Ca
Ballasted secondary treatment processes incorporate a ballast into the mixed liquor of an activated sludge plant. The ballast binds to the floc in the activated sludge and improves the settlement rate and associated solids removal. For phosphorus removal ferric sulphate is dosed into the wastewater entering the aeration basin prior to ballast addition.			DISCARDED at secondary screeni Installing BNR would require a corr DISCARDED at secondary screeni (PE<30,000) for this technology to costs more to construct (requires n than other tertiary treatment techno water quality. Only at larger sites w
Treatment process-based permitting: Biological Nutrient Removal	Yes	Yes	Carried forward for Haggerston Ca
BNR consists of anaerobic/anoxic and aerobic chambers in succession within an activated sludge plant. The change in conditions manipulate the organisms to accumulate nutrients from waste waters. Following the anaerobic stage, the organisms are exposed to aerobic conditions, due to their stressed state, they overreact and accumulate more phosphorus than originally expelled in the anaerobic stage resulting in a net removal of phosphorus from the wastewater. In addition to BNR, sites will require ferric addition to guarantee phosphorus removal.			DISCARDED at secondary screeni Installing BNR would require a corr DISCARDED at secondary screeni (PE<30,000) for this technology to construct (more concrete) and ope treatment technologies. In terms o due to more concrete and more op Benefits to water quality and other traditional treatment technologies.
Change outfall location	Yes	Yes	Carried forward for Haggerston Ca

#### stle STW and Lowick STW as technology will not

stle STW and Lowick STW – technology unproven antee permit value can be achieved.

Castle STW and Lowick STW with ferric dosing

Castle STW and Lowick STW with Mecana filter

Castle STW and Lowick STW

ening for Haggerston Castle STW and Lowick STW as e cost effective for smaller sites.

Castle STW and Lowick STW

ening – Haggerston Castle STW and Lowick STW are echnology to be cost effective. Ballasted coagulation in t (requires more assets) and operate (due to higher treatment technologies and would deliver the same at larger sites is the technology cost effective

Castle STW and Lowick STW

ening at Lowick STW does not have an existing ASP. omplete rebuild and would not be cost effective. ening at Haggerston Castle STW is too small to be cost effective. Ballasted coagulation in all cases s more assets) and operate (due to higher energy costs) nologies and would deliver the same benefit to the s with ASPs is the technology cost effective

Castle STW and Lowick STW

ening at Lowick STW does not have an existing ASP. omplete rebuild and would not be cost effective. ening at Haggerston Castle STW is too small to be cost effective BNR in all cases cost more to perate (due to higher energy costs) than other packaged s of natural capital they have more embedded carbon operational carbon due to high energy use aerators. er natural capital measures are the same as other s. Only at larger sites with ASPs is the technology cost

Castle STW and Lowick STW

# **A3-24 WASTEWATER WINEP – PHOSPHORUS**

**Enhancement Case (NES13)** 



Unconstrained options	Technically feasible?	Meets statutory obligation?	y Reason for discarding
Move final effluent outfall so more relaxed permit is acceptable (discharge into less sensitive water course).			DISCARDED at secondary screen
			No suitable water body within 5 km
Centralise STWs Combine two or more STWs into a new larger works to achieve efficiencies of scale.	Yes	Yes	Carried forward for Haggerston Ca The cost of transferring the flow fro then building one new larger STW options at each of the STW
			Centralising the sites to a new larg (more concrete, multiple pipeline ro assets at the existing works. In ado treatment/network storage to prote baseflow was removed from the wa
			Centralising the sites will have a high location plus operation of the new v assets at the existing works. The b treatment options. Furthermore, the assets will be significantly higher th
Transfer / Pump away	No	No	DISCARDED for Haggerston Castl
Transfer flow (raw) from one or more smaller STWs into an existing larger works with dry weather flow (DWF) headroom.			with greater than 10% headroom c
Replace/retrofit/expand existing primary/secondary treatment processes	No	No	DISCARDED for Haggerston Castl removal technology to expand to m
Use existing process types or more intensive processes where treatment is already in place, may include extra assets on site to achieve tighter permit limit.			
Integrated constructed wetland (ICW)	Yes	Yes	Carried forward at Haggerston Cas Both sites would require ferric dosi
Create ICW with multiple benefits as treatment solution (only applicable where less stringent permit limits or existing treatment solution that needs to be tighter).			Doin sites would require terric dosi
Catchment permitting for nutrients	Yes	Yes	Carried forward as part of catchme
Flexible permit limits across all STWs discharging to a river. All STWs within a specified catchment are included in an innovative catchment permit which provides flexibility and offsetting and allows benefit from overperformance between sites (measured as kg load reduction at STWs).			
Trade effluent variation	No	No	DISCARDED for Haggerston Castl
Varying trade effluent permits at sites or removing trader high flow contributions.			STW and the trade effluent at Hage which operates during the summer
DWF headroom sacrifice at STW	No	No	DISCARDED for Haggerston Castl
Accept a reduced DWF permit so that a more relaxed final effluent permit is imposed.			the STW to allow DWF sacrifice to
Catchment nutrient balancing	Yes	Yes	Carried forward
Catchment nutrient balancing i.e., targeting phosphorus load reductions from agriculture (working with farmers to reduce source pollution) and other non-water company sectors.			
Catchment habitat creation and/or enhancement	No	No	DISCARDED for Haggerston Castl
Creation of new or enhancement of existing habitats such as woodland, wetlands, and vegetated riparian margins in the wider catchment aiming to reduce phosphorus loads to the watercourse via reduced runoff and sediment losses or increased filtration.			reductions required
Operational solution	No	No	DISCARDED for Haggerston Castl
Optimisation of existing site assets to achieve new permit through operational activities.			existing phosphorus removal techn phosphorus permit.

ening.

km to receive flows.

Castle STW and Lowick STW from Haggerston Castle STW and Lowick STW and W is expected to be more costly than other treatment

arger works will cost significantly more to construct e routes) compared to construction of extra tertiary addition, centralising the sites will require storm otect the existing water course if the treated effluent water body adding cost and carbon.

higher operating cost due to pumping flows to the new w works compared to construction of extra tertiary e benefits to water quality will be the same as other the release of embedded carbon from demolished r than the embedded carbon of extra tertiary assets.

stle STW and Lowick STW No other STW within 5km n capacity to receive flows

stle STW and Lowick STW No existing phosphorus preet the permit requirements.

Castle STW and Lowick STW. bosing upstream of the wetland.

ment solution

stle STW and Lowick STW No trade effluent at Lowick aggerston is seasonal and linked to a caravan park ner.

stle STW and Lowick STW Not sufficient headroom in to achieve a phosphorus permit of 0.25mg/l.

stle STW and Lowick STW – will not achieve load

stle STW and Lowick STW. Neither site have any chnology which could be optimised to achieve the



## **C.9 RIVER TEAM (08NW100197)**

TABLE 48: UNCONSTRAINED OPTION SCREENING FOR RIVER TEAM – PHOSPHORUS REMOVAL

Unconstrained options	Technically feasible?	Meets statutory obligation?	Reason for discarding
Treatment process-based permitting: Reedbed (constructed wetland) A reed bed system wastewater flows continuously through the support medium, made up of a gravel base planted with the common reed.	No	No	DISCARDED for East Tar Tanfield and Birtley STW.
The area around the reeds becomes populated with both aerobic and anaerobic bacteria. It is these bacteria that treats the incoming wastewater.			
Treatment process-based permitting: Electrocoagulation	Unclear	Unclear	DISCARDED – technology permit value can be achier
As an innovative process, electrocoagulation uses electrodes and electricity to dose a chemical for phosphorus removal using a sacrificial anode rather than chemical delivery and dosing a liquid chemical.	l		
Treatment process-based permitting: Mecana Cloth filter	Yes	Yes	Carried forward for East T
Physical separation process, where solids are captured on a series of cloth discs. Solids are removed by backwashing cloth discs.			DISCARDED at secondar a Deep Bed Filter, more co with new.
			DISCARDED at secondar reedbed (jointly owned an blended with mine water of permit. A cloth filter is not for OTA (Operating Techn install new assets.
Treatment process-based permitting: Ferric dosing	Yes	Yes	Carried forward at East Ta
Ferric sulphate solution dosed to precipitate phosphorus within the wastewater. Phosphorus removed as a sludge from the process.			DISCARDED at secondar reedbed (jointly owned an blended with mine water d permit. Ferric dosing is no for OTA (Operating Techn install new assets.
			DISCARDED at secondar ferric dosing, more cost ef new.
Treatment process-based permitting: Deep bed filter	Yes	Yes	Carried forward at East T
Physical separation process, where solids are captured within a volume of sand media. Solids are removed by backwashing the sand. Dirty back wash water is returned to the inlet works.			DISCARDED at secondar reedbed (jointly owned an blended with mine water d permit. A deep bed filter is (Operating Technique Allo new assets.
			DISCARDED at secondar a Deep Bed Filter, more c with new.

Fanfield STW. Technology will not achieve permit at East W.

ogy unproven within the water industry to guarantee hieved.

t Tanfield and Birtley STW

dary screening for East Tanfield STW as site already has e cost effective to extend existing treatment than replace

dary screening for Birtley STW, effluent passes through a and operated with the coal authority). The effluent is er downstream of the reedbed and is close to meeting the not required to meet permit. More cost effective to apply chnique Allowance) with East Tanfield performance than

# Tanfield and Birtley STW

dary screening for Birtley STW, effluent passes through a and operated with the coal authority). The effluent is er downstream of the reedbed and is close to meeting the not required to meet permit. More cost effective to apply chnique Allowance) with East Tanfield performance than

dary screening for East Tanfield STW as site already has t effective to extend existing treatment than replace with

# Tanfield and Birtley STW

dary screening for Birtley STW, effluent passes through a and operated with the coal authority). The effluent is er downstream of the reedbed and is close to meeting the r is not required. More cost effective to apply for OTA Allowance) with East Tanfield performance than install

dary screening for East Tanfield STW as site already has e cost effective to extend existing treatment than replace



Treatment process-based permitting: CoMag	Yes	Yes	Carried forward at East Ta
Ballasted coagulation is a tertiary treatment system and is an alternative to tertiary filtration processes. Ballasted coagulation is a high- rate, physical-chemical clarification process involving the fixing of flocs, or suspended solids, onto ballast (sand) with the aid of a polymer. The resulting sludge, which contains the ballast, is collected for treatment where the sludge is -separated from the ballast. The residual solids are sent through a sludge processing system and the recovered ballast is recycled.			DISCARDED at secondary reedbed (jointly owned and blended with mine water do permit. CoMag tertiary trea OTA (Operating Technique install new assets. DISCARDED. at secondary a Deep Bed Filter, more co
			new tertiary treatment.
Treatment process-based permitting: BioMag	Yes	Yes	Carried forward at East Ta
Ballasted secondary treatment processes incorporate a ballast into the mixed liquor of an activated sludge plant. The ballast binds to the floc in the activated sludge and improves the settlement rate and associated solids removal. For phosphorus removal ferric sulphate is dosed into the wastewater entering the aeration basin prior to ballast addition.			DISCARDED Birtley STW would require a complete r coagulation in all cases cos operate (due to higher ene and would deliver the same technology cost effective. E effective to apply for OTA ( performance than install ne
			DISC <b>ARDED</b> . at secondar a Deep Bed Filter, more co new tertiary treatment.
Treatment process-based permitting: Biological Nutrient Removal	Yes	Yes	Carried forward at East Ta
BNR consists of anaerobic/anoxic and aerobic chambers in succession within an activated sludge plant. The change in conditions manipulate the organisms to accumulate nutrients from waste waters. Following the anaerobic stage, the organisms are exposed to aerobic conditions, due to their stressed state, they overreact and accumulate more phosphorus than originally expelled in the anaerobic stage resulting in a net removal of phosphorus from the wastewater. In addition to BNR, sites will require ferric addition to guarantee phosphorus removal.			DISCARDED Birtley and E Installing a BNR would req effective. BNR in all cases (due to higher energy costs terms of natural capital the and more operational carbo quality and other natural ca treatment technologies. Or
			More cost effective to apply Tanfield performance than
Change outfall location	Yes	Yes	Carried forward at East Ta Wear.
Move final effluent outfall so more relaxed permit is acceptable (discharge into less sensitive water course).			DISCARDED at secondary waterbody within 5km of th
Centralise STWs	Yes	Yes	Carried forward at East Ta
Combine two or more STW into a new larger works to achieve efficiencies of scale.			The cost of transferring the building one new larger ST dosing and Deep bed filter
			Centralising the sites to a r construct (more concrete, r extra tertiary assets at the require storm treatment/ne

# Tanfield and Birtley STW

ary screening for Birtley STW, effluent passes through a and operated with the coal authority). The effluent is r downstream of the reedbed and is close to meeting the reatment is not required. More cost effective to apply for que Allowance) with East Tanfield performance than

ary screening for East Tanfield STW as site already has cost effective to extend existing treatment than construct

#### Tanfield and Birtley STW

W does not have an existing ASP. Installing BioMag re rebuild and would not be cost effective. Ballasted costs more to construct (requires more assets) and energy costs) than other tertiary treatment technologies ame benefit to the water quality. Only at larger sites is the e. Biomag tertiary treatment is not required. More cost A (Operating Technique Allowance) with East Tanfield new assets.

lary screening for East Tanfield STW as site already has cost effective to extend existing treatment than construct

# Tanfield and Birtley STW

d East Tanfield STW do not have an existing ASP. require a complete rebuild and would not be cost es cost more to construct (more concrete) and operate osts) than other packaged treatment technologies. In they have more embedded carbon due to more concrete arbon due to high energy use aerators. Benefits to water I capital measures are the same as other traditional Only at larger sites is the technology cost effective.

oply for OTA (Operating Technique Allowance) with East an install new assets.

Tanfield and Birtley STW. Birtley STW transfer to the

ary screening for East Tanfield STW because no larger the site.

#### Tanfield and Birtley STW

the flow from East Tanfield and Birtley STW and then STW is expected to be more costly than extra ferric ter at East Tanfield

a new larger works will cost significantly more to e, multiple pipeline routes) compared to construction of ne existing works. In addition, centralising the sites will network storage to protect the existing water course if



			the treated effluent baseflo carbon.
			Centralising the sites will h the new location plus oper extra tertiary assets at the same as extra tertiary trea from demolished assets wi extra tertiary assets.
Transfer / Pump away	Yes	Yes	Carried forward at East Ta
Transfer flow (raw) from one or more smaller STW into an existing larger works with dry weather flow (DWF) headroom.			DISCARDED at secondary no other STW with 5km wi
Replace/retrofit/expand existing primary/secondary treatment processes	Yes	Yes	Carried forward for East Ta of second ferric dose point
Use existing process types or more intensive processes where treatment is already in place, may include extra assets on site to achieve tighter permit limit.			DISCARDED at secondary removal technology to exp
Integrated constructed wetland (ICW)	No	Yes	DISCARDED at East Tanf
Create ICW with multiple benefits as treatment solution (only applicable where less stringent permit limits or existing treatment solution that needs to be tighter).			Insufficient land available a
Catchment permitting for nutrients Flexible permit limits across all STWs discharging to a river. All STWs within a specified catchment are included in an innovative catchment permit which provides flexibility and offsetting and allows benefit from overperformance between sites (measured as kg load reduction at STWs). Operating Technique Agreement is the mechanism for catchment permitting	Yes	Yes	Carried forward for East Ta Agreement (OTA) to be us and Birtley STW.
Varying trade effluent permits at sites or removing trader high flow contributions.	No	No	DISCARDED for East Tan the flow is <10% of the ove loading from the trade efflu water body status.
DWF headroom sacrifice at STW	Yes	Yes	Carried forward at East Ta
Accept a reduced DWF permit so that a more relaxed final effluent permit is imposed.			DISCARDED Not sufficien achieve a phosphorus per
Catchment nutrient balancing	No	No	DISCARDED at East Tanf reductions required relative
Catchment nutrient balancing i.e., targeting P load reductions from agriculture (working with farmers to reduce source pollution) and othe non-water company sectors.	er		Load reduction not consider estimated agricultural cont pollution.
Catchment habitat creation and/or enhancement	No	No	DISCARDED at East Tanf
Creation of new or enhancement of existing habitats such as woodland, wetlands, and vegetated riparian margins in the wider catchmer aiming to reduce phosphorus loads to the watercourse via reduced runoff and sediment losses or increased filtration.	nt		required
Operational solution	No	No	DISCARDED for Birtley ST removal technology that co
Optimisation of existing site assets to achieve new permit through operational activities			effluent is blended with min meeting the permit.
			DISCARDED for East Tan assets are not sufficient to

### flow was removed from the water body adding cost and

Il have a higher operating cost due to pumping flows to beration of the new works compared to construction of the existing works. The benefits to water quality will be the eatment. Furthermore, the release of embedded carbon will be significantly higher than the embedded carbon of

Tanfield and Birtley STW

ary screening for East Tanfield and Birtley STW because with greater than 10% headroom capacity Tanfield STW to Expand tertiary processes with addition int and extra deep bed filter.

ary screening for Birtley STW, no existing phosphorus xpand to meet the permit requirements.

nfield and Birtley STW

e at both sites for wetland.

Tanfield and Birtley STW. Operating Technique used for catchment permitting between East Tanfield

anfield and Birtley STW. The trade effluent proportion of overall flow. Removing the impact of the phosphorus ffluent will not be sufficient to achieve the WFD good

Tanfield and Birtley STW

ient headroom in the STW to allow DWF sacrifice to permit of 0.25mg/l.

Infield and Birtley STW – High phosphorus load tive to the size of the catchment, high STW contribution, idered feasible via catchment measures due to low pontribution and no RNAGS for agricultural diffuse

anfield and Birtley STW – will not meet load reductions

STW. The site does not have existing phosphorus could be optimised to meet the phosphorus permit. The mine water downstream of the reedbed and is close to

anfield - Optimising of existing phosphorus removal to achieve phosphorus permit of 0.25mg/l.



#### TABLE 49: RIVER TEAM UNCONSTRAINED OPTION SCREENING – AMMONIA REMOVAL

Unconstrained options	Technically feasible?	Meets statutory obligation?	/ Reason for discarding
Treatment process-based permitting: Nitrifying Submerged Aerated Filter	Yes	Yes	Carried forward at Birtle
Biological process where biomass grows on submerged media. The biomass consumes the nutrients within the wastewater. Air, provided by mechanical blowers is required to sustain the biomass.			DISCARDED at second permit, and no further in
Treatment process-based permitting: Nitrifying Trickling Filter	Yes	Yes	Carried forward at Birtle
Biological process where biomass grows on plastic media. Wastewater is distributed over the media and trickles down through the filter. The biomass consumes the nutrients within the wastewater Air naturally flows upwards through vents.			DISCARDED at second permit, and no further in
Treatment process-based permitting: Activated Sludge Plant	Yes	Yes	Carried forward at Birtle
Biological process where biomass grows within an aeration tank at a controlled concentration. Air, provided by mechanical blowers is required to sustain the biomass. Return activated sludge is recycled to maintain the biomass. Surplus activated sludge is removed from the process to control the concentration.			DISCARDED at second permit, and no further in
Change outfall location	Yes	Yes	Carried forward for Birt
Move final effluent outfall so more relaxed permit is acceptable (discharge into less sensitive water course).			DISCARDED at second permit, and no further in
Centralise STWs	Yes	Yes	Carried forward for Eas
Combine two or more STW into a new larger works to achieve efficiencies of scale.			DISCARDED at second works will cost significa routes) compared to co addition, centralising th protect the existing wat from the water body ad Centralising the sites w the new location plus of
			extra tertiary assets at the same as extra tertia carbon from demolishe carbon of extra tertiary
Transfer / Pump away	Yes	Yes	Carried forward for Eas
Transfer flow (raw) from one or more smaller STW into an existing larger works with dry weather flow (DWF) headroom.			DISCARDED for secon because no other STW
Replace/retrofit secondary treatment processes Use existing process types or more intensive processes where treatment is already in place, may include extra assets on site to achieve tighter permit limit.	Yes	Yes	Carried forward for Birth Refurbishment/retrofit c improve ammonia remo
			DISCARDED at second permit, and no further in
Integrated constructed wetland (ICW)	No	No	DISCARDED at East T
Create ICW with multiple benefits as treatment solution (only applicable where less stringent permit limits or existing treatment solution that needs to be tighter).			Insufficient land availab

## ng

# rtley STW

ndary screening at East Tanfield STW. Site currently meets r investment is required.

#### tley STW

ndary screening at East Tanfield STW. Site currently meets r investment is required.

#### tley STW

ndary screening at East Tanfield STW. Site currently meets investment is required.

irtley STW to transfer to Wear

ndary screening at East Tanfield STW. Site currently meets investment is required.

#### ast Tanfield and Birtley STW

ondary screening. Centralising the sites to a new larger icantly more to construct (more concrete, multiple pipeline construction of extra tertiary assets at the existing works. In the sites will require storm treatment/network storage to vater course if the treated effluent baseflow was removed adding cost and carbon.

s will have a higher operating cost due to pumping flows to s operation of the new works compared to construction of at the existing works. The benefits to water quality will be rtiary treatment. Furthermore, the release of embedded hed assets will be significantly higher than the embedded iry assets.

# ast Tanfield and Birtley STW

condary screening for East Tanfield and Birtley STW W with 5km with greater than 10% headroom capacity

irtley STW

t of the existing secondary treatment stone media filters to noval.

ndary screening at East Tanfield STW. Site currently meets investment is required.

# Tanfield and Birtley STW

able at both sites for wetland.



Unconstrained options	Technically feasible?	Meets statutory obligation?	Reason for discarding
Catchment permitting for nutrients	Yes	Yes	Carried forward. Feasibl Operating Technique Ag
Flexible permit limits across all STWs discharging to a river. All STWs within a specified catchment are included in an innovative catchment permit which provides flexibility and offsetting and allows benefit from overperformance between sites (measured as kg load reduction at STWs).			
Trade effluent variation	No	No	DISCARDED for East Ta the flow is <10% of the o
Varying trade effluent permits at sites or removing trader high flow contributions.			
DWF headroom sacrifice at STW	Yes	Yes	Carried forward at East
Accept a reduced DWF permit so that a more relaxed final effluent permit is imposed.			DISCARDED Not suffici
			achieve an ammonia pe
Catchment nutrient balancing	No	No	DISCARDED Agricultura on ammonia loads to wa
Catchment nutrient balancing i.e., targeting ammonia load reductions from agriculture (working with farmers to reduce source pollution) and other non-water company sectors.	r		are predominantly via vo
Catchment habitat creation and/or enhancement	No	No	DISCARDED – Catchmo
Creation of new or enhancement of existing habitats such as woodland, wetlands, and vegetated riparian margins in the wider catchment aiming to reduce			ammonia loads to the w catchment measures du
ammonia loads to the watercourse via reduced runoff and sediment losses or increased filtration.			RNAGS for agricultural
Operational solution	No	No	Carried forward- for Eas
Optimisation of existing site assets to achieve new permit through operational activities.			ammonia.
			DISCARDED for Birtley permit value of 1mg/l

#### ng

sible between East Tanfield and Birtley STWs using an Agreement for the catchment permitting.

t Tanfield and Birtley STW. The trade effluent proportion of the overall flow.

st Tanfield and Birtley STW

ficient headroom in the STW to allow DWF sacrifice to permit of 1mg/l.

tural practice changes would not have significant impacts water bodies locally (ammonia emissions from agriculture a volatilisation i.e., in gaseous form).

ment habitat creation/enhancement would not reduce water body Load reduction not considered feasible via due to low estimated agricultural contribution and no al diffuse pollution.

ast Tanfield. STW already achieves permit of 1mg/l

ey STW. Extra investment will be required to achieve the



# **C.10 EMBLETON BURN (08NW100190):**

#### TABLE 50: EMBLETON BURN UNCONSTRAINED OPTION SCREENING

Unconstrained options	Technically feasible?	Meets statutory obligation?	Reason for discarding
Treatment process-based permitting: Reedbed (constructed wetland)	No	No	DISCARDED for Embleto permit.
A reed bed system wastewater flows continuously through the support medium, made up of a gravel base planted with the common reed. The area around the reeds becomes populated with both aerobic and anaerobic bacteria. It is these bacteria that treats the incoming wastewater.			
Treatment process-based permitting: Electrocoagulation	Unclear	Unclear	DISCARDED – technolog permit value can be achie
As an innovative process, electrocoagulation uses electrodes and electricity to dose a chemical for phosphorus removal using a sacrificial anode rather that chemical delivery and dosing a liquid chemical.	1		
Treatment process-based permitting: Mecana Cloth filter	Yes	Yes	Carried forward for Emble
Physical separation process, where solids are captured on a series of cloth discs. Solids are removed by backwashing cloth discs.			DISCARDED from second phosphorus permit. Furth
Treatment process-based permitting: Ferric dosing	Yes	Yes	Carried forward for Emble
Ferric sulphate solution dosed to precipitate phosphorus within the wastewater. Phosphorus removed as a sludge from the process.			
Treatment process-based permitting: Deep bed filter	Yes	Yes	Carried forward for Emble
Physical separation process, where solids are captured within a volume of sand media. Solids are removed by backwashing the sand. Dirty back wash water is returned to the inlet works.			DISCARDED from secon phosphorus permit. Furth
Treatment process-based permitting: CoMag	Yes	Yes	Carried forward for Emble
Ballasted coagulation is a tertiary treatment system and is an alternative to tertiary filtration processes. Ballasted coagulation is a high-rate, physical-chemical clarification process involving the fixing of flocs, or suspended solids, onto ballast (sand) with the aid of a polymer.			DISCARDED at secondar for this technology to be of more to construct (require
The resulting sludge, which contains the ballast, is collected for treatment where the sludge is -separated from the ballast. The residual solids are sent through a sludge processing system and the recovered ballast is recycled.			costs) than other tertiary t benefit to the water qualit
Treatment process-based permitting: BioMag	Yes	Yes	Carried forward for Emble
Ballasted secondary treatment processes incorporate a ballast into the mixed liquor of an activated sludge plant. The ballast binds to the floc in the activated sludge and improves the settlement rate and associated solids removal. For phosphorus removal ferric sulphate is dosed into the wastewater entering the aeration basin prior to ballast addition.			DISCARDED at secondar existing ASP. Installing Bi be cost effective. Ballaster (requires more assets) and tertiary treatment technologi quality. Only at larger site
Treatment process-based permitting: Biological Nutrient Removal	Yes	Yes	Carried forward for Emble
BNR consists of anaerobic/anoxic and aerobic chambers in succession within an activated sludge plant. The change in conditions manipulate the organisms to accumulate nutrients from waste waters. Following the anaerobic stage, the organisms are exposed to aerobic conditions, due to their stressed state, they overreact and accumulate more phosphorus than originally expelled in the anaerobic stage resulting in a net removal of phosphorus from the wastewater. In addition to BNR, sites will require ferric addition to guarantee phosphorus removal.			DISCARDED at secondar existing ASP. Installing a be cost effective. BNR in operate (due to higher en technologies. In terms of to more concrete and more Benefits to water quality a other traditional treatment technology cost effective.

# eton STW as technology will not achieve phosphorus

ogy unproven within the water industry to guarantee hieved.

# bleton STW

ondary screening technology not required to meet thermore, AMP 7 investment for Deep bed filter bleton STW

#### bleton STW

ondary screening technology not required to meet thermore, AMP 7 investment for Deep bed filter

#### bleton STW

dary screening –Embleton STW is too small (PE<30,000) e cost effective. Ballasted coagulation in all cases costs iires more assets) and operate (due to higher energy y treatment technologies and would deliver the same ality. Only at larger sites is the technology cost effective.

#### bleton STW

dary screening – Embleton STW does not have an BioMag would require a complete rebuild and would not sted coagulation in all cases costs more to construct and operate (due to higher energy costs) than other ologies and would deliver the same benefit to the water ites with ASPs is the technology cost effective.

#### bleton STW

dary screening – Embleton STW does not have an a BNR would require a complete rebuild and would not in all cases cost more to construct (more concrete) and energy costs) than other packaged treatment of natural capital they have more embedded carbon due nore operational carbon due to high energy use aerators. y and other natural capital measures are the same as ent technologies. Only at larger sites with ASPs is the re.



Unconstrained options	Technically feasible?	Meets statur	tory Reason for discarding
Change outfall location	Yes	Yes	Carried forward for Emble
Move final effluent outfall so more relaxed permit is acceptable (discharge into less sensitive water course).			Discarded at secondary so
Centralise STWs Combine two or more STW into a new larger works to achieve efficiencies of scale.	Yes	Yes	Carried forward for Emble DISCARDED at secondar Rennington and Dunstan a new larger works will co multiple pipeline routes) or centralising the sites will re existing water course if the body adding cost and carb
			Centralising the sites will h the new location plus oper extra tertiary assets at the same as extra tertiary trea from demolished assets w extra tertiary assets.
Transfer / Pump away	Yes	Yes	Carried forward for Emble
Transfer flow (raw) from one or more smaller STW into an existing larger works with dry weather flow (DWF) headroom.			DISCARDED at secondary within 5km with greater the
Replace/retrofit/expand existing primary/secondary treatment processes	No	No	DISCARDED No existing
Use existing process types or more intensive processes where treatment is already in place, may include extra assets on site to achieve tighter permit limit	t.		permit requirements.
Integrated constructed wetland (ICW)	Yes	Yes	Carried forward for Emble
Create ICW with multiple benefits as treatment solution (only applicable where less stringent permit limits or existing treatment solution that needs to be tighter).			
Catchment permitting for nutrients	No	No	DISCARDED –Only one S catchment permit to balan
Flexible permit limits across all STWs discharging to a river. All STWs within a specified catchment are included in an innovative catchment permit which provides flexibility and offsetting and allows benefit from overperformance between sites (measured as kg load reduction at STWs).			
Trade effluent variation	No	No	DISCARDED No trade effl
Varying trade effluent permits at sites or removing trader high flow contributions.			
DWF headroom sacrifice at STWs	No	No	DISCARDED Not sufficien
Accept a reduced DWF permit so that a more relaxed final effluent permit is imposed.			achieve a phosphorus per
Catchment nutrient balancing	Yes	Yes	Carried forward for Emble
Catchment nutrient balancing i.e., targeting phosphorus load reductions from agriculture (working with farmers to reduce source pollution) and other non- water company sectors.			
Catchment habitat creation and/or enhancement	No	No	DISCARDED – will not acl

leton STW

screening no suitable larger water body within 5 km.

#### leton STW

dary screening for Embleton STW. There is Embleton, an STW within 5km of each other. Centralising the sites to cost significantly more to construct (more concrete, ) compared to single point ferric dosing. In addition, Il require storm treatment/network storage to protect the the treated effluent baseflow was removed from the water arbon.

ill have a higher operating cost due to pumping flows to peration of the new works compared to construction of the existing works. The benefits to water quality will be the reatment. Furthermore, the release of embedded carbon s will be significantly higher than the embedded carbon of

leton STW

dary screening for Embleton STW because no other STW than 10% headroom capacity

ng phosphorus removal technology to expand to meet the

leton STW

e STW impacts the waterbody. No opportunity for ance permits across several treatment plants.

effluent at Embleton STW

ient headroom in the STW to allow DWF sacrifice to permit of 0.25mg/l.

leton STW

achieve load reductions required

# A3-24 WASTEWATER WINEP – PHOSPHORUS Enhancement Case (NES13)



Unconstrained options	Technically feasible?	Meets statuto obligation?	ory Reason for discarding
Operational solution	No	No	DISCARDED Embleton ST technology that could be o
Optimisation of existing site assets to achieve new permit through operational activities.			technology that could be o

n STW does not have existing phosphorus removal be optimised to meet the phosphorus permit.





# C.11 RIVER WEAR - 14 STWS (08NW100198):

#### TABLE 51: WEAR CATCHMENT UNCONSTRAINED OPTION SCREENING - PHOSPHORUS REMOVAL

Unconstrained options	Technically feasible?	Meets statutory obligation?	Reason for discarding
Treatment process-based permitting: Reedbed (constructed wetland) A reed bed system wastewater flows continuously through the support medium, made up of a gravel base planted with the common reed. The area around the reeds becomes populated with both aerobic and anaerobic bacteria. It is these bacteria that treat the incoming wastewater.	No	No	DISCARDED for Barkers Haugh, Bile Street, Edmonsley, Low Wadswo Willington STW as technology will r DISCARDED for Hamsterley STW t
Treatment process-based permitting: Electrocoagulation	No	No	DISCARDED – technology unprove
As an innovative process, electrocoagulation uses electrodes and electricity to dose a chemical for phosphorus removal using a sacrificial anode rather than chemical delivery and dosing a liquid chemical.			achieved.
Treatment process-based permitting: Mecana Cloth filter	Yes	Yes	Carried forward for Belmont, Brassi Tow Law, Tudhow Mill, University a
Physical separation process, where solids are captured on a series of cloth discs. Solids are removed by backwashing cloth discs.			DISCARDED for Hamsterley STW t
			DISCARDED for Bishop Auckland, other filter technologies are more co
Treatment process-based permitting: Ferric dosing Ferric sulphate solution dosed to precipitate phosphorus within the wastewater. Phosphorus removed as a sludge from the process.	Yes	Yes	Carried forward at Barkers Haugh, Chester le Street, Edmonsley, Ham University and Willington STW with
Treatment process-based permitting: Deep bed filter	Yes	Yes	Carried forward for Barkers Haugh, ferric dosing.
Physical separation process, where solids are captured within a volume of sand media. Solids are removed by backwashing the sand. Dirty back wash water is returned to the inlet works.			DISCARDED for Hamsterley STW t
			DISCARDED for Tow Law, Low Wa Brasside, Edmonsley and Chester I are more cost effective for smaller s
Treatment process-based permitting: CoMag	Yes	Yes	Carried forward for Bishop Auckland ferric dosing
Ballasted coagulation is a high-rate, physical-chemical clarification process involving the fixing of flocs, or suspended solids, onto ballast (sand) with the aid of a polymer.			DISCARDED for Tow Law, Low Wa Brasside, Edmonsley and Chester I be cost effective. Ballasted coagula and operate (due to higher energy of deliver the same benefit to the wate
The resulting sludge, which contains the ballast, is collected for treatment where the sludge is -separated from the ballast. The residual solids are sent through a sludge processing system and the recovered ballast is recycled.			DISCARDED for Hamsterley STW

, Belmont, Bishop Auckland (Vinovium), Brasside, Cassop, Chester worth, Sedgeletch, Tow Law, Tudhow Mill, University and ill not achieve phosphorus permits.

*N* technology is not required to meet phosphorus limit.

oven within the water industry to guarantee permit value can be

sside, Cassop, Chester le Street, Edmonsley, Low Wadsworth, y and Willington STW with 2 point ferric dosing.

*N* technology is not required to meet phosphorus limit.

d, Barkers Haugh and Sedgeletch STW at secondary screening as cost effective for larger sites.

h, Belmont, Bishop Auckland (Vinovium), Brasside, Cassop, amsterley, Low Wadsworth, Sedgeletch, Tow Law, Tudhow Mill, ith Tertiary Solid Removal.

gh, Bishop Auckland (Vinovium), and Sedgeletch, STW with 2 point

*N* technology is not required to meet phosphorus limit.

Wadsworth, Willington, Tudhoe Mill, University, Cassop, Belmont, er le Street STW at secondary screening as other filter technologies er sites.

and (Vinovium), Sedgeletch and Barkers Haugh STW with 2 point

Nadsworth, Willington, Tudhoe Mill, University, Cassop, Belmont, er le Street STWs are too small (PE<30,000) for this technology to ulation in all cases costs more to construct (requires more assets) y costs) than other tertiary treatment technologies and would ater quality. Only at larger sites is the technology cost effective

N technology is not required to meet phosphorus limit.
# **A3-24 WASTEWATER WINEP – PHOSPHORUS**

**Enhancement Case (NES13)** 



Treatment process-based permitting: BioMag	Yes	Yes	Carried forward for Sedgeletch STV
Ballasted secondary treatment processes incorporate a ballast into the mixed liquor of an activated sludge plant. The ballast binds to the floc in the activated sludge and improves the settlement rate and associated solids removal.			DISCARDED for Tow Law, Hamster Barkers Haugh, Tudhoe Mill, Univer Street STWs do not have an existin
For phosphorus removal ferric sulphate is dosed into the wastewater entering the aeration basin prior to ballast addition.			construct (requires more assets) and treatment technologies and would d is the technology cost effective.
Treatment process-based permitting: Biological Nutrient Removal	Yes	Yes	Carried forward for Sedgeletch STW
BNR consists of anaerobic/anoxic and aerobic chambers in succession within an activated sludge plant. The change in conditions manipulate the organisms to accumulate nutrients from waste waters. Following the anaerobic stage, the organisms are exposed to aerobic conditions, due to their stressed state, they overreact and accumulate more phosphorus than originally expelled in the anaerobic stage resulting in a net removal of phosphorus from the wastewater. In addition to BNR, sites will require ferric addition to guarantee phosphorus removal.			DISCARDED for Tow Law, Hamster Barkers Haugh, Tudhoe Mill, Univer Street STWs do not have an existing would not be cost effective. BNR in (due to higher energy costs) than ot capital, they have more embedded of to high energy use aerators. Benefi same as other traditional treatment to effective.
Change outfall location	Yes	Yes	Carried forward for Tow Law (Chang Cassop STW (Change to Horden ca
Move final effluent outfall so more relaxed permit is acceptable (discharge into less sensitive water course).			DISCARDED for Hamsterley, Low V University, Barkers Haugh, Belmont waterbody within 5km to receive flow
Centralise STWs	Yes	No	DISCARDED at secondary screenin
Combine two or more STW into a new larger works to achieve efficiencies of scale.			Feasibility cannot be determined at
Transfer / Pump away	Yes	Yes	Carried forward for University STW
Transfer flow (raw) from one or more smaller STW into an existing larger works with dry weather flow (DWF) headroom.			Bowburn STW), Hamsterley (transfe Hustledown STW)
			DISCARDED at Sedgeletch, Tow La Barkers Haugh, Tudhoe Mill, Belmo 5km with greater than 10% headroo
Replace/retrofit/expand existing primary/secondary treatment processes	Yes	Yes	Carried forward to expand ferric dos Barkers Haugh STW, Sedgeletch S
Use existing process types or more intensive processes where treatment is already in place, may include extra assets on site to achieve tighter permit limit.			will have ferric and caustic retrofitted DISCARDED for University, Cassop STWs. No existing phosphorus remo
Integrated constructed wetland (ICW)	Yes	Yes	Carried forward for Edmondsley ST
Create ICW with multiple benefits as treatment solution (only applicable where less stringent permit limits or existing treatment solution that needs to be tighter).			DISCARDED for Barkers Haugh, Be le Street, Sedgeletch, Tudhow Mill, load reductions required
Catchment permitting for nutrients	Yes	Yes	Carried forward as part of catchmen
Flexible permit limits across all STWs discharging to a river. All STWs within a specified catchment are included in an innovative catchment permit which provides flexibility and offsetting and allows benefit from overperformance between sites (measured as kg load reduction at STW)	I		
Trade effluent variation	No	No	DISCARDED as removing the impar sufficient to achieve the WFD good
Varying trade effluent permits at sites or removing trader high flow contributions.			Sumbient to domeve the VVI D good

TW with 2 point ferric dosing.

terley, Low Wadsworth, Bishop Auckland (Vinovium), Willington, rersity, Cassop, Belmont, Brasside, Edmonsley and Chester le ing ASP. Ballasted coagulation in all cases costs more to and operate (due to higher energy costs) than other tertiary I deliver the same benefit to the water quality. Only at larger sites

W with 2 point ferric dosing.

terley, Low Wadsworth, Bishop Auckland (Vinovium), Willington, rersity, Cassop, Belmont, Brasside, Edmonsley and Chester le ing ASP. Installing a BNR would require a complete rebuild and in all cases cost more to construct (more concrete) and operate other packaged treatment technologies. In terms of natural d carbon due to more concrete and more operational carbon due efits to water quality and other natural capital measures are the nt technologies. Only at larger sites is the technology cost

ange to Houselop Beck), Sedgeletch (Change to Wear) and catchment).

w Wadsworth, Bishop Auckland (Vinovium), Willington, Tudhoe Mill, ont, Brasside, Edmondsley, Chester le Street STWs as no larger lows.

ning

at this stage but potential future opportunity

*N* (transfer to Barkers Haugh STW, Cassop STW (transfer to sfer to Low Wadsworth STW), Edmondsley STW (transfer to

Law, Low Wadsworth, Bishop Auckland (Vinovium), Willington, nont, Brasside, and Chester le Street STWs, no other STW with oom capacity

losing at Bishop Auckland STW (Vinovium), Low Wadsworth STW, STW, Chester Le Street STW, Tudhoe Mill STW. Belmont STW ted.

op, Hamsterley, Edmondsley, Tow Law, Willington and Brasside moval technology to expand to meet the permit requirements. STW, Tow Law STW, Low Wadsworth STW,

Belmont, Bishop Auckland (Vinovium), Brasside, Cassop, Chester I, University Hamsterley and Willington STW as will not achieve

ent solution

pact of the phosphorus loading from the trade effluent will not be od water body status



DWF headroom sacrifice at STW	Yes	No	DISCARDED Not sufficient headroo permits.
Accept a reduced DWF permit so that a more relaxed final effluent permit is imposed.			·
Catchment nutrient balancing	Yes	Yes	Carried forward as SAGIS modelling characterisation indicate that catchr
Catchment nutrient balancing i.e., targeting phosphorus load reductions from agriculture (working with farmers to			alongside end-of-pipe solutions, has
reduce source pollution) and other non-water company sectors.			across the Wear catchment.
Catchment habitat creation and/or enhancement	No	No	DISCARDED as it will not achieve lo
Creation of new or enhancement of existing habitats such as woodland, wetlands, and vegetated riparian margins in the wider catchment aiming to reduce phosphorus loads to the watercourse via reduced runoff and sediment losses or increased filtration.			
Operational solution	No	No	DISCARDED at University, Cassop, STWs. The works do not have exist
Optimisation of existing site assets to achieve new permit through operational activities.			meet the phosphorus permit.
			DISCARDED at Bishop Auckland S STW, Sedgeletch STW, Chester Le dosing assets would not meet the p

room in the STWs to allow DWF sacrifice to achieve a phosphorus

lling, RNAGs, on-the-ground feasibility assessment and catchment chment-based solution is both feasible and that when implemented has the potential to achieve overall (cross-sector) Good status

e load reductions required

op, Hamsterley, Edmondsley, Tow Law, Willington and Brasside xisting phosphorus removal technology that could be optimised to

d STW (Vinovium), Belmont Low Wadsworth STW, Barkers Haugh Le Street STW, Tudhoe Mill STW. Optimisation of existing ferric e phosphorus permit.





#### TABLE 52: WEAR CATCHMENT UNCONSTRAINED OPTION SCREENING - AMMONIA REMOVAL

Unconstrained options	Technically feasible?	Meets statutory obligation?	Reason for discarding
Treatment process-based permitting: Nitrifying Submerged Aerated Filter	Yes	Yes	Carried Forward for Bishop Auckla
Biological process where biomass grows on submerged media. The biomass consumes the nutrients within the wastewater. Air, provided by mechanical blowers is required to sustain the biomass.			
Treatment process-based permitting: Trickling Filters			Carried Forward for Bishop Auckla
Biological process where biomass grows on stone media. Wastewater is distributed over the media and trickles down through the filter. The biomass consumes the nutrients within the wastewater Air naturally flows upwards through vents.	Yes	Yes	DISCARDED at secondary treatm trickling filters more cost effective
Treatment process-based permitting: Activated Sludge Plant			
Biological process where biomass grows within an aeration tank at a controlled concentration. Air, provided by mechanical blowers is required to sustain the biomass. Return activated sludge is recycled to maintain the biomass. Surplus activated sludge is removed from the process to control the concentration.	Yes	Yes	Carried forward for Bishop Auckla
Change outfall location			Carried forward at Bishop Aucklar
Move final effluent outfall so more relaxed permit is acceptable (discharge into less sensitive water course).	Yes	Yes	DISCARDED at secondary screen waterbody within 5km of the site to Carried forward for Bishop Auckla
Centralise STWs Combine two or more STWs into a new larger works to achieve efficiencies of scale.	Yes	Yes	DISCARDED at secondary screen Willington, Low Wadsworth and N new larger works will cost significa routes) compared to extending the require storm treatment/network s effluent baseflow was removed fro Centralising the sites will have a h location plus operation of the new the existing works. The benefits to Furthermore, the release of ember higher than the embedded carbon
Transfer / Pump away	Yes	Yes	Carried forward for Bishop Auckla
Transfer flow (raw) from one or more smaller STW into an existing larger works with dry weather flow (DWF) headroom.	163	163	DISCARDED at secondary screen STW within 5km with greater than
Replace/retrofit/expand existing primary/secondary treatment processes Use existing process types or more intensive processes where treatment is already in place, may include extra assets on site to achieve tighter permit limit.	Yes	Yes	Carried forward for Bishop Auckla to increase nitrification.
Integrated constructed wetland (ICW)			
Create ICW with multiple benefits as treatment solution (only applicable where less stringent permit limits or existing treatment solution that needs to be tighter).	No	No	DISCARDED Insufficient land ava
Catchment permitting for nutrients			
Flexible permit limits across all STWs discharging to a river. All STWs within a specified catchment are included in an innovative catchment permit which provides flexibility and offsetting and allows benefit from overperformance between sites (measured as kg load reduction at STWs).	No	No	DISCARDED –Only one STW imp balance permits across several tre
Trade effluent variation	Yes	No	DISCARDED for Bishop Auckland
Varying trade effluent permits at sites or removing trader high flow contributions. DWF headroom sacrifice at STW			
Accept a reduced DWF permit so that a more relaxed final effluent permit is imposed.	Yes	No	DISCARDED for Bishop Auckland allow DWF sacrifice to achieve an

### kland (Vinovium) STW

kland (Vinovium) STW

tment at Bishop Auckland (Vinovium) STW. Site already has ve to extend existing treatment than replace with new

kland (Vinovium) STW

land (Vinovium) STW

eening for Bishop Auckland (Vinovium) STW because no larger e to receive flows. kland (Vinovium) STW

eening for Bishop Auckland (Vinovium) STW. There is I Newfield within 5km of each other. Centralising the sites to a ficantly more to construct (more concrete, multiple pipeline the existing trickling filters. In addition, centralising the sites will k storage to protect the existing water course if the treated from the water body adding cost and carbon.

a higher operating cost due to pumping flows to the new ew works compared to construction of extra tertiary assets at s to water quality will be the same as extra tertiary treatment. bedded carbon from demolished assets will be significantly on of extra tertiary assets.

kland (Vinovium) STW

eening for Bishop Auckland (Vinovium) STW because no other an 10% headroom capacity to receive flows

kland (Vinovium) STW. Existing trickling filters can be expanded

vailable at Bishop Auckland (Vinovium) STW for wetland.

mpacts the waterbody. No opportunity for catchment permit to treatment plants.

nd (Vinovium) STW No trade flow in the catchment

and (Vinovium) STW Not sufficient headroom in the STW to an ammonia permit of 2.8mg/I

# A3-24 WASTEWATER WINEP – PHOSPHORUS Enhancement Case (NES13)



Catchment nutrient balancing Catchment nutrient balancing i.e., targeting ammonia load reductions from agriculture (working with farmers to reduce source pollution) and other non-water company sectors.	No	No	DISCARDED for Bishop Auckland have significant impacts on ammo agriculture are predominantly via v
Catchment habitat creation and/or enhancement Creation of new or enhancement of existing habitats such as woodland, wetlands, and vegetated riparian margins in the wider catchment aiming to reduce ammonia loads to the watercourse via reduced runoff and sediment losses or increased filtration.	No	No	DISCARDED for Bishop Auckland required
Operational solution Optimisation of existing site assets to achieve new permit through operational activities.	No	No	DISCARDED for Bishop Auckland assets would not meet the ammor

and (Vinovium) STW Agricultural practice changes would not monia loads to water bodies locally (ammonia emissions from ria volatilisation i.e., in gaseous form).

and (Vinovium) STW as it will not achieve load reductions

and (Vinovium) STW. Optimisation of existing ammonia removal nonia permit.





### **C.12 HAWTHORN BURN (08NW100200)**

#### TABLE 53: HAWTHORN BURN UNCONSTRAINED OPTION SCREENING

Unconstrained options	Technically feasible?	Meets statutory obligation?	Reason for discarding
Treatment process-based permitting: Reedbed	No	No	DISCARDED for Hawthe
A reed bed system wastewater flows continuously through the support medium, made up of a gravel base planted with the common reed. The area around the reeds becomes populated with both aerobic and anaerobic bacteria. It is these bacteria that treat the incoming wastewater.			permit.
Treatment process-based permitting: Electrocoagulation	Unclear	Unclear	DISCARDED – technolo permit value can be ach
As an innovative process, electrocoagulation uses electrodes and electricity to dose a chemical for phosphorus removal using a sacrificial anode rather than chemical delivery and dosing a liquid chemical.			
Treatment process-based permitting: Mecana Cloth filter	Yes	Yes	Carried forward for Haw
Physical separation process, where solids are captured on a series of cloth discs. Solids are removed by backwashing cloth discs.			
Treatment process-based permitting: Ferric dosing	Yes	Yes	Carried forward for Ha
Ferric sulphate solution dosed to precipitate phosphorus within the wastewater. Phosphorus removed as a sludge from the process.			
Treatment process-based permitting: Deep bed filter	Yes	Yes	Carried forward for Haw
Physical separation process, where solids are captured within a volume of sand media. Solids are removed by backwashing the sand. Dirty back wash water is returned to the inlet works.			DISCARDED at second effective for smaller site
Treatment process-based permitting: CoMag	Yes	Yes	Carried forward for Haw
Ballasted coagulation is a tertiary treatment system and is an alternative to tertiary filtration processes. Ballasted coagulation is a high-rate, physical-chemical clarification process involving the fixing of flocs, or suspended solids, onto ballast (sand) with the aid of a polymer. The resulting sludge, which contains the ballast, is collected for treatment where the sludge is -separated from the ballast. The residual solids are sent through a sludge processing system and the recovered ballast is recycled.	I		DISCARDED at second for this technology to be more to construct (requi costs) than other tertiary benefit to the water qua
Treatment process-based permitting: BioMag	Yes	Yes	Carried forward for Haw
Ballasted secondary treatment processes incorporate a ballast into the mixed liquor of an activated sludge plant. The ballast binds to the floc in the activated sludge and improves the settlement rate and associated solids removal. For phosphorus removal ferric sulphate is dosed into the wastewater entering the aeration basin prior to ballast addition.			DIS <b>CARDED</b> at second existing ASP. Installing be cost effective. Ballasi (requires more assets) a tertiary treatment techno quality. Only at larger sit
Treatment process-based permitting: Biological Nutrient Removal	Yes	Yes	Carried forward for Haw
BNR consists of anaerobic/anoxic and aerobic chambers in succession within an activated sludge plant. The change in conditions manipulate the organisms to accumulate nutrients from waste waters. Following the anaerobic stage, the organisms are exposed to aerobic conditions, due to their stressed state, they overreact and accumulate more phosphorus than originally expelled in the anaerobic stage resulting in a net removal of phosphorus from the wastewater. In addition to BNR, sites will require ferric addition to guarantee phosphorus removal.			DISCARDED at second existing ASP. Installing a be cost effective. BNR in operate (due to higher et technologies. In terms of to more concrete and m Benefits to water quality

# ng

# thorn STW as technology will not achieve phosphorus

ology unproven within the water industry to guarantee chieved.

awthorn STW with 2 point ferric dosing

lawthorn STW with Mecana Filter

#### awthorn STW

ndary screening as other filter technologies are more cost ites.

## awthorn STW

ndary screening – Hawthorn STW is too small (PE<30,000) be cost effective. Ballasted coagulation in all cases costs quires more assets) and operate (due to higher energy ary treatment technologies and would deliver the same uality. Only at larger sites is the technology cost effective.

#### awthorn STW

ndary screening – Hawthorn STW does not have an Ig BioMag would require a complete rebuild and would not asted coagulation in all cases costs more to construct s) and operate (due to higher energy costs) than other nologies and would deliver the same benefit to the water sites is the technology cost effective.

# awthorn STW

ndary screening – Hawthorn STW does not have an ag a BNR would require a complete rebuild and would not R in all cases cost more to construct (more concrete) and r energy costs) than other packaged treatment as of natural capital they have more embedded carbon due more operational carbon due to high energy use aerators. lity and other natural capital measures are the same as



Unconstrained options	Technically feasible?	Meets statutory obligation?	y Reason for discarding
			other traditional treatme cost effective.
Change outfall location	Yes	Yes	Carried forward for Haw
Move final effluent outfall so more relaxed permit is acceptable (discharge into less sensitive water course).			
Centralise STWs	Yes	Yes	Carried forward for Haw
Combine two or more STW into a new larger works to achieve efficiencies of scale.			DISCARDED through se
			Centralising the sites to construct (more concret Hawthorn STW to Seah require storm treatment/ the treated effluent base carbon.
			Centralising the sites wi the new location plus op extra tertiary assets at th same as extra tertiary tr from demolished assets extra tertiary assets.
Transfer / Pump away	Yes	Yes	Carried forward. for Have
Transfer flow (raw) from one or more smaller STW into an existing larger works with dry weather flow (DWF) headroom.			
Replace/retrofit/expand existing primary/secondary treatment processes	No	No	DISCARDED for Hawthe
Use existing process types or more intensive processes where treatment is already in place, may include extra assets on site to achieve tighter permit limit.			expand to meet the perr
Integrated constructed wetland (ICW)	Yes	Yes	Carried forward for Haw
Create ICW with multiple benefits as treatment solution (only applicable where less stringent permit limits or existing treatment solution that needs to be tighter)	).		
Catchment permitting for nutrients	No	No	DISCARDED for Hawthe considered in this catch
Flexible permit limits across all STWs discharging to a river. All STWs within a specified catchment are included in an innovative catchment permit which provides flexibility and offsetting and allows benefit from overperformance between sites (measured as kg load reduction at STWs).			
Trade effluent variation	No	No	DISCARDED for Hawthe
Varying trade effluent permits at sites or removing trader high flow contributions.			
DWF headroom sacrifice at STWs	No	No	DISCARDED Not suffici
Accept a reduced DWF permit so that a more relaxed final effluent permit is imposed.			achieve a phosphorus p
Catchment nutrient balancing	Yes	No	DISCARDED Estimated
Catchment nutrient balancing i.e., targeting phosphorus load reductions from agriculture (working with farmers to reduce source pollution) and other non-water company sectors.			fall short of reductions re removal, the waterbody Moderate status.
Catchment habitat creation and/or enhancement	Yes	No	DISCARDED for Hawthe

#### ng

nent technologies. Only at larger sites is the technology

awthorn STW (Change outfall to North Sea)

awthorn STW secondary screening

to a new larger works will cost significantly more to rete, multiple pipeline routes) compared to the transfer of eaham or the north sea. In addition, centralising the sites will ent/network storage to protect the existing water course if aseflow was removed from the water body adding cost and

will have a higher operating cost due to pumping flows to operation of the new works compared to construction of at the existing works. The benefits to water quality will be the y treatment. Furthermore, the release of embedded carbon ets will be significantly higher than the embedded carbon of

lawthorn STW (Transfer to Seaham or Horden STW)

wthorn STW. No existing phosphorus removal technology to permit requirements

awthorn STW

wthorn STW. Not possible, only one treatment plant being tchment for phosphorus removal.

vthorn STW. No trade effluent

ficient headroom in the STW to allow DWF sacrifice to s permit of 0.25mg/l.

ted load reductions achievable via agricultural mitigations s required. Evidence suggests that without end-of-pipe dy will fail to meet the requirements for Good or even

wthorn STW will not achieve load reductions required

PR**24** 

Unconstrained options	Technically feasible?	Meets statuto obligation?	ry Reason for discarding
Creation of new or enhancement of existing habitats such as woodland, wetlands, and vegetated riparian margins in the wider catchment aiming to reduce phosphorus loads to the watercourse via reduced runoff and sediment losses or increased filtration.			
Operational solution	No	No	DISCARDED Hawthorn ST technology that could be op
Optimisation of existing site assets to achieve new permit through operational activities.			technology that could be op

n STW. does not have existing phosphorus removal be optimised to meet the phosphorus permit.





# **C.13 RIVER TEES (08NW100205)**

# TABLE 54: RIVER TEES CATCHMENT UNCONSTRAINED OPTION SCREENING - PHOSPHORUS REMOVAL

Unconstrained options	Technically feasible?	Meets statutory obligation?	Reason for discarding
Treatment process-based permitting: Reedbed (constructed wetland) A reed bed system wastewater flows continuously through the support medium, made up of a gravel base planted with the common reed. The area around the reeds becomes populated with both aerobic and anaerobic bacteria. It is these bacteria that treats the incoming wastewater.	No	No	DISCARDED for Stressholme STW as technolo
Treatment process-based permitting: Electrocoagulation As an innovative process, electrocoagulation uses electrodes and electricity to dose a chemical for phosphorus removal using a sacrificial anode rather than chemical delivery and dosing a liquid chemical.	Unclear	Unclear	DISCARDED – technology unproven within the achieved.
Treatment process-based permitting: Mecana Cloth filter Physical separation process, where solids are captured on a series of cloth discs. Solids are removed by backwashing cloth discs.	Yes	Yes	Carried forward for Stressholme STW DISCARDED at secondary screening as other t sites.
Treatment process-based permitting: Ferric dosing Ferric sulphate solution dosed to precipitate phosphorus within the wastewater. Phosphorus removed as a sludge from the process.	Yes	Yes	Carried forward for Stressholme STW with Tert
Treatment process-based permitting: Deep bed filter Physical separation process, where solids are captured within a volume of sand media. Solids are removed by backwashing the sand. Dirty back wash water is returned to the inlet works.	Yes	Yes	Carried forward for Stressholme STW with 2 po

ology will not achieve phosphorus permit.

the water industry to guarantee permit value can be

er filter technologies are more cost effective for larger

ertiary Solids Removal

point ferric dosing





The resulting sludge, which contains the ballact, is collected for treatment where the sludge is -separated from the ballast.         Use of the scalar location is a sludge processing system and the recovered ballast is recycled.         Currind forward for Stressholms STW           Treatment process-based permitting: Biological Natrient Amount of the maximum transverse the settement rate and associated solids removal.         Yes         Yes         DisCARDED - Stressholms STW does in a complete relating and would of the construction of the settement rate and associated solids removal.         Yes         DisCARDED - Stressholms STW does in a complete relating and would of the construction of the settement rate and associated solids removal.         Yes         Yes         Yes         DisCARDED - Stressholms STW does in a complete relating and would of the construction of the settement rate and associated solids removal.         Yes         Yes         Yes         DisCARDED - Stressholms STW does in a complete relating and would of the construction of stressholm STW does in a complete relating and would of the construction of stressholm STW does in a complete relating and would on the construction of stressholm stress of the result of stressholm stress of the settement rate and associated in the wastewater.         Yes         Yes         Yes         DisCARDED - Stressholm STW does in a does and mount of the settement rate and associated removal.         Stressholm STW does in a complete relating and would on the construction or information of stressholm STW does in a complete relating and would on the construction or information of stressholm STW does in a complete relating and would on the construction or information of stressholm STW does in a complete relating and would on the cons				
solids, (and) whith the side of a polymer.         Yes         Yes         Cannel forward for Stressholme STW with the side of a polymer.           The resulting sludge, which contains the ballast, is collected for treatment where the sludge is asparated from the ballast.         Cannel forward for Stressholme STW with the side processing system and the recovered ballast is recovered.         Ves         Cannel forward for Stressholme STW does in a converted ballast is recovered ballast is recovered ballast binds to the foc. In the activated sludge and improves the settlement rate and associated solids removal.         Yes         DiSCARDED - Stressholme STW does in a converted relation of an activated sludge plant. The ballast binds to the foc in the activated sludge and improves the settlement rate and associated solids removal.         Yes         DiSCARDED - Stressholme STW does in a converted relation of the wallewater entering the activated sludge plant. The obalist and dition.           Treatment process-based purmiting. Biological Nutrient Removal         Yes         Yes         Ves         DiSCARDED - Stressholme STW does in a converted entering for a network of phosphorus from does in acconverted entering for an activated sludge plant. The obalist and dition.           In addition to DNR, sloss which an activate stress water.         Yes         Yes         DiSCARDED - Stressholme STW does in a converted entering obalist and value of the stressholme STW.           In addition to DNR, sloss which an activate stress water.         Yes         Yes         Ves         DiSCARDED at secondary screening in the stress water course).         DiSCARDED at secondary screening in the stressholm				
The residual activity as subge processing system and the recovered ballast is recycled.         Carried forward for Streacholms STW           Treatment process-based permitting: Biological ballast into the mixed liquor of an activated sludge plant. The ballast discondary freatment processes incorporate a ballast mixed returning the aeration basis prior to ballast addition.         Yes         Yes         Yes         DiscARDED - Streacholms STW           For phosphous removal ferric sulptate is deseid into the wastewater entering the aeration basis prior to ballast addition.         Yes         Yes         Yes         Carried forward for Streacholms STW           Treatment process-based permitting: Biological Nutrient Removal         Biological Nutrient Removal         Streacholms STW         Yes         Yes         Yes         a complete texhild and void of the correst or construct (requires more assets) and or to be correst or construct (requires more assets) and or to be correst or construct (requires more assets) and or construct or construct (requires more assets) and or construct or construct (requires more assets) and or construct or construct (require ferrid addition or construct and and wold to be correst or construct and and wold to be correst or construct and and wold to be correst or construct and and wold requires the stress and the requires the stress and the requires the addition to be construct and and wold requires the stress of the reguires or the addition to guarantee phosphorus thron originally expedied in the anaerobic stage resulting in a net removal.         Yes         Yes         Yes         Yes         Yes         Yes         Yes         Yes         DisCARDED assemblems STW </td <td></td> <td>Yes</td> <td>Yes</td> <td>Carried forward for Stressholme STW with 2 poi</td>		Yes	Yes	Carried forward for Stressholme STW with 2 poi
Treatment process-based permitting, Bological Balastic sciencesses incorporate a balast into the mixed plaque of an activated sludge plant. The balastic binds to the floc in the activated sludge and improves the settlement rate and associated solids removal. For phosphorus removal ferric suphate is dosed into the watewater entering the aeration basin prior to balast addition. Treatment process-based permitting: Biological Nutrient Removal BNR consists of anaerobic/anoxic and aerobic chambers in succession within an activated sludge plant. The change in conditions many is to accountide muterines from waters. Following the anaerobic stage, the organisms are exposed to aerobic conditions, due to their dressed state, they overract and accountate more phosphorus threin in primery sectores. In addition to BNR, sites will require ferric addition to guarantee phosphorus removal. Change outfall location Wee final effluent outfall is on one relaxed permit is acceptable (discharge into less sensitive water course). Central forward for Stressholme STW Control to an event of the discharge into less sensitive water course). Central forward for Stressholme STW Control to an event of the discharge into less sensitive water course). Central forward for Stressholme STW Control to an event of the discharge into less sensitive water course). Central forward for Stressholme STW DISCARDED as secondary screening - No for color stressing and the secondary screening - No for color stressing and the secondary screening - No softward for Stressholme STW DISCARDED as associated for Stressholme STW DISCARDED as associated works to achieve efficiencies of scale. Transfer / Pump away Transfer flow (cw) from one or more smaller STW into an existing larger works with dry weather flow (DWF) beadroon. Replace/areoff/keyand existing primary/secondary screening - No softward for Stressholme STW DISCARDED headford for Stressholme STW DISCARDED headford cort one requires the state addition of parameters for works with dry weather flow (DWF) beadroon				
Ladiasta back according / rearminer processes in the fire index digits pair. The Ves Ves a complete rebuild and would not be cost balans binds or the fits in the advected solution source. The ves of the fits in the advected solution source as solution of the transmet technologies and would doll w	Treatment process-based permitting: BioMag			Carried forward for Stressholme STW
Treatment process-based permitting: Biological Nutrient Removal       Kardio Construction of the construct	ballast binds to the floc in the activated sludge and improves the settlement rate and associated solids removal.	Yes	Yes	DISCARDED – Stressholme STW does not hav a complete rebuild and would not be cost effecti to construct (requires more assets) and operate treatment technologies and would deliver the sa
Treatment process-based permitting: Biological Nutrient Removal       DISCARDED – Stressholme STW does in a complete rebuild and would not be cost detective. In addition to BNR, sites will require form and stress datas, they overreat and accumulate more phosphorus thread full in the anaerotic chambers in succassion within an activated studge plant. The change in organization of the stress datas in the overreat and accumulate more phosphorus thread full in the anaerotic stage resulting in a net removal of phosphorus from the wastewater.       Yes       Yes       Yes       Yes         Change outfail location       We final efficience in a net removal of phosphorus from the wastewater.       Yes       Yes       DISCARDED – Stressholme STW does in a dependence of phosphorus removal.         Change outfail location       We final efficience in the anaerotic charage into lass sensitive water course).       Yes       Yes       DISCARDED at secondary screening - N to rescreening - N t				with ASPs is the technology cost effective.
Change outfall location       Yes       Yes       Yes       DisCARDED at secondary screening - N to receive large flow. In addition, removing a printern environmental impacts and wo of the transfer.         Move final effluent outfall so more relaxed permit is acceptable (discharge into less sensitive water course).       Yes       Yes       Carried forward for Stressholme STW         Centralise STWs       Centralise STWs       Ves       Yes       Yes       DisCARDED through secondary screening - N correct forward for Stressholme is a large STW-150.000PE stressholme is a large STW-150.000PE stressholme is a large STW-150.000PE stressholme is a large TW-150.000PE stressholme is a large TW-150.000PE stressholme is a large STW-150.000PE stressholme is stresholme istreswill require mathemate is a large STW-150.0	BNR consists of anaerobic/anoxic and aerobic chambers in succession within an activated sludge plant. The change in conditions manipulate the organisms to accumulate nutrients from waste waters. Following the anaerobic stage, the organisms are exposed to aerobic conditions, due to their stressed state, they overreact and accumulate more phosphorus than originally expelled in the anaerobic stage resulting in a net removal of phosphorus from the wastewater.	Yes	Yes	DISCARDED – Stressholme STW does not hav a complete rebuild and would not be cost effective rebuild and would not be cost effective. BNR in a and operate (due to higher energy costs) than o natural capital they have more embedded carbo carbon due to high energy use aerators. Benefi measures are the same as other traditional treat
Yes       Yes       Yes       Yes       Discription of the review of the transfer.         Move final effluent outfall so more relaxed permit is acceptable (discharge into less sensitive water course).       Yes       Yes       Yes       Discription of the review of the transfer.         Centralise STWs       Carried forward for Stressholme STW       Discription of the review of large into less sensitive water course).       Yes       Yes       Yes       Ves       Discription of the review of the transfer.         Centralise STWs       Yes       Yes       Yes       Yes       Yes       Stressholme is a large STW-150.000PE sites to a new larger works will cost significant environmental impacts and word the transfer.         Combine two or more STW into a new larger works to achieve efficiencies of scale.       Yes       Yes       Yes       Yes       Carried forward for Stressholme STW into a new larger works to achieve efficiencies of scale.         Transfer / Pump away       Transfer / Pump away       Yes       Yes       Yes       DisCARDED at secondary screening for greater than 10% headroom.         Replace/retrofit/expand existing primary/secondary treatment processes       Use existing process types or more intensive processes where treatment is already in place, may include extra assets on site to achieve tighter permit limit.       No       No       DisCARDED houghtpoint requires torm thread.         Integrated constructed wetland (ICW)       No       No       D				
Centralise STWs Centralise STWs Combine two or more STW into a new larger works to achieve efficiencies of scale. Yes Yes Yes Yes Yes Centralising the sites will require storm tr countralising the sites will require storm tr countralising the sites will have a higher c plus operation of the readed efficiencies STW works. The benefits to water quality will relates of embedded carbon of extra tertiary assets Transfer / Pump away Transfer flow (raw) from one or more smaller STW into an existing larger works with dry weather flow (DWF) headroom. Replace/retrofit/expand existing primary/secondary treatment processes Use existing process types or more intensive processes where treatment is already in place, may include extra assets on site to achieve tighter permit limit. Integrated constructed wetland (ICW)	-	Yes	Yes	DISCARDED at secondary screening - No wate to receive large flow. In addition, removing large significant environmental impacts and would rec of the transfer.
Transfer / Pump away       Yes       Yes       Yes       DISCARDED at secondary screening for greater than 10% headroom capacity to reater than 10% headroom capacity to reater than 10% headroom capacity to requirements.         Replace/retrofit/expand existing primary/secondary treatment processes       No       No       No       DISCARDED No existing phosphorus represents to requirements.         Use existing process types or more intensive processes where treatment is already in place, may include extra assets on site to achieve tighter permit limit.       No       No       DISCARDED Insufficient land available and availab		Yes	Yes	DISCARDED through secondary screening Stressholme is a large STW>150,000PE with no sites to a new larger works will cost significantly pipeline routes) compared to construction of ext centralising the sites will require storm treatmen course if the treated effluent baseflow was remo Centralising the sites will have a higher operatin plus operation of the new works compared to co works. The benefits to water quality will be the s release of embedded carbon from demolished a embedded carbon of extra tertiary assets.
Transfer flow (raw) from one or more smaller STW into an existing larger works with dry weather flow (DWF) headroom.       DISCARDED at secondary screening for greater than 10% headroom capacity to regreater than 10% headroom capacity to regreater than 10% headroom capacity to requirements.         Replace/retrofit/expand existing primary/secondary treatment processes       No       No       DISCARDED No existing phosphorus represents to requirements.         Use existing process types or more intensive processes where treatment is already in place, may include extra assets on site to achieve tighter permit limit.       No       No       DISCARDED No existing phosphorus represents.         Integrated constructed wetland (ICW)       No       No       DISCARDED Insufficient land available at a science of the science o	Transfer / Pump away			Carried forward for Stressholme STW
Use existing process types or more intensive processes where treatment is already in place, may include extra assets on site to achieve tighter permit limit.       No       No       DISCARDED No existing phosphorus representation of the processes where treatment is already in place, may include extra assets on site to achieve tighter permit limit.         Integrated constructed wetland (ICW)       No       No       DISCARDED Insufficient land available and avai	Transfer flow (raw) from one or more smaller STW into an existing larger works with dry weather flow (DWF) headroom.	Yes	Yes	DISCARDED at secondary screening for Stress greater than 10% headroom capacity to receive
Use existing process types or more intensive processes where treatment is already in place, may include extra assets on site to achieve tighter permit limit. Integrated constructed wetland (ICW) No. No. DISCARDED Insufficient land available a	Replace/retrofit/expand existing primary/secondary treatment processes			
No. No. DISCARDED Insufficient land available a		No	No	DISCARDED No existing phosphorus removal to requirements.
	Integrated constructed wetland (ICW)			
treatment solution that needs to be tighter).		No	No	DISCARDED Insufficient land available at Stres large works would be unfeasible to operate and

### point ferric dosing

ave an existing ASP. Installing BioMag would require active. Ballasted coagulation in all cases costs more ate (due to higher energy costs) than other tertiary same benefit to the water quality. Only at larger sites

ave an existing ASP. Installing a BNR would require ective. Installing a BNR would require a complete in all cases cost more to construct (more concrete) n other packaged treatment technologies. In terms of bon due to more concrete and more operational efits to water quality and other natural capital eatment technologies. Only at larger sites with ASPs

aterbody less than 5 km from the STW with capacity rge base flow from the existing river is likely to have require storm storage/treatment to be include as part

no other STW within 5km of the site. Centralising the tly more to construct (more concrete, multiple extra tertiary assets at the existing works. In addition, ent/network storage to protect the existing water moved from the water body adding cost and carbon.

ting cost due to pumping flows to the new location construction of extra tertiary assets at the existing e same as extra tertiary treatment. Furthermore, the d assets will be significantly higher than the

ssholme because no other STW within 5km with ve flows.

technology to expand to meet the permit

essholme STW for wetland. Area of wetland for a nd would not be cost effective.



Catchment permitting for nutrients			
Flexible permit limits across all STWs discharging to a river. All STWs within a specified catchment are included in an innovative catchment permit which provides flexibility and offsetting and allows benefit from overperformance between sites (measured as kg load reduction at STWs).	No	No	DISCARDED for Stressholme STW. Not possible this catchment for phosphorus removal.
Trade effluent variation Varying trade effluent permits at sites or removing trader high flow contributions.	No	No	DISCARDED The trade effluent proportion of the the trade flow would not be sufficient to provide t meet the permit.
DWF headroom sacrifice at STW Accept a reduced DWF permit so that a more relaxed final effluent permit is imposed.	No	No	DISCARDED No existing phosphorus removal te requirements.
Catchment nutrient balancing			DISCARDED The estimated load reductions ach
Catchment nutrient balancing i.e., targeting phosphorus load reductions from agriculture (working with farmers to reduce source pollution) and other non-water company sectors.	Yes	No	reductions required, due to high STW contributio pipe removal, the waterbody will fail to meet the
Catchment habitat creation and/or enhancement			
Creation of new or enhancement of existing habitats such as woodland, wetlands, and vegetated riparian margins in the wider catchment aiming to reduce phosphorus loads to the watercourse via reduced runoff and sediment losses or increased filtration.	Yes	No	DISCARDED – will not achieve phosphorus load
Operational solution			DISCARDED The site does not have existing ph
Optimisation of existing site assets to achieve new permit through operational activities.	No	No	optimised to meet the phosphorus permit.

sible, only one treatment plant being considered in

the flow is <5% of the overall flow and thus removing de the reduction in phosphorus loading required to

technology to expand to meet the permit

achievable via agricultural mitigations fall short of ution of 70%. Evidence suggests that without end-of-the requirements for Good.

bad reductions required to achieve good status

phosphorus removal technology that could be





#### TABLE 55: RIVER TEES CATCHMENT UNCONSTRAINED OPTION SCREENING - AMMONIA REMOVAL

Unconstrained options	Technically feasible?	Meets statutor obligation?	ry Reason for discard
Treatment process-based permitting: Submerged Aerated Filter	Yes	Yes	Carried Forward for
Biological process where biomass grows on submerged media. The biomass consumes the nutrients within the waste water. Air, provided by mechanical blowers is required to sustain the biomass.			DISCARDED at sec more cost effective t
Treatment process-based permitting: Trickling filters	Yes	Yes	Carried forward for S
Biological process where biomass grows on stone media. Wastewater is distributed over the media and trickles down through the filter. The biomass consumes the nutrients within the wastewater Air naturally flows upwards through vents.	;		DISCARDED at sec assets. It is more co replaced entire seco
Treatment process-based permitting: Activated Sludge Plant	Yes	Yes	Carried forward for S
Biological process where biomass grows within an aeration tank at a controlled concentration. Air, provided by mechanical blowers is required to sustain the biomass. Return activated sludge is recycled to maintain the biomass. Surplus activated sludge is removed from the process to control the concentration.			DISCARDED at seco assets. It is more cos replaced entire seco
Change outfall location	Yes	Yes	Carried forward for S
Move final effluent outfall so more relaxed permit is acceptable (discharge into less sensitive water course).			DISCARDED at second suitable dilution capa far will not be more of
			Furthermore, we wo effluent flow was ren
Centralise STWs	Yes	Yes	Carried forward for S
Combine two or more STW into a new larger works to achieve efficiencies of scale.			DISCARDED at sec
			The nearest STWs a the flow from Fishbu one new larger STW Sedgefield STW Centralising the sites construct (more cond construction of extra centralising the sites protect the existing w removed from the wa
			Centralising the sites flows to the new loca construction of extra water quality will be release of embedded higher than the embed
Transfer / Pump away	Yes	Yes	Carried forward for S
Transfer flow (raw) from one or more smaller STW into an existing larger works with dry weather flow (DWF) headroom.			DISCARDED at sec other STW with 5km

# ding

Sedgefield STW

condary screening as site has existing NSAF process, to expand existing process than to install new asset.

Sedgefield STW

condary screening based on site having existing SAF ost effective to install extra capacity compared to ondary treatment with trickling filters

Sedgefield STW

condary screening based on site having existing SAF ost effective to install extra capacity compared to ondary treatment with ASP

Sedgefield STW

condary screening the nearest water body with a acity is around 20km away. Transporting effluent this cost effective compared than expansion of the works.

ould require extra storm storage/treatment if the base moved from the existing water

Sedgefield STW

condary screening

are Fishburn and Bradbury. The cost of transferring urn, Bradbury and Sedgefield STW and then building V is expected to be more costly than extra NSAF at

s to a new larger works will cost significantly more to acrete, multiple pipeline routes) compared to a tertiary assets at the existing works. In addition, s will require storm treatment/network storage to water course if the treated effluent baseflow was vater body adding cost and carbon.

s will have a higher operating cost due to pumping ation plus operation of the new works compared to a tertiary assets at the existing works. The benefits to the same as extra tertiary treatment. Furthermore, the ed carbon from demolished assets will be significantly bedded carbon of extra tertiary assets.

Sedgefield STW

condary screening for Sedgefield STW because no n with greater than 10% headroom capacity



Unconstrained options	Technically feasible?	Meets sobligation	statutory Reason for discardin 1?
Replace/retrofit/expand existing primary/secondary treatment processes	Yes	Yes	Carried forward for Sec nitrification
Use existing process types or more intensive processes where treatment is already in place, may include extra assets on site to achieve tighter permit limit.			Thumcauon
Integrated constructed wetland (ICW)	No	No	
Create ICW with multiple benefits as treatment solution (only applicable where less stringent permit limits or existing treatment solution that needs to be tighter).			DISCARDED Insufficie
Catchment permitting for nutrients	No	No	DISCARDED Only one unfeasible
Flexible permit limits across all STWs discharging to a river. All STWs within a specified catchment are included in an innovative catchment permit which provides flexibility and offsetting and allows benefit from overperformance between sites (measured as kg load reduction at STWs).			uneasible
Trade effluent variation	No	No	DISCARDED for Sedg
Varying trade effluent permits at sites or removing trader high flow contributions.			is <5% of the overall flo sufficient to provide the achieve the permit.
DWF headroom sacrifice at STW	No	No	DISCARDED at Sedge allow DWF sacrifice to
Accept a reduced DWF permit so that a more relaxed final effluent permit is imposed.			growth predicted at site
Catchment nutrient balancing	Yes	No	DISCARDED at Sedge
Catchment nutrient balancing i.e., targeting load reductions from agriculture (working with farmers to reduce source pollution) and other non-water company sectors.	hi (a	have significant impact (ammonia emissions fr i.e., in gaseous form).	
Catchment habitat creation and/or enhancement	Yes	No	DISCARDED at Sedge required
Creation of new or enhancement of existing habitats such as woodland, wetlands, and vegetated riparian margins in the wider catchment aiming to reduce ammonia loads to the watercourse via reduced runoff and sediment losses or increased filtration.			ισμιισα
Operational solution	No	No	DISCARDED at Sedge removal assets would
Optimisation of existing site assets to achieve new permit through operational activities.			

# ding

Sedgefield STW expand existing NSAF to increase

icient land available at Sedgefield STW for wetland.

one works for ammonia. Catchment permitting is

edgefield STW the trade effluent proportion of the flow Il flow and thus removing the trade flow would not be the reduction in phosphorus loading required to

dgefield STW Not sufficient headroom in the STW to to achieve an ammonia permit of 1mg/l. Population site.

dgefield STW Agricultural practice changes would not bacts on ammonia loads to water bodies locally s from agriculture are predominantly via volatilisation n).

dgefield STW as it will not achieve load reductions

dgefield STW . Optimisation of existing ammonia Ild not meet the ammonia permit.





# APPENDIX D PRIMARY SCREENING FOR TECHNICAL FEASIBILITY NO DETERIORATION (ND) AND UWWTD

# D.1 DERWENT CATCHMENT: LOCKHAUGH STW (ND FOR P)

# TABLE 56: PRIMARY SCREENING FOR TECHNICAL FEASIBILITY NO DETERIORATION IN DERWENT CATCHMENT (LOCKHAUGH STW – PHOSPHORUS)

Option Title	Meets Statutory Obligation?	Technically feasible?	Reason for discarding
Accept Permit change	No	No	Discarded - site cannot meet new permit without
Treatment process-based permitting: Reedbed (constructed wetland)	Yes	Yes	Carried forward for Lockhaugh STW with existi
A reed bed system wastewater flows continuously through the support medium, made up of a gravel base planted with the common reed. The area around the reeds becomes populated with both aerobic and anaerobic bacteria that treat the incoming wastewater.			
Treatment process-based permitting: Electrocoagulation	Unclear	Unclear	DISCARDED – the technology is not required to
As an innovative process, electrocoagulation uses electrodes and electricity to dose a chemical for phosphorus removal using a sacrificial anode rather than chemical delivery and dosing a liquid chemical.			for phosphorus removal.
Treatment process-based permitting: Mecana Cloth filter	Yes	Yes	Carried forward for Lockhaugh STW with existi
Physical separation process, where solids are captured on a series of cloth discs. Solids are removed by backwashing cloth discs.			
Treatment process-based permitting: Ferric dosing	Yes	Yes	Carried forward
Ferric sulphate solution dosed to precipitate phosphorus within the wastewater. Phosphorus removed as a sludge from the process.			DISCARDED at secondary screening for Lockha
Treatment process-based permitting: Deep bed filter	Yes	Yes	Carried forward
Physical separation process, where solids are captured within a volume of sand media. Solids are removed by backwashing the sand. Dirty back wash water is returned to the inlet works.			DISCARDED at secondary screening as other fi
Treatment process-based permitting: CoMag	Yes	Yes	Carried forward for Lockhaugh STW
Ballasted coagulation is a tertiary treatment system and is an alternative to tertiary filtration processes. Ballasted coagulation is a high-rate, physical-chemical clarification process involving the fixing of flocs, or suspended solids, onto ballast (sand) with the aid of a polymer. The resulting sludge, which contains the ballast, is collected for treatment where the sludge is separated from the ballast. The residual solids are sent through a sludge processing system and the recovered ballast is recycled.			<b>DISCARDED</b> at secondary screening – Lockha cost effective. Ballasted coagulation in all cases (due to higher energy costs) than other tertiary the water quality. Only at larger sites is the techn
Treatment process-based permitting: BioMag	Yes	Yes	Carried forward for Lockhaugh STW
Ballasted secondary treatment processes incorporate a ballast into the mixed liquor of an activated sludge plant. The ballast binds to the floc in the activated sludge and improves the settlement rate and associated solids removal. For phosphorus removal, ferric sulphate is dosed into the wastewater entering the aeration basin prior to ballast addition.			<b>DISCARDED</b> at secondary screening–Lockhaug in all cases costs more to construct (requires mo tertiary treatment technologies and would deliver ASPs is the technology cost effective.
Treatment process-based permitting: Biological Nutrient Removal (BNR)	Yes	Yes	Carried forward for Lockhaugh STW
BNR consists of anaerobic/anoxic and aerobic chambers in succession within an activated sludge plant. The change in conditions manipulate the organisms to accumulate nutrients from wastewater. Following the anaerobic stage, the organisms are exposed to aerobic conditions. Due to their stressed state, they overreact and accumulate more			<b>DISCARDED</b> at secondary screening–Lockhaug require a complete rebuild and would not be completed and

hout capital intervention.

isting two point ferric dosing.

to meet phosphorus permit. The site has already got ferric dosing

isting two point ferric dosing.

chaugh STW as site has existing two point ferric dosing.

r filter technologies are more cost effective for smaller sites.

chaugh STW is too small (PE<30,000) for this technology to be see costs more to construct (requires more assets) and operate ry treatment technologies and would deliver the same benefit to chnology cost effective.

augh STW does not have an existing ASP. Ballasted coagulation nore assets) and operate (due to higher energy costs) than other ver the same benefit to the water quality. Only at larger sites with

ugh STW does not have an existing ASP. Installing a BNR would cost effective. BNR in all cases cost more to construct (more

# **A3-24 WASTEWATER WINEP – PHOSPHORUS**

**Enhancement Case (NES13)** 



Option Title	Meets Obligatio	Statutory on?	Technically feasible?	Reason for discarding
phosphorus than originally expelled in the anaerobic stage resulting in a net removal of phosphorus from the wastewater. In addition to BNR, sites will require ferric addition to guarantee phosphorus removal.				concrete) and operate (due to higher energy cos natural capital they have more embedded carbon high energy use aerators. Benefits to water qual traditional treatment technologies. Only at larger
Change outfall location	Yes		Yes	Carried forward for Lockhaugh STW
				DISCARDED at secondary screening for Lockhau
Centralise STWs	Yes		Yes	Carried forward from primary screening.
Combine two or more STW into a new larger works to achieve efficiencies of scale,				<b>DISCARDED</b> at secondary screening. There is o other. The cost of transferring the flow from Loc STW is expected to be more costly than adding t cost significantly more to construct (more concre
				In addition, centralising the sites will require watercourse if the treated effluent baseflow was r Centralising the sites will have a higher operating of the new works compared to tertiary filters. The options. Furthermore, the release of embedded ca the embedded carbon of extra tertiary filters.
Fransfer / Pumpaway	Yes		Yes	Carried forward for Lockhaugh STW
Transfer flow (raw) from one or more smaller STW into an existing larger works with dry weather flow (DWF) headroom.				<b>DISCARDED</b> at secondary screening because r capacity
Replace / retrofit / expand existing primary/secondary/tertiary treatment processes Jse existing process types or more intensive processes where treatment is already in place. This may include extra assets on site to achieve tighter permit limit.	No		No	<b>DISCARDED</b> for Lockhaugh STW. Existing two phosphorus permit. The site requires a tertiary filt
ntegrated constructed wetland (ICW)	No		No	DISCARDED - insufficient land available at Lock
Create ICW with multiple benefits as treatment solution (only applicable where less stringent permit limits or existing reatment solution that needs to be tighter).				
Catchment permitting for nutrients Flexible permit limits across all STWs discharging to a river. All STWs within a specified catchment are included in an nnovative catchment permit which provides flexibility and offsetting and allows benefit from overperformance between sites (measured as kg load reduction at STWs).	No		No	<b>DISCARDED</b> –Only one STW impacts the waterb across several treatment plants.
Trade effluent variation	No		No	<b>DISCARDED</b> - The trade effluent proportion of t would not be sufficient to provide the reduction in
/arying trade effluent permits at sites or removing trader high flow contributions DWF headroom sacrifice at STW	No		No	<b>DISCARDED</b> for Lockhaugh STW. Not sufficient phosphorus permit.
Accept a reduced DWF permit so that a more relaxed final effluent permit is imposed.	No		No	DISCARDED for Lockhaugh STW. Catchment r
Catchment nutrient balancing Catchment nutrient balancing i.e., targeting phosphorus load reductions from agriculture (working with farmers to reduce source pollution) and other non-water company sectors.	NU		NU	phosphorus or address the cause of future deteri
Catchment habitat creation and/or enhancement	No		No	DISCARDED for Lockhaugh STW. Habitat creation
Creation of new or enhancement of existing habitats such as woodland, wetlands, and vegetated riparian margins in he wider catchment aiming to reduce phosphorus loads to the watercourse via reduced runoff and sediment losses or				for phosphorus or address cause of future deterio
ncreased filtration.				

osts) than other packaged treatment technologies. In terms of bon due to more concrete and more operational carbon due to ality and other natural capital measures are the same as other er sites with ASPs is the technology cost effective.

# haugh STW because no larger waterbody within 5km of the site.

is only Lockhaugh and East Tanfield STW within 5km of each ockhaugh and East Tanfield and then building one new larger g tertiary filters. Centralising the sites to a new larger works will crete, multiple pipeline routes) compared to extra tertiary filters. re storm treatment/network storage to protect the existing is removed from the waterbody, adding cost and carbon.

ng cost due to pumping flows to the new location plus operation he benefits to water quality will be the same as other treatment carbon from demolished assets will be significantly higher than

e no other STW within 5km with greater than 10% headroom

o point ferric dosing does not require to be expanded to meet filter to meet the associated iron permit.

ckhaugh STW for a wetland.

erbody. No opportunity for catchment permit to balance permits

of the flow is <5% of the overall flow. Removing the trade flow in phosphorus loading required to meet the permit.

ent headroom in the STW to allow DWF sacrifice to achieve a

t nutrient balancing will not meet load reductions required for erioration.

ation and/or enhancement will not meet load reductions required erioration.

e in ferric dose to meet the phosphorus permit would result in ng ferric dose is not technically feasible.



# **D.2 RIVER WEAR CATCHMENT (ND NH3)**

# TABLE 57: PRIMARY SCREENING FOR TECHNICAL FEASIBILITY NO DETERIORATION IN RIVER WEAR (BISHOP AUCKLAND - NH3)

Option Title	Meets Obligation?	Statutory	Technically feasible?	Reason for discarding
Accept Permit change	No		No	<b>DISCARDED</b> – the site cannot meet new permit without c around 5mg/l for NH3
Treatment process-based permitting: Nitrifying Submerged Aerated Filter				
Biological process where biomass grows on submerged media. The biomass consumes the nutrients within the wastewater. Air provided by mechanical blowers is required to sustain the biomass.	Yes		Yes	Carried Forward for Bishop Auckland (Vinovium) STW
Treatment process-based permitting: Trickling Filters				
Biological process where biomass grows on stone media. Wastewater is distributed over the media and trickles down through the filter. The biomass consumes the nutrients within the wastewater Air naturally flows upwards through vents.	Yes		Yes	<b>Carried Forward</b> for Bishop Auckland (Vinovium) STW <b>DISCARDED</b> at secondary treatment at Bishop Auckland filters more cost effective to extend existing treatment than
Treatment process-based permitting: Activated Sludge Plant				
Biological process where biomass grows within an aeration tank at a controlled concentration. Air provided by mechanical blowers is required to sustain the biomass. Return activated sludge is recycled to maintain the biomass. Surplus activated sludge is removed from the process to control the concentration.	Yes		Yes	Carried forward for Bishop Auckland (Vinovium) STW
Change outfall location				Carried forward at Bishop Auckland (Vinovium) STW
Move final effluent outfall so more relaxed permit is acceptable (discharge into less sensitive watercourse).	Yes		Yes	<b>DISCARDED</b> at secondary screening for Bishop Auckl waterbody within 5km of the site to receive flows.
				Carried forward for Bishop Auckland (Vinovium) STW
<b>Centralise STWs</b> Combine two or more STWs into a new larger works to achieve efficiencies of scale.	Yes		Yes	<b>DISCARDED</b> at secondary screening for Bishop Auckland Wadsworth and Newfield within 5km of each other. Centr cost significantly more to construct (more concrete, multip the existing trickling filters. In addition, centralising the storage to protect the existing water course if the treated water body adding cost and carbon. Centralising the site pumping flows to the new location plus operation of the ne tertiary assets at the existing works. The benefits to water treatment. Furthermore, the release of embedded carbon f higher than the embedded carbon of extra tertiary assets.
Transfer / Pump away				Carried forward for Bishop Auckland (Vinovium) STW
Transfer flow (raw) from one or more smaller STW into an existing larger works with DWF headroom.	Yes		Yes	<b>DISCARDED</b> at secondary screening for Bishop Auckland within 5km with greater than 10% headroom capacity to re
Replace/retrofit/expand existing primary/secondary treatment processes				
Use existing process types or more intensive processes where treatment is already in place. This may include extra assets on site to achieve tighter permit limit.	Yes		Yes	<b>Carried forward</b> for Bishop Auckland (Vinovium) STW. E increase nitrification.
Integrated constructed wetland (ICW)	No		No	DISCARDED Insufficient land available at Bishop Aucklan

ut capital intervention. Existing performance is

and (Vinovium) STW. Site already has trickling than replace with new.

uckland (Vinovium) STW because no larger

and (Vinovium) STW. There is Willington, Low eentralising the sites to a new larger works will nultiple pipeline routes) compared to extending the sites will require storm treatment/network ated effluent baseflow was removed from the sites will have a higher operating cost due to e new works compared to construction of extra water quality will be the same as extra tertiary bon from demolished assets will be significantly ets.

kland (Vinovium) STW because no other STW to receive flows.

N. Existing trickling filters can be expanded to

kland (Vinovium) STW for wetland.

# **A3-24 WASTEWATER WINEP – PHOSPHORUS**

Enhancement Case (NES13)

# PR**24**

Option Title	Meets Obligation?	Statutory	Technically feasible?	Reason for discarding
Create ICW with multiple benefits as treatment solution (only applicable where less stringent permit limits or existing treatment solution that needs to be tighter).				
Catchment permitting for nutrients				
Flexible permit limits across all STWs discharging to a river. All STWs within a specified catchment are included in an innovative catchment permit which provides flexibility and offsetting and allows benefit from overperformance between sites (measured as kg load reduction at STWs).	No		No	<b>DISCARDED</b> –Only one STW impacts the waterbody. No permits across several treatment plants.
<b>Trade effluent variation</b> Varying trade effluent permits at sites or removing trader high flow contributions.	Yes		No	DISCARDED for Bishop Auckland (Vinovium) STW. No to
<b>DWF headroom sacrifice at STW</b> Accept a reduced DWF permit so that a more relaxed final effluent permit is imposed.	Yes		No	<b>DISCARDED</b> for Bishop Auckland (Vinovium). STW Not s sacrifice to achieve an ammonia permit of 2.8mg/l.
<b>Catchment nutrient balancing</b> Catchment nutrient balancing such as targeting ammonia load reductions from agriculture (working with farmers to reduce source pollution) and other non-water company sectors.	No		No	<b>DISCARDED</b> for Bishop Auckland (Vinovium). STW Ag significant impacts on ammonia loads to waterbodies loca predominantly via volatilisation i.e., in gaseous form).
Catchment habitat creation and/or enhancement Creation of new or enhancement of existing habitats such as woodland, wetlands, and vegetated riparian margins in the wider catchment aiming to reduce ammonia loads to the watercourse via reduced runoff and sediment losses or increased filtration.	No		No	DISCARDED for Bishop Auckland (Vinovium) STW as it
<b>Operational solution</b> Optimisation of existing site assets to achieve new permit through operational activities.	No		No	<b>DISCARDED</b> for Bishop Auckland (Vinovium) STW. Optin would not meet the ammonia permit.

No opportunity for catchment permit to balance

o trade flow in the catchment.

ot sufficient headroom in the STW to allow DWF

Agricultural practice changes would not have ocally (ammonia emissions from agriculture are

it will not achieve load reductions required.

ptimisation of existing ammonia removal assets





# **D.3 WINDLESTONE STW (ND)**

# TABLE 58: PRIMARY SCREENING FOR TECHNICAL FEASIBILITY NO DETERIORATION (WINDLESTONE – BOD)

Option Title	Meets Statutory Obligation?	Technically feasible?	Reason for discarding
Accept Permit change	Yes	Yes	Carried forward for Windlestone STW. Site already meets new permit.
Treatment process-based permitting	Yes	Yes	Carried forward
Install new assets to treat pollutant to meet new permit.			<b>DISCARDED</b> for Windlestone STW. No extra investment is required to meet new permit.
Change outfall location	Yes	Yes	Carried forward
Move final effluent outfall so more relaxed permit is acceptable (discharge into less sensitive watercourse).			<b>DISCARDED</b> for Windlestone STW. No extra investment is required to meet new permit.
Centralise STWs	Yes	Yes	Carried forward
Combine two or more STWs into a new larger works to achieve efficiencies of scale.			<b>DISCARDED</b> for Windlestone STW. No extra investment is required to meet new permit.
Transfer / Pump away	Yes	Yes	Carried forward
Transfer flow (raw) from one or more smaller STW into an existing larger works with DWF headroom.			<b>DISCARDED</b> for Windlestone STW. No extra investment is required to meet new permit.
Replace / retrofit / expand existing primary/secondary treatment	Yes	Yes	Carried forward
<b>processes</b> Use existing process types or more intensive processes where treatment is already in place. This may include extra assets on site to achieve tighter permit limit.			<b>DISCARDED</b> for Windlestone STW. No extra investment is required to meet new permit.
Integrated constructed wetland (ICW)	Yes	Yes	Carried forward
Create ICW with multiple benefits as treatment solution (only applicable where less stringent permit limits or existing treatment solution that needs to be tighter).			<b>DISCARDED</b> at secondary screening for Windlestone STW. No extra investment is required to meet new permit.
Catchment permitting for nutrients	Yes	Yes	Carried forward
Flexible permit limits across all STWs discharging to a river. All STWs within a specified catchment are included in an innovative catchment permit which provides flexibility and offsetting and allows benefit from overperformance between sites (measured as kg load reduction at STWs)			<b>DISCARDED</b> at secondary screening for Windlestone STW. No extra investment is required to meet new permit.
Trade effluent variation	Yes	Yes	Carried forward
Varying trade effluent permits at sites or removing trader high flow contributions			<b>DISCARDED</b> at secondary screening or Windlestone STW. No extra investment is required to meet new permit.
<b>DWF headroom sacrifice at STW</b> Accept a reduced DWF permit so that a more relaxed final effluent permit is imposed.	Yes	Yes	Carried forward



# A3-24 WASTEWATER WINEP – PHOSPHORUS Enhancement Case (NES13)



Option Title	Meets Statutory Obligation?	Technically feasible?	Reason for discarding
			<b>DISCARDED</b> at secondary screening for Windlestone STW. No extra investment is required to meet new permit.
Catchment nutrient balancing	Yes	Yes	Carried forward
Catchment nutrient balancing such as targeting BOD load reductions from agriculture (working with farmers to reduce source pollution) and other non-water company sectors.			<b>DISCARDED</b> at secondary screening for Windlestone STW. No extra investment is required to meet new permit.
Catchment habitat creation and/or enhancement	Yes	Yes	Carried forward
Creation of new or enhancement of existing habitats such as woodland, wetlands, and vegetated riparian margins in the wider catchment aiming to reduce BOD loads to the watercourse via reduced runoff and sediment losses or increased filtration.			<b>DISCARDED</b> at secondary screening for Windlestone STW. No extra investment is required to meet new permit.
<b>Operational solution</b> Optimisation of existing site assets to achieve new permit through	Yes	Yes	Carried forward for Windleston STW to optimise and maintain existing assets to meet the new permit.





# **D.4 WILLINGTON AND STRESSHOLME (UWWTD)**

#### TABLE 59: PRIMARY SCREENING TECHNICAL FEASIBILITY PHOSPHORUS REDUCTION SCHEMES - U\_IMP1 AND U\_IMP2

Accept Permit change     No     Yes     DBSCARDED for Streacht without cappial intervention tak in innovalve process, decitoxoagulation using a schifted ander after than chemical delony and doing a liquid chemical.       Treatment process, based permitting: Electroccagulation using a schifted appearing intervention tak in innovalve process, decitoxoagulation uses electrockes and electricity to does a chemical for phosphonis removed using a schifted appearing intervention tak in innovalve process, decitoxoagulation uses electrockes and decirities (and disony and doang a liquid chemical.     Unclear     Unclear     Unclear     DBSCARDED for Streacht care more cost effective for ites Streachter and Veillin incommore cost effective for process-based permitting: Reectibed (constructed wetland)     Yes     Yes     Veis     DBSCARDED for Streacht care more cost effective for incommor reed.       Treatment process-based permitting: Reectibed (constructed wetland)     Yes     Yes     Yes     Veis     Discarded at secondary s bed required to achieve the part incommor reed.       Treatment process-based permitting: Ferric doaling permit subtance collion doed to proceptiate phosphorus within the wastewater. Phosphorus removed as a studge from the process.     Yes     Yes     Yes     Carried forward for Streacht permit subtance collion doed to proceptiate phosphorus within the wastewater. Phosphorus removed as a studge from the process.     Yes     Yes     Carried forward for Streacht permit subtance collion doed to proceptiate phosphorus within the wastewater. Phosphorus removed by backwarding the achieve the part incommore the infer works.     Yes     Yes     Carried forward for Streacht permit subtance the pho	Option title	Meets Statutory Obligation?	Technically feasible?	Reason for discarding
As an investing process, electrocognitation uses electrocks and electricity to does a chemical for phosphorus removal       ising a sacrificial andor rather than chemical delivery and dosing a liquid chemical.         Interacting process-based permitting: Mecana Cloft filter       Yes       Yes       Yes         Physical separation process, where solids are captured on a series of cloth discs. Solids are removed by backwashing cleth discs.       Yes       Yes       DisCARDED for Stresshing cleth discs.         Protection process-based permitting: Reedbed (constructed welland)       Yes       Yes       Yes       Carried forward for Willin         A read bed system watewater flows continuously through the support medium, made up of a gravel base planted with the common reed. The area around the reeds becomes populated with both aerobic and anaerobic bacteria that treats the incoming vasewatewater.       Yes       Yes       Carried forward for Willin tequined to treat efflux         Treatment process-based permitting: Ferric dosing       Yes       Yes       Yes       Carried forward for Sites Stressholms STW.         Process-based permitting: Deep bed filter       Yes       Yes       Yes       Carried forward for Sites Physical separation process, where solids are captured within a volume of sand media. Solids are removed by backwashing the sand print tybe.       Stressholms STW.       DisCARDED at secondar y the required to the interverts and the treat of the reduces down at the active the planted to complex.       Yes       Yes       Yes       Carried forward for Sites Stressholm r	Accept Permit change	No	Yes	
Instrume process-based permitting: Reached (constructed wetland)       Yes       Yes       Carried forward for Stressholme and Willing         Treatment process-based permitting: Reached (constructed wetland)       Yes       Yes       Carried forward for Stressholme and Willing         Treatment process-based permitting: Reached (constructed wetland)       Yes       Yes       Carried forward for Stressholme and Willing         Treatment process-based permitting: Reached (constructed wetland)       Yes       Yes       Yes       Carried forward for Stressholme and Willing         Treatment process-based permitting: Florid obsing and public and anaerobic bacteria that treats the incoming wastewater.       Yes       Yes       Yes       Carried forward for Stressholme and Willing         Treatment process-based permitting: Florid obsing       Ferric subphate solution dosed to precipitate phosphorus within the wastewater. Phosphorus removed as a sludge from the process-based permitting: Florid obsing       Yes       Yes       Carried forward for Stressholme STW.         Treatment process-based permitting: Deep bed filter       Yes       Yes       Yes       Carried forward for Stressholme end willing of block within a volume of sand media. Solids are removed by backwashing the sand. Dirty back wash water is returned to white a solution dosed to precipitate phosphorus within the wastewater. Phosphorus removed as a sludge from the ballast.       Yes       Yes       Carried forward for Stressholme end willing of dose, or supended for Stressholme end willing of dose, or supended is dos exemp	Treatment process-based permitting: Electrocoagulation	Unclear	Unclear	
Treatment process-based permitting: Beep bed fiter       Yes       Yes       Yes         Treatment process-based permitting: Deep bed fiter       Yes       Yes       Yes         Treatment process-based permitting: Deep bed fiter       Yes       Yes       Yes         Treatment process-based permitting: Coeps and models. Solids are removed as a sludge from the process-based permitting: Deep bed fiter       Yes       Yes       Yes         Treatment process-based permitting: Coeps and models. Solids are removed as a sludge from the process-based permitting: Deep bed fiter       Yes       Yes       Yes         Treatment process-based permitting: Coeps and models. Solids are removed by backwashing the solution dosed to procipitate phosphorus within the wastewater. Phosphorus removed as a sludge from the process-based permitting: Deep bed fiter       Yes       Yes       Yes         Treatment process-based permitting: Coeps and fiter       Yes       Yes       Yes       DiscARDED at seconds required to redification process. where solids are captured within a volume of sand models. Solids are removed by backwashing the sind. Diriy back wash water is returned to the intel works.       Yes       Yes       Yes       Yes         Treatment process-based permitting: CoMg       Yes       Yes       Yes       Yes       Carried forward for Stresshing required to achieve the permitting: CoMg       DiscARDED at seconds required to achieve the permitting: CoMg       DiscARDED at seconds required to achieve the permitting: CoMg				
clobh discs.       DisCARDED at secondar required to achieve the period of a gravel base planted with the common read. The area around the reeds becomes populated with both aerobic and anaerobic bacteria that treats the incoming wastewater.       Yes       Yes       Ves       Carried forward for Willin the common read. The area around the reeds becomes populated with both aerobic and anaerobic bacteria that treats the incoming wastewater.       Piescomes populated with both aerobic and anaerobic bacteria that treats the incoming wastewater.       Yes       Yes       Yes       Carried forward for Still         Treatment process-based permitting: Forric dosing       Yes       Yes       Yes       Yes       Carried forward for Still         Treatment process-based permitting: Deep bed filter       Yes       Yes       Yes       Carried forward for Still         Treatment process-based permitting: Coep bed filter       Yes       Yes       Yes       Carried forward for Still         Treatment process-based permitting: Coep bed filter       Yes       Yes       Yes       Carried forward for Still         Treatment process-based permitting: CoMag       Stilles and and proper.       Yes       Yes       Carried forward for Stilles         Ballasted coagulation is a high-rate, physical-chemical clarification process involving the fixing of flocs, or suppended       Yes       Yes       Carried forward for Stress         Ballasted coagulation is a high-rate, physical-chemical clarification process involving the fixin	Treatment process-based permitting: Mecana Cloth filter	Yes	Yes	Carried forward for Stres
Treatment process-based permitting: Reedbed (constructed wetland)       Yes       Yes       Yes       Carried forward for Will         A reed bed system wastewater flows continuously through the support medium, made up of a gravel base planted with the common reed. The area around the reeds becomes populated with both serobic and anaerobic bacteria that treats the incoming wastewater.       Yes       Yes       Ves       Carried forward for Will         Treatment process-based permitting: Ferric dosing       Ferric sulphate solution dosed to precipitate phosphorus within the wastewater. Phosphorus removed as a sludge from the process.       Yes       Yes       Yes       Carried forward for Stress         Treatment process-based permitting: Deep bed filter       Yes       Yes       Yes       Discarded at secondary secondary secondary for Stress         Physical separation process, where solids are captured to the intel works.       Yes       Yes       Yes       Discarded forward for Stress         Ballasted coaguitation is a bilbr-rate, physical-chemical clarification process involving the fixing of flocs, or suspended solids, onto ballast (and) with the aid of a polymer.       Yes       Yes       Yes       Yes       Discarded forward for Stress         Ballasted coaguitation is a bilbr-rate, physical-chemical clarification process involving the fixing of flocs, or suspended solids, onto ballast (sand) with the aid of a polymer.       The resulting stochard to a colymer.       Discarded for Stress       DisCARDED at secondar permit value. This technol -0.5 mgl. Relef to WFD				
Treatment process-based permitting: Needed to be support medium, made up of a gravel base planted with the common reed. The area around the reeds becomes populated with both aerobic and anaerobic bacteria that treats the incoming wastewater.       Discarded at secondary to bed required to treat efflue bed required to treat efflue to the efflue to the tottreat efflue to the tottreat efflue to the effluence to treat effl				
common reed. The area around the reeds becomes populated with both aerobic and anaerobic bacteria that treats the       Discarded at secondary is bed required to treat efflux         Treatment process-based permitting: Ferric dosing       Yes       Yes       Carried forward for Str. Stressholme STW.         Treatment process-based permitting: Deep bed filter       Yes       Yes       Carried forward for Str. Stressholme STW.         Treatment process-based permitting: Compose the solids are captured within a volume of sand media. Solids are removed by backwashing the sand. Dity back wash water is returned to the inlet works.       Yes       Yes       Carried forward for Stressholme STW.         Treatment process-based permitting: Comag       Yes       Yes       Ves       Discarded at secondary is backwashing the sand. Dity back washing the polymer.       Yes       Yes       Carried forward for Stress Discarded is a balax (sand) with the aid of a polymer.         The resulting sludge, which contains the ballast, is collected for treatment where the sludge is -separated from the ballast. The resulting sludge, which contains the ballast, is collected for treatment where the sludge is -separated from the ballast.       Yes       Yes       Yes       DisCaRDED at secondary existing ASP. Ballasted or adjust (sand) where the settlement rate and associated solids removal. For phosphorus removal, ferric sulphate is dosed into the wastewater entering the aeration basin prior to ballast dand) where a balax into the	Treatment process-based permitting: Reedbed (constructed wetland)	Yes	Yes	Carried forward for Willin
Ferric sulphate solution dosed to precipitate phosphorus within the wastewater. Phosphorus removed as a sludge from the process.       Ites       Stressholme STW.         Treatment process-based permitting: Deep bed filter       Yes       Yes       Yes       Carried forward for Stress         Physical separation process, where solids are captured within a volume of sand media. Solids are removed by backwashing the sand. Dirty back wash water is returned to the inlet works.       Yes       Yes       Ves       DiSCARDED at secondar required to achieve the pe carried forward for Stress         Treatment process-based permitting: CoMag       Yes       Yes       Yes       Yes       Carried forward for Stress         Ballasted coagulation is a high-rate, physical-chemical clarification process involving the fixing of flocs, or suspended solids, onto ballast (sand) with the aid of a polymer.       Yes       Yes       Yes       DiSCARDED at secondar permitting: Usade, which contains the ballast, is collected for treatment where the sludge is -separated from the ballast.       The resulting sludge, which contains the ballast, is collected for treatment where the sludge is -separated from the ballast.       Yes       Yes       Carried forward for Stress permitting: BioMag       DiSCARDED at secondar permitting: BioMag       DiSCARDED at secondar permit value. This technol <-0.5 mg/l. Refer to WFD	common reed. The area around the reeds becomes populated with both aerobic and anaerobic bacteria that treats the			
Treatment process.       Yes       Yes       Carried forward for Stress         Physical separation process, where solids are captured within a volume of sand media. Solids are removed by backwashing the sand. Dirty back wash water is returned to the inlet works.       Yes       Yes       DisCARDED at secondar required to achieve the period of a polymer.         Treatment process-based permitting: BioMag       Yes       Yes       Carried forward for Stress         Ballasted coagulation is a high-rate, physical-chemical clarification process involving the fixing of flocs, or suspended solids, end ballast (sand) with the aid of a polymer.       Yes       Yes       Carried forward for Stress         The resulting sludge, which contains the ballast, is collected for treatment where the sludge is -separated from the ballast.       Pres       Yes       DisCARDED at secondar permit value. This technol <0.5 mg/l. Refer to WFD_	Treatment process-based permitting: Ferric dosing	Yes	Yes	
Treatment process-based permitting: Deep bet mich       Test         Physical separation process, where solids are captured within a volume of sand media. Solids are removed by backwashing the sand. Diry back wash water is returned to the inlet works.       DiSCARDED at secondar required to achieve the permitting: CoMag         Treatment process-based permitting: CoMag       Yes       Yes         Ballasted coagulation is a high-rate, physical-chemical clarification process involving the fixing of flocs, or suspended solids, onto ballast (sand) with the aid of a polymer.       DiSCARDED at secondar permitting: Biolog at secondaries is recycled.         The resulting sludge, which contains the ballast, is collected for treatment where the sludge is -separated from the ballast. The residual solids are sent through a sludge processing system and the recovered ballast is recycled.       Yes       Carried forward for Stress         Treatment process-based permitting: BioMag       Yes       Yes       Carried forward for Stress         Ballasted secondary treatment processes incorporate a ballast into the mixed liquor of an activated sludge plant. The ballast binds to the floc in the activated sludge and improves the settlement rate and associated solids removal. For phosphorus removal, ferric sulphate is dosed into the wastewater entering the aeration basin prior to ballast addition.       Yes       DiSCARDED at secondary existing ASP. Ballasted or assets) and operate (di technologies and would di with ASPs is the technologies and would di technologies and would di technologies and would di with ASPs i				Stressholme STW.
backwashing the sand. Dirty back wash water is returned to the inlet works.	Treatment process-based permitting: Deep bed filter	Yes	Yes	Carried forward for Stres
Ballasted coagulation is a high-rate, physical-chemical clarification process involving the fixing of flocs, or suspended solids, onto ballast (sand) with the aid of a polymer.       DISCARDED at secondar permit value. This technol clarification process involving the fixing of flocs, or suspended solids, onto ballast (sand) with the aid of a polymer.         The resulting sludge, which contains the ballast, is collected for treatment where the sludge is -separated from the ballast. The residual solids are sent through a sludge processing system and the recovered ballast is recycled.       DISCARDED at secondar permit value. This technol clarification process based permitting: BioMag         Treatment process-based permitting: BioMag       Yes       Yes         Ballasted secondary treatment processes incorporate a ballast into the mixed liquor of an activated sludge plant. The ballast binds to the floc in the activated sludge and improves the settlement rate and associated solids removal. For phosphorus removal, ferric sulphate is dosed into the wastewater entering the aeration basin prior to ballast addition.       For the chnologies and would do with ASPs is the technologies and would				
The resulting sludge, which contains the ballast, is collected for treatment where the sludge is -separated from the ballast. The residual solids are sent through a sludge processing system and the recovered ballast is recycled. Treatment process-based permitting: BioMag Ballasted secondary treatment processes incorporate a ballast into the mixed liquor of an activated sludge plant. The ballast binds to the floc in the activated sludge and improves the settlement rate and associated solids removal. For phosphorus removal, ferric sulphate is dosed into the wastewater entering the aeration basin prior to ballast addition. The technologies and would down the	Ballasted coagulation is a high-rate, physical-chemical clarification process involving the fixing of flocs, or suspended	Yes	Yes	Carried forward for Stres
Ballasted secondary treatment processes incorporate a ballast into the mixed liquor of an activated sludge plant. The ballast binds to the floc in the activated sludge and improves the settlement rate and associated solids removal. For phosphorus removal, ferric sulphate is dosed into the wastewater entering the aeration basin prior to ballast addition.  DISCARDED at secondary existing ASP. Ballasted constrained associated solids removal. For existing ASP. Ballasted constrained associated	The resulting sludge, which contains the ballast, is collected for treatment where the sludge is -separated from the ballast.			permit value. This technol
ballast binds to the floc in the activated sludge and improves the settlement rate and associated solids removal. For phosphorus removal, ferric sulphate is dosed into the wastewater entering the aeration basin prior to ballast addition. DISCARDED at secondary existing ASP. Ballasted consistence of the settlement rate and associated solids removal. For existing ASP. Ballasted consistence of the settlement rate and associated solids removal. For existing ASP. Ballasted consistence of the settlement rate and associated solids removal.		Yes	Yes	Carried forward for Stress
	ballast binds to the floc in the activated sludge and improves the settlement rate and associated solids removal. For			existing ASP. Ballasted co assets) and operate (du technologies and would de
	Treatment process-based permitting: Biological Nutrient Removal (BNR)	Yes	Yes	Carried forward for Stress

sholme and Willington STWs. The sites cannot meet new permit ion.

ogy unproven within the water industry to guarantee permit value nology is too small and not commercially viable for large works 'illington STWs.

essholme and Willington STWs with single point ferric dosing.

sholme STW at secondary screening as other filter technologies for larger sites.

ndary screening for Willington STW because technology not permit value.

lington STW with single point ferric dosing.

y screening for Stressholme STW based on the size of the reed luent, land mass required (~23ha).

Stressholme and Willington STWs with Deep bed filter for

essholme and Willington STWs with single point ferric dosing.

ndary screening for Willington STW because technology not permit value.

essholme and Willington STWs with single point ferric dosing.

dary screening because technology not required to achieve the nology is usually only required to meet tighter phosphorus limits D\_IMP driver.

ssholme and Willington STWs with single point ferric dosing.

lary screening–Stressholme and Willington STWs do not have an coagulation in all cases costs more to construct (requires more (due to higher energy costs) than other tertiary treatment deliver the same benefit to the water quality. Only at larger sites logy cost effective.

ssholme and Willington STWs with single point ferric dosing.



Option title	Meets Statutory Obligation?	Technically feasible?	Reason for discarding
BNR consists of anaerobic/anoxic and aerobic chambers in succession within an activated sludge plant. The change in conditions manipulates the organisms to accumulate nutrients from wastewater. Following the anaerobic stage, the organisms are exposed to aerobic conditions, due to their stressed state, they overreact and accumulate more phosphorus than originally expelled in the anaerobic stage resulting in a net removal of phosphorus from the wastewater. In addition to BNR, sites will require ferric addition to guarantee phosphorus removal.			<b>DISCARDED</b> at secondary existing ASP. Installing a effective. BNR in all cases higher energy costs) than capital, they have more er carbon due to high energ capital measures are the s sites with ASP is the techr
Change outfall location	Yes	Yes	Carried forward for Stress
Move final effluent outfall so more relaxed permit is acceptable (discharge into less sensitive watercourse).			DISCARDED at secondar larger waterbody within 5k
Centralise STWs Combine two or more STWs into a new larger works to achieve efficiencies of scale	Yes	Yes	Carried forward for Stress
			DISCARDED at secondar cost significantly more to to construction of tertiary a will require storm treatme treated effluent baseflow v
			Centralising the sites will h location plus operation of the existing works. The h treatment. Furthermore, th significantly higher than th
Transfer / Pump away	Yes	Yes	Carried forward for Stress
Transfer flow (raw) from one or more smaller STW(s) into an existing larger works with DWF headroom			<b>DISCARDED</b> at secondary other STW with 5km with g
Replace/retrofit/expand existing primary/secondary treatment processes Use existing process types or more intensive processes where treatment is already in place, may include extra assets on site to achieve tighter permit limit	No	No	<b>DISCARDED</b> - No existing requirements.
Integrated constructed wetland (ICW) Create ICW with multiple benefits as treatment solution (only applicable where less stringent permit limits or existing treatment solution that needs to be tighter).	No	No	<b>DISCARDED</b> at Stresshol sites for a wetland.
<b>Catchment permitting for nutrients</b> Flexible permit limits across all STWs discharging to a river. All STWs within a specified catchment are included in an innovative catchment permit which provides flexibility and offsetting and allows benefit from overperformance between sites (measured as kg load reduction at STWs).	No	No	<b>DISCARDED</b> - Offsetting the driver. PR24 guidance state result in a less stringent or

ary screening. Stressholme and Willington STWs do not have an a BNR would require a complete rebuild and would not be cost sees cost more to construct (more concrete) and operate (due to an other packaged treatment technologies. In terms of natural embedded carbon due to more concrete and more operational ergy use aerators. Benefits to water quality and other natural e same as other traditional treatment technologies. Only at larger chnology cost effective.

ssholme and Willington STWs.

ary screening for Stressholme and Willington STWs because no 5km of the site to receive flows.

ssholme and Willington STWs.

dary screening. Centralising the sites to a new larger works will to construct (more concrete, multiple pipeline routes) compared y assets at the existing works. In addition, centralising the sites ment/network storage to protect the existing watercourse if the w was removed from the water body adding cost and carbon.

Ill have a higher operating cost due to pumping flows to the new of the new works compared to construction of tertiary assets at e benefits to water quality will be the same as extra tertiary the release of embedded carbon from demolished assets will be the embedded carbon of tertiary assets.

ssholme and Willington STWs.

ary screening for Stressholme and Willington STWs because no h greater than 10% headroom capacity.

ng phosphorus removal technology to expand to meet the permit

holme and Willington STWs. Insufficient land available at both

g through catchment permitting is not allowed under the UWWTD states that '*it is not appropriate to find catchment solutions that* to r different permit at these locations'.

# **A3-24 WASTEWATER WINEP – PHOSPHORUS**

Enhancement Case (NES13)

# PR**24**

Option title	Meets Statutory Obligation?	Technically feasible?	Reason for discarding
Trade effluent variation Varying trade effluent permits at sites or removing trader high flow contributions.	No	Yes	<b>DISCARDED</b> - It is not poss loads to achieve permits.
<b>DWF headroom sacrifice at STW</b> Accept a reduced DWF permit so that a more relaxed final effluent permit is imposed.	No	No	<b>DISCARDED -</b> UWWTD per to relax the permit based or
Catchment nutrient balancing Catchment nutrient balancing such as targeting phosphorus load reductions from agriculture (working with farmers to reduce source pollution) and other non-water company sectors.	No	No	<b>DISCARDED</b> - Offsetting th driver. PR24 guidance state result in a less stringent or
Catchment habitat creation and/or enhancement Creation of new or enhancement of existing habitats such as woodland, wetlands, and vegetated riparian margins in the wider catchment aiming to reduce phosphorus loads to the watercourse via reduced runoff and sediment losses or increased filtration.		No	<b>DISCARDED</b> - Offsetting th driver. PR24 guidance state result in a less stringent or
Operational solution Optimisation of existing site assets to achieve new permit through operational activities.	No	No	DISCARDED for Stressho phosphorus removal techno

ossible to deliver a sufficient reduction to catchment phosphorus .

permits are based on population served. There is no opportunity I on DWF headroom.

through catchment permitting is not allowed under the UWWTD tates that '*it is not appropriate to find catchment solutions that or different permit at these locations*'.

through catchment permitting is not allowed under the UWWTD tates that '*it is not appropriate to find catchment solutions that or different permit at these locations*'.

holme and Willington STWs. The sites do not have existing hnology that could be optimised to meet the phosphorus permit.





# 6. APPENDIX E: LETTER IN SUPPORT FROM THE RIVERS TRUST



# Northumbrian Water PR24 WINEP: Supporting statement from The Rivers Trust

The Rivers Trust are proud to be working in partnership with Northumbrian Water through the North East Catchments Hub to co-develop catchment and nature based schemes for submission into Northumbrian Water's PR24 WINEP. This is an industry leading approach following the Ofwat guidance (May, 2022) to 'produce a high quality, evidence based WINEP programme of best value options – allowing water companies to meet their regulatory obligations and customers' needs, whilst restoring and increasing natural assets to realise environmental net gains'. It has our full support we believe it could provide a step change for water quality improvements and wider environment recovery in the northeast.

- It is the right thing to do. This innovative approach takes a holistic look at what the catchment and the local environment needs. Traditional improvements at STW are end of pipe solutions. This programme will address water quality issues at source and for the long-term. Not only is this the right thing to do, but it will also save Northumbrian Water customers money now and well into the future.
- It will achieve multiple benefits at landscape scale. Whilst driven by water quality improvements, catchment and nature based schemes deliver multiple benefits across flood and drought resilience, biodiversity net gain, carbon sequestration, clean air, amenity value and more.
- It will catalyse more investment. This ground breaking programme for catchment and nature based solutions is an industry first for WINEP and we have not seen investment in catchments at this scale in the north east before. We will assess the multiple benefits and stimulate further investment through market led approaches to build bigger and more encompassing programmes.
- It will deliver locally. Partners within the existing Catchment Based Approach partnerships, who are already on the ground delivering impact with long-standing relationships with land managers and farmers, will deliver the schemes within this programme, supporting the regional Green Recovery and levelling up agendas.
- The time is now. The need is clear and there is a hive of activity and commitment to improve our rivers and wider environment. Investment through Northumbrian Water's WINEP will support and catalyse the governments suite of strategies to promote landscape recovery, including Local Nature Recovery Strategies, Environmental Land Management Schemes, nutrient neutrality, biodiversity net gain and net zero and will provide the catalyst for further private sector investment into the North East.

This programme will have far reaching impacts for the water industry and beyond. It is the approach that Ofwat and Defra have advocated for and will have significant impact for water quality and landscape scale recovery in the northeast, while reducing costs to customers and supporting a local green recovery.

"Solving the climate, biodiversity and water quality emergencies is going to be too expensive unless we invest in solutions that address them all at once. We must make limited funding go further by working intelligently and collaboratively to achieve the best outcome for the environment. Communities don't care about the phosphate concentrations in sewage effluent – they just want healthy rivers teeming with wildlife." Mark Lloyd, CEO

